UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

IN RE BRASKEM, S.A., SECURITIES LITIGATION	Civil Action No. 15-CV-5132-PAE

DECLARATION OF STEPHANIE AMIN-GIWNER IN SUPPORT OF
MOTION FOR DISTRIBUTION OF NET SETTLEMENT FUND

Stephanie Amin-Giwner, being duly sworn, deposes and says:

1.   I am a Manager of Client Services, for Epiq[1]. Pursuant to this Court's September 15, 2017 Order Preliminarily Approving the Settlement, Certifying Settlement Class, Approving Notice to the Class and Scheduling Final Approval Hearing (ECF No. 124) (the "Order"), the Court approved the appointment of GCG as the Claims Administrator for the Settlement in the above-captioned action (the "Action"). The following statements are based on my knowledge and information provided by other experienced GCG employees working under my supervision and, if called on to do so, I could and would testify competently thereto.

2.   A settlement of the Action involving Braskem, S.A. ("Braskem") with a value of $10,000,000 (the "Settlement Fund") was proposed pursuant to the Stipulation and Agreement of Settlement dated September 14, 2017 (ECF No. 121) (the "Stipulation").[2] By its Order and Final Judgment dated February 21, 2018 (ECF No. 132), this Court approved the Settlement as fair, reasonable and adequate.

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[1] Garden City Group, LLC ("GCG") was acquired by Epiq Class Action and Claims, Inc. ("Epiq") on June 15, 2018 and is now continuing as part of Epiq.

[2] All capitalized terms not otherwise defined in this document shall have the meaning ascribed to them in the Stipulation.

DISSEMINATION OF NOTICE AND PROOF OF CLAIM FORM

3.   Pursuant to the Order, beginning on October 6, 2017, GCG disseminated the Notice of Pendency of Class Action and Proposed Settlement (the "Notice") and Proof of Claim Form ("Proof of Claim") (collectively, the "Notice Packet") to potential Settlement Class Members. As described in the February 14, 2018 Supplemental Affidavit of Jose C. Fraga Regarding Mailing of Notice of Pendency of Class Action and Proposed Settlement and Claim Form, Requests for Exclusions and Objections to the Settlement Received (the "Supplemental Affidavit"), as of that date, GCG had disseminated by first-class mail 26,709 copies of the Notice Packet to potential Settlement Class Members.

WEBSITE, TELEPHONE HOTLINE AND DEDICATED EMAIL

4.   GCG   continues   to   maintain   the   case-dedicated   website (www.braskemsecuritiessettlement.com), the toll-free telephone helpline (855-872-7076), and the Settlement email inbox (questions@braskemsecuritiessettlement.com) to assist potential Settlement Class Members, as well as a Settlement post office box for the receipt of Proofs of Claim and correspondence. The Settlement website and telephone helpline enable potential Settlement Class Members to obtain information about the Settlement and provide access to important documents relevant to the Settlement, including the Notice and the Proof of Claim. In connection with establishing and maintaining the Settlement website and telephone helpline, GCG, among other things, formulated a system to ensure that proper responses were provided to all telephone and electronic inquiries. That work included training telephone agents to respond to inquiries specific to the Settlement; developing a series of common questions and the answers thereto, known as Frequently Asked Questions, or "FAQs"; loading key documents onto the Settlement website; and programming the website to permit the viewing and downloading of those key documents.

5.   GCG will continue to maintain the website, telephone helpline, and email inbox until the conclusion of the administration.

PROCEDURES FOLLOWED IN PROCESSING CLAIMS

6.   Under the terms of the Stipulation and as set forth in the Notice, each Settlement Class Member who wished to be eligible to receive a distribution from the Net Settlement Fund was required to complete and submit a properly executed Proof of Claim. Settlement Class Members seeking to share in the Net Settlement Fund were directed in the Notice to submit their Proofs of Claim to:

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BRASKEM, S.A., SECURITIES SETTLEMENT
c/o GCG
P.O. Box 10495
Dublin, Ohio 43017-4095

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7.   Before claims processing commenced, GCG created a database dedicated to this Settlement by customizing its proprietary database management software to accommodate the specifics of this Settlement (the "Settlement Database"), which included developing various computer programs and screens for entry of Settlement Class Members' identifying and transactional information, and developing a proprietary "calculation module" that would calculate Recognized Claim amounts pursuant to the Court-approved Plan of Allocation ("Plan of Allocation") set forth in the Notice. In addition, GCG trained its staff in the specifics of the Settlement so that Proofs of Claim are properly processed and formulated a system so that telephone inquiries are properly handled.

8.   GCG sorted incoming mail into Proofs of Claim and administrative mail.[3] Notice Packets that were returned by the U.S. Postal Service as undeliverable were reviewed for updated

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[3] Administrative mail includes all mail other than Proofs of Claim and supporting documentation and responses to notices of partial or entire rejection of the claim (*e.g.*, requests for Proofs of Claim,

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addresses on a rolling basis using the U.S. Postal Service National Change of Address ("NCOA") database and, where available, new addresses were entered into the Settlement Database and Notice Packets were mailed to the updated addresses. Administrative mail was reviewed and, where necessary, appropriate responses were provided to the senders.

Processing Paper Proofs of Claim

9.   Of the 3,589 Proofs of Claim received, 841 were paper claims. Once received, paper Proofs of Claim were opened and prepared for scanning. This process included unfolding documents, removing staples, copying non-conforming sized documents, sorting documents, and, where claimant identification information was not provided on the Proof of Claim, copying and attaching the envelope with the return address to the file. Once prepared, paper Proofs of Claim were scanned into the Settlement Database together with all submitted documentation. Subsequently, each Proof of Claim was assigned a unique claim number if it did not already have a pre-assigned claim number. Once scanned, the information from each Proof of Claim, including the name, address, and the last four digits of the Taxpayer Identification Number ("Tax ID Number") of the claimant, and the claimant's purchase/acquisition transactions, sale transactions, and holdings listed on the Proof of Claim, was entered into the Settlement Database. Next, the information provided by each claimant in support of his, her, or its Proof of Claim was reviewed to determine whether the claimant had in fact purchased Braskem common stock between July 15, 2010 and March 11, 2015, inclusive (the "Class Period"). Proofs of Claim were then reviewed to be sure they were not submitted by excluded parties.[4]

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notification of change of address, questions regarding the administration process or the status of the administration).

[3] Excluded from the Settlement Class are: (1) Defendants and members of the immediate family of any Defendant; (2) any entity in which any Defendant has, or had during the Class Period, a controlling interest; (3) the officers and directors of Braskem during the Class Period; and (4) the legal

10.   In order to process the transactions detailed in the Proofs of Claim, GCG utilized dozens of internal Proof of Claim codes to identify and classify Proofs of Claim and any deficient or ineligible conditions that existed within those Proofs of Claim. The appropriate codes were assigned to the Proofs of Claim as they were processed. For example, where a Proof of Claim was submitted by a claimant who did not have *any* eligible purchases or acquisitions of Braskem common stock during the Class Period (*e.g.*, the claimant only purchased or acquired Braskem common stock before or after the Class Period), that Proof of Claim received a "Proof of Claim-level" code that denoted ineligibility. Similar "Proof of Claim-level" ineligible codes were used to denote other ineligible conditions, such as duplicate Proofs of Claim. These codes indicated to GCG that the claimant is not eligible to receive any payment from the Net Settlement Fund with respect to that Proof of Claim unless the deficiency is cured.

11.   Because a Proof of Claim may be deficient only in part, but otherwise acceptable, GCG also utilized codes that are only applied to specific transactions within a Proof of Claim. For example, if a claimant submitted a Proof of Claim with supporting documentation for all but one purchase transaction, that one transaction received a "transaction-level" code. That code indicated that the one transaction was deficient, but that the claim was otherwise eligible for payment if other transactions in the Proof of Claim calculated to a Recognized Claim according to the Court-approved Plan of Allocation. Thus, even if the transaction-level deficiency was never cured, the Proof of Claim would still be paid in part.

Processing Electronic Claims

12.   Of the 3,589 Proofs of Claim received, 2,748 were filed electronically ("Electronic Claims") with the assistance of GCG's dedicated electronic filing operations team

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representatives, agents, executors, heirs, successors, or assigns of any of the foregoing excluded persons or entities who assert an interest in Braskem ADRs through or on behalf of any such excluded persons or entities.

(the "Electronic Filing Team"). Electronic Claims are typically submitted by institutional investors who may have hundreds or thousands of transactions during the Class Period. Rather than provide reams of paper requiring data entry, the institutional investors filing Electronic Claims either mail a computer disc or email a file to GCG so that GCG may electronically upload all transactions to the Settlement Database.

13.   In addition to the dedicated team that assists institutional investors, GCG developed a website where registered institutional investors can file and monitor claims in any securities settlement that GCG administers, including this one. The site – GCG ICE™ (U.S. Patent No. 8,272,052) – is a GCG-developed, patented platform that is hosted on a secure site.

14.   The Electronic Filing Team coordinates and supervises the receipt and handling of all Electronic Claims. In this case, as in all other claims administrations, the Electronic Filing Team reviewed and analyzed each electronic file to ensure that it was formatted in accordance with GCG's required format, and to identify any potential data issues or inconsistencies within the file or ineligible securities (*i.e.*, securities that are not included in the class definition). If any issues or inconsistencies arose, GCG immediately notified the sender. If the electronic file was deemed to be in an acceptable format, it was then forwarded to GCG's programming staff with detailed loading instructions including the number of claims and transaction totals that the institution provided when it sent the electronic file.

15.   After the electronic file was loaded, GCG personnel reviewed the electronic file to confirm that the number of claims and transactions matched the information provided by the filer. The Electronic Filing Team then sent an email notification to the filer that included a spreadsheet with the claim numbers and respective account and address information for each claim. In this email, the filer was also notified of any discrepancies in the data.

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16.   Once all counts were confirmed, the Electronic Claims were coded in the same manner as manually processed Proofs of Claim with codes to identify and classify Electronic Claims and any deficient or ineligible conditions that existed within them. These codes are the same as those applied to manually filed Proofs of Claim; however, the process in applying codes differs from the process used for paper Proofs of Claim. Rather than manually applying codes, the Electronic Filing Team performed programmatic reviews of Electronic Claims to identify deficient and ineligible conditions (such as, but not limited to, where the price falls outside the range of trading price history on any given day, where there are out-of-balance conditions, and where transactions fall outside the Class Period). The appropriate codes were then assigned programmatically once the output of the reviews was thoroughly analyzed and confirmed as accurate.

17.   The review process also included flagging any Electronic Claims that were not accompanied by the following: (i) a signed Proof of Claim, which serves as a "Master Proof of Claim Form" for all accounts referenced on the electronic file submitted; (ii) an electronic filing summary sheet; (iii) supporting documentation, such as a signed or notarized letter on company letterhead attesting to the truth and accuracy of the data on the electronic file, trade confirmations, and/or brokerage account statements; (iv) a notarized affidavit, corporate resolution or corporate by-laws verifying that the individual who executed the Proof of Claim and submitted the electronic file is an authorized signatory of his/her company with the authority to file such information; and (v) documentation to demonstrate the authority to file on behalf of the claimant. This process ensures that only fully completed Proofs of Claim, submitted by a properly authorized representative of the claimants, are considered eligible for payment from the Net Settlement Fund.

18.   At the end of the process, GCG performed various audits of Electronic Claims. For instance, GCG reached out to a number of electronic filers and requested that various sample transactions selected by GCG be further documented by providing confirmation slips or other transaction-specific supporting documentation.   This random sampling helps to ensure that electronic data supplied by claimants does not contain inaccurate information. GCG performed this final check on a variety of electronic files randomly selected, as well as on the electronic files with the largest Recognized Losses.

THE DEFICIENCY PROCESS

19.   Many of the Proofs of Claim submitted were incomplete, not signed, not properly documented, or were otherwise deficient. Much of GCG's efforts in handling an administration involve claimant communications so that all claimants have sufficient opportunity to cure any deficiencies and file a complete Proof of Claim. The "Deficiency Process," which involved letters and emails to claimants, and inbound and outbound calls to claimants, is intended to assist claimants in properly completing their otherwise deficient submissions so that they would be eligible to participate in the Settlement. As a result of this process, a number of claimants, excluding those who submitted Proofs of Claim with incurable deficiencies (*e.g.*, claims that lack any purchases during the Class Period or claims that have no Recognized Loss under the Plan of Allocation), are now eligible to participate in the Settlement.

20.   If a Proof of Claim was determined to be wholly deficient (for example, if the Proof of Claim was missing documentation for the entire claim, or if the claimant did not sign the Proof of Claim, or if the claimant did not provide enough information to calculate a Recognized Loss, or if the claim was determined to have no Recognized Loss when calculated under the Court-approved Plan of Allocation), GCG sent the Claimant a Notice of Rejection of Your Entire Claim. If a claim was determined to be partially deficient (for example, if the

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claimant was missing documentation for some transactions, or did not supply some transactional information), GCG sent the claimant a Notice of Rejection of Part of Your Claim. GCG mailed (or e-mailed in the case of Electronic Claims) approximately 3,045 Notices of Rejection of Your Entire Claim and approximately 50 Notices of Rejection of Part of Your Claim in connection with Proofs of Claim during the administration of the Settlement. These Notices are referred to collectively as Rejection Letters.

21.   Each Rejection Letter both described the way(s) in which the claim was deficient and what, if anything, was necessary to sure the deficiency, and informed the Claimant that any response must be submitted within 20 days from the date of the letter or the claim would be recommended for rejection in whole or in part, as appropriate. The Rejection Letters also explained that this deficiency process was the Claimant's only opportunity to cure the deficiencies in his, her or its Proof of Claim (to the extent that the deficiencies could be cured), and that the Claimant had the right to contest GCG's administrative determination with respect to their Proof of Claim and request that the Court review such determination but, if Court review was desired, the Claimant was required to submit a written statement to GCG requesting such review and setting forth the basis for the request within 20 days of the date of the letter. Copies of sample Rejection Letters are attached hereto as Exhibit A.

22.   Claimants' responses to the Rejection Letters were scanned into the Settlement Database and associated with the related Proofs of Claim. The responses were then carefully reviewed and evaluated by GCG's team of processors. If a claimant's response corrected the defect(s) in his, her or its claim, GCG manually updated the Settlement Database to reflect the change(s) in the status of the claim accordingly.

QUALITY ASSURANCE, FRAUD PREVENTION AND REGULATORY COMPLIANCE

23.   An integral part of all of GCG's settlement administrations is our Quality Assurance review. GCG personnel worked throughout the administration process to ensure that Proofs of Claim were processed properly; that deficiency and ineligibility codes were properly applied to Proofs of Claim; that deficiency letters were mailed to the appropriate claimants; and that GCG's computer programs were operating properly. In addition, GCG conducted duplicate claim searches (by beneficial owner name and Recognized Loss) and duplicate transaction searches (which compared duplicate transactions within the same Proof of Claim and with other Proofs of Claim).

24.   GCG personnel performed a final project wrap-up in order to ensure correctness and completeness of all processed claims prior to preparing GCG's summary reports to Lead Counsel. Here, in connection with this project, GCG: (i) determined that valid claims have no codes denoting ineligibility; (ii) determined that claims that are ineligible have codes denoting ineligibility; (iii) determined that claims that contained purchases that occurred outside the Class Period contain appropriate ineligible codes; (iv) determined that claim detail (transaction) code(s) appear(s) only on claim detail records; (v) determined that all claims requiring Rejection Letters were sent such letters; (vi) performed a sample review of deficient claims; (vii) performed a sample review of claims with large dollar losses; (viii) sampled claims that were determined to be ineligible, including those with no Recognized Loss calculated in accordance with the Plan of Allocation, in order to verify that all transactions had been captured correctly; and (ix) tested the accuracy of the calculation program.

25.   In support of the work described above, GCG's computer staff designed and implemented, and GCG personnel tested, the following programs for this administration: (i) data entry screens that store Proof of Claim information (including all transactional data included on

each Proof of Claim) and attach codes and, where necessary, text to denote conditions existing within the Proof of Claim; (ii) programs to load and analyze transactional data submitted electronically for all Electronic Claims (the load program converts the data submitted into the format required by the calculation program, and the analysis program determines whether the data is consistent and complete and triggers a response to the electronic filer where appropriate); (iii) a program to compare the claimed transaction prices against the reported market prices to confirm that the claimed transactions were within the acceptable range of the reported market prices; (iv) a calculation program to analyze the transactional data for all Proofs of Claim, and calculate each claimant's Recognized Loss based on the Plan of Allocation; (v) programs to generate various reports throughout and at the conclusion of the administration, including lists of all eligible and ineligible Proofs of Claim; and (vi) programs that calculate each Authorized Claimant's distribution amount by determining the proration factor for the Settlement, and applying that factor to each claimant's Recognized Loss as calculated above.

26.   GCG also used a variety of fraud protection controls throughout the administration process to identify potential fraudulent Proofs of Claim, including high value reviews, spot reviews and other standard audit reports that examined the information in a variety of ways.

27.   GCG further reviewed and compared the entire Settlement Database against the "watch list" of known potential fraudulent filers that GCG has developed throughout its thirty years of experience as a claims administrator. GCG works closely with the U.S. Federal Bureau of Investigation ("FBI") to update that watch list with the latest information available.

28.   In accordance with the regulations and guidelines of the U.S. Treasury Department Office of Foreign Assets Control ("OFAC"), GCG will perform searches on payments to payees whose names may appear on the federal government's restricted persons list

or who reside in countries to which payments are prohibited. GCG regularly monitors changes

to OFAC regulations and guidelines.

<p align="center">**CLAIMANTS SEEKING JUDICIAL REVIEW OF THEIR PROOFS OF CLAIM**</p>

29.   As set forth above, the Rejection Letters advised Claimants that, if they did not

agree with GCG's administrative determination, they had the right to request judicial review of

their Proofs of Claim. GCG received letters from two Claimants contesting GCG's

administrative determination with respect to their Proofs of Claim. Subsequent to receipt of the

two requests for judicial review, GCG attempted by telephone and/or email to contact each

contesting claimant to advise him, her or it of the status of his, her or its Proof of Claim, and to

explain the bases of GCG's administrative determination to reject the Claim.

30.   Through October 15, 2018, one claimant has an outstanding request for judicial

review of GCG's administrative determination to reject his Proof of Claim (the "Disputed

Claim").   Exhibit B attached hereto contains copies of the correspondence relating to the

Disputed Claim, including copies of the Proof of Claim and supporting documentation, the

Rejection Letter sent by GCG with respect to the Disputed Claim and the letters and

documentation received by GCG in response to the Rejection Letter. The Disputed Claim is

labeled as "Disputed Claim No. 1" and is discussed further below.[5]

31.   **Disputed Claim No. 1**

Claim 1116419 is being recommended for rejection because the documents provided did

    not provide sufficient support for the transactions reported.   The Proof of Claim

    submitted lists two purchases totaling 4,800 Braskem ADRs on July 21, 2011, one

    purchase of 500 Braskem ADRs on August 3, 2011, and one sale of 5,300 Braskem

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[5] For privacy reasons, the documents included in Exhibits B have been redacted to remove all personal information such as street addresses, telephone numbers, social security/taxpayer identification numbers, and financial account numbers, as well as any transactional information unrelated to Braskem.

ADRs on March 19, 2012. Adequate documentation was not provided to support the original purchase of 4,800 ADRs. On April 30, 2018, GCG mailed a Rejection Letter to the Claimant advising him that his claim was missing appropriate supporting documentation for certain transactions. Additionally, pursuant to the Plan of Allocation, shares purchased between July 15, 2010 and March 11, 2015 and sold on or before March 10, 2015, have a Recognized Loss of zero. Accordingly, even if adequate documentation was provided, the Recognized Loss for this claim is zero. The Claimant responded and requested judicial review of GCG's administrative determination but did not provide documentation of any further purchases, acquisitions or sales of Braskem ADRs. In response to the Claimant's letter, a GCG representative contacted the Claimant by telephone to help him better understand the reason for the rejection; however, the Claimant indicated that they wished to maintain the request for Court review.

CLAIMS DETERMINATION

32.   The postmark deadline for filing a Proof of Claim was January 16, 2018. As of October 15, 2018, GCG has received a total of 3,589 Proofs of Claim. Of the 3,589 Proofs of Claim received by GCG, 3,201 were submitted timely and 388 were postmarked after January 16, 2018, of which a total of 545 Proofs of Claim (469 timely and 76 untimely) have been provisionally accepted. All eligible Proofs of Claim, whether timely filed or late, are included in the list of Authorized Claimants for this Court's consideration.

33.   Submitted herewith as Exhibit C is a list of all the Proofs of Claim filed herein. Exhibit C-1 lists all Authorized Claimants who filed timely claims and their Recognized Losses in claim number order ("Timely Authorized Claimants").   Exhibit C-2 lists all Authorized Claimants who submitted their claims late and their Recognized Losses in claim number order ("Late But Otherwise Authorized Claimants").   Exhibit C-3 lists all the rejected or ineligible claims and the reasons for rejection in claim number order ("Rejected or Ineligible Claimants").

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For privacy reasons, these lists provide only claim numbers and Recognized Loss amounts or reasons for rejection (no names, addresses or Taxpayer I.D. numbers are disclosed).

34.   GCG has accepted 545 Proofs of Claim representing total Recognized Losses of $22,752,978.61 (including $16,632,533.11 from timely submissions and $6,120,445.50 from late submissions). According to the Plan of Allocation, each Authorized Claimant shall be allocated a *pro rata* share of the Net Settlement Fund based on his, her, or its Recognized Loss as compared to the total Recognized Losses of all Authorized Claimants. Upon approval by the Court, GCG will prepare and mail checks (or wire transfers where applicable) to all Authorized Claimants for their *pro rata* share of the Net Settlement Fund.

35.   A total of 3,044 claims were rejected for the following reasons:

NUMBER OF CLAIMS	REASON FOR REJECTION
227	Did Not Fit the Definition of the Class[6]
7	Duplicate Claim[7]
253	Deficient Claim Never Cured
2,557	Did Not Result in a Recognized Claim
3,044	Total Proofs of Claim Rejected

36.   The 3,044 rejected Proofs of Claim are listed in Exhibit C-3. It is GCG's position that all 3,044 claims should be rejected finally by the Court.

37.   GCG, in consultation with Lead Counsel, determined a cut-off date of October 15, 2018, after which no additional Proofs of Claim would be processed or considered for inclusion in the Initial Distribution. This is done so that there may be a proportional distribution of the Net Settlement Fund. Accordingly, GCG recommends that Proofs of Claim received after October

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[6]   Examples of these types of claims are claims that do not include any Class Period purchases and claims that do not include purchases of eligible securities.

[7]   GCG has confirmed that each of these duplicate claims is an exact match to a claim that has either been included in the list of Authorized Claims or Rejected Claims.

15, 2018 be barred from participation in the Initial Distribution, unless the Court later orders otherwise.

DISTRIBUTION OF THE NET SETTLEMENT FUND

38.   Should the Court approve GCG's determinations concerning the provisionally accepted and rejected Proofs of Claim, including Late But Otherwise Authorized Proofs of Claim, GCG recommends the following Distribution Plan and will undertake the following tasks: calculate the distribution amounts from the Net Settlement Fund according to the Court-approved Plan of Allocation; prepare checks and check registers, and mail checks by prepaid first-class mail; issue replacement checks upon request by payee; and respond to inquiries about claim calculation and checks.

39.   GCG understands that all procedures performed with respect to the distribution of the Net Settlement Fund in connection with the validity of the Proofs of Claim submitted are subject to the supervision and direction of Lead Counsel and the Court. To carry out such orders as the Court may issue with respect to the allocation and distribution of the Net Settlement Fund to Authorized Claimants, GCG will first coordinate with Lead Counsel to determine the amount remaining in the Net Settlement Fund after all fees and expenses authorized by this Court have been paid.

40.   GCG will conduct an immediate distribution (the "Initial Distribution") of the Net Settlement Fund. First GCG will calculate the *pro rata* distribution amount for each Authorized Claimant. Once the *pro rata* distribution amounts are determined for each Authorized Claimant, entitlement reports will be run and reviewed to determine who is eligible to receive a distribution from the Net Settlement Fund and in what amount. Next, a check generation report will be run, and compared to the entitlement report. If the results are different, the items on the report will be compared and the errors corrected. Check files will be reviewed and compared to the entitlement report prior to printing checks. All check files have footer records that indicate the total number of checks and the total dollar amount of the checks. The footer records will be checked against the entitlement report totals and also against the sum of the detail record check amounts in the

actual file. Once each of these quality assurance tests is performed and confirmed, checks will be printed.

41.   GCG will then prepare checks for the distribution and registers of such distributions, and send the payments by prepaid first-class mail,[8] after the list of Authorized Claimants is compared to GCG's most current internal list of claimants who have been identified as potentially fraudulent filers. Upon request by payee, GCG will issue replacement payments for distributions where GCG confirms that the check has not yet been negotiated. GCG will also respond to inquiries about distribution amounts and Recognized Loss calculations.

42.   Based on our years of experience, we know that not all of the checks distributed to Authorized Claimants will be cashed promptly. In order to encourage Authorized Claimants to promptly cash their distributions, and to avoid or reduce future expenses relating to unpaid distributions, all of the distribution checks will bear a notation "CASH PROMPTLY, VOID AND SUBJECT TO RE-DISTRIBUTION IF NOT CASHED WITHIN 90 DAYS AFTER ISSUE DATE."

43.   Authorized Claimants who do not cash their distribution checks within the time allotted will irrevocably forfeit all recovery from the Settlement. The funds allocated to all such stale-dated checks are subject to re-distribution.

44.   If there is any balance remaining in the Net Settlement Fund after six (6) months from the date of distribution of the Net Settlement Fund (whether by reason of tax refunds, uncashed checks, or otherwise), GCG, under the supervision of Lead Counsel shall, if feasible, reallocate such balance among Authorized Claimants who cashed or deposited the checks in the Initial Distribution.

45.   If, after six months following such redistribution, or if a redistribution was not feasible, six months after the Initial Distribution, funds still remain in the Net Settlement Fund, the outstanding balance shall be donated to such not-for-profit organization as the Court may direct and approve.

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[8] GCG will honor requests for electronic transfers to the best of its ability.

46.   In order to allow the full and final distribution of the Net Settlement Fund, it is necessary to bar any further claims received after October 15, 2018 from eligibility in the distribution of the Net Settlement Fund beyond the amount allocated to Authorized Claimants (unless the Court later orders otherwise), and to provide that all persons involved in the review, verification, calculation, tabulation, or any other aspect of the processing of the Proofs of Claim or otherwise involved in the administration or taxation of the Settlement Fund or the Net Settlement Fund be released and discharged from any and all claims arising out of such involvement.

RECORDS RETENTION AND DESTRUCTION

47.   Unless otherwise ordered by the Court, immediately following final distribution of the available balance of the Net Settlement Fund, GCG will destroy the electronic copies of the Proofs of Claim, and paper copies three years after that.

CONCLUSION

48.   GCG respectfully requests that the Court enter an order approving its administrative determinations accepting and rejecting the Proofs of Claim received on or before October 15, 2018 and approving the proposed Distribution Plan.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Executed on November 13, 2018 in Lake Success, New York.

Stephanie Amin-Giwner

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EXHIBIT A

Braskem, S.A., Securities Settlement
c/o GCG
P.O. Box 10495
Dublin, Ohio 43017-4095
(855) 872-7076

Mailing Date:
Response Due Date:
Claim No:

Eligible Securities:
American Depositary Receipts (ADRs)
Class Period:
July 15, 2010 through March 11, 2015, inclusive

NOTICE OF REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim Form ("Claim Form") that you submitted in connection with the *Braskem, S.A. Securities Settlement*. Based on our review of the Claim Form and documentation you submitted (if any), your Claim has been conditionally rejected in its entirety pursuant to the terms of the Court approved Settlement.

Your Claim may have been rejected for one or more reasons. If you wish to cure your Claim, please review the attached "Rejection Reason(s)" document and respond accordingly. Please note the following when responding:

- You **must** include a copy of this notice with any response and reference your Claim Number on all submissions.
- Your response **must** be received or postmarked no later than the Response Due Date noted above.
- If you fail to respond by the Response Due Date, or your response fails to cure the rejection reason(s) identified, your Claim will be rejected **in its entirety**.

Please take a moment to read *What You Need to Know* which is enclosed with this notice. If you still have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (855) 872-7076. If you would like to view or download the Settlement Notice (which contains the Plan of Allocation), you may do so on the settlement website at www.BraskemSecuritiesSettlement.com.

Very truly yours,

Garden City Group, LLC

Claims Administrator

Claim No:

Rejection Reason(s)

1. THE CLAIM DOES NOT RESULT IN A "RECOGNIZED LOSS" AS DEFINED BY THE COURT-APPROVED PLAN OF ALLOCATION. The Plan of Allocation is contained in the Notice that was mailed to you along with your Claim Form. Your "Recognized Loss" is not intended to equal your actual "market loss" (the amount of money you actually lost) and it is possible that you lost money but still have no "Recognized Loss."

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. Your claim and its administrative rejection will be presented to the Court for review.

UPON RECEIPT OF SUPPORTING DOCUMENTATION, YOUR CLAIM WILL BE RE-REVIEWED TO DETERMINE WHETHER OR NOT YOUR CLAIM HAS BEEN CURED.

COURT REVIEW MAY BE REQUESTED IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

AS A REMINDER, THIS IS THE ONLY NOTICE THAT YOU WILL RECEIVE REGARDING THIS REJECTION.

Braskem, S.A. Securities Settlement
c/o GCG
P.O. Box 10495
Dublin, Ohio 43017-4095
(855) 872-7076

Mailing Date:
Response Due Date:
Claim No:

Eligible Securities:
American Depositary Receipts (ADRs)
Class Period:
July 15, 2010 through March 11, 2015, inclusive

NOTICE OF REJECTION OF PART OF YOUR CLAIM

THIS IS THE ONLY NOTICE YOU WILL RECEIVE WITH RESPECT TO THIS CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim Form ("Claim Form") that you submitted in connection with the Braskem, S.A. Securities Settlement. Based on our review of the Claim Form and documentation you submitted (if any), part of your Claim has been conditionally rejected pursuant to the terms of the Court approved Settlement.

Your Claim may have been rejected for one or more reasons. If you wish to cure your Claim, please review the attached "Rejection Reason(s)" document and respond accordingly. Please note the following when responding:

- You must include a copy of this notice with any response and reference your Claim Number on all submissions.
- Your response must be received or postmarked no later than the Response Due Date noted above.
- If you fail to respond by the Response Due Date, or your response fails to cure the rejection reason(s) identified, your Claim will remain partially rejected.

Please take a moment to read *What You Need to Know* which is enclosed with this notice. If you still have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (855) 872-7076. If you would like to view or download the Settlement Notice (which contains the Plan of Allocation), you may do so on the settlement website at www.BraskemSecuritiesSettlement.com.

Very truly yours,

Garden City Group, LLC

Claims Administrator

Claim No:

Rejection Reason(s)

1. YOUR CLAIM IS MISSING INFORMATION AND/OR APPROPRIATE SUPPORTING DOCUMENTATION FOR CERTAIN TRANSACTIONS.

The specific transactions at issue are listed in the chart below. The part of the chart that is blank indicates where information is missing.

Transaction	Security	Trade date	Number of ADRs	Price Per ADR	Net Amount
Purchases	ADR	11/19/2010	1,000.00	21.90	21,900.00
Sales	ADR	01/07/2011	1,000.00	24.85	24,850.00

HOW TO RESOLVE: You must submit acceptable documentation supporting the transaction(s) and/or holding position(s) listed in the chart above to the Claims Administrator by the Response Due Date. Specifically, the documentation must reflect or support all transactions and holding information called for in Part II of the Claim form. With respect to the required "holding" positions, the documentation need only support the number of ADRs held as of the dates set forth in the Claim Form. Acceptable documentation includes securities broker's confirmation slips, month and year-end account statements, or similar documentation (handwritten or self-generated documents are not acceptable).

If there is a blank space ("_____") in any of the boxes in the chart above, this means that the Claim Form and supporting documentation submitted did not provide this information regarding the transaction(s)/holding position(s) at issue. You must submit acceptable supporting documentation that not only provides this missing information, but also contains the other information listed in the chart above, therefore the documentation must be complete

If there is an "N/A" in any of the boxes in the chart above, this means that the supporting documentation need not provide this information.   For example, in order to fully document "Unsold Holdings" as of the close of trading on June 8, 2015, you need only submit acceptable supporting documentation demonstrating the number of ADRs held; you do NOT need to provide documentation demonstrating the "Purchase/Sale Price Per ADR" or "Net Amount" paid for those holdings.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. Your claim and its administrative rejection will be presented to the Court for review.

UPON RECEIPT OF SUPPORTING DOCUMENTATION, YOUR CLAIM WILL BE RE-REVIEWED TO DETERMINE WHETHER OR NOT YOUR CLAIM HAS BEEN CURED.

COURT REVIEW MAY BE REQUESTED IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

AS A REMINDER, THIS IS THE ONLY NOTICE THAT YOU WILL RECEIVE REGARDING THIS REJECTION.

EXHIBIT B

Disputed Claim No. 1

3

PART I - CLAIMANT IDENTIFICATION

Claimant or Representative Contact Information:

The Claims Administrator will use the contact information for all correspondence relevant to this Claim (including the distribution (check), if the Claim is ultimately determined to be eligible for payment). If the contact information changes, then you must notify the Claims Administrator in writing at the above address.

Claimant Name(s) (as you would like the name(s) to appear on the check, if eligible for payment):

M E T E O R A   I N V E S T M E N T S   L T D .

Street Address:

[redacted]

City:

[redacted]

Last 4 digits of Claimant SSN/TIN[2]:

State: **Zip Code:**   **Country (if Other than U.S.):**

[redacted]

Representative's Name (if different from the Claimant Name(s) listed above):

B E R N A R D O   L E A L C O S T A

Daytime Telephone Number:

[redacted]

Evening Telephone Number:

[redacted]

Email Address: (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

[redacted]

NOTICE REGARDING ELECTRONIC FILES: Certain Investors with large numbers of transactions may request to, or may be requested to, submit information regarding their transactions in electronic files. To obtain the mandatory electronic filing requirements and file layout, you may visit the Braskem, S.A. Securities Settlement website at www.BraskemSecuritiesSettlement. com or you may e-mail the Claims Administrator at eclaim@choosegcg.com. Any file not in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email after processing your file with your claim numbers and respective account information. Do not assume that your file has been received or processed until you receive this email. If you do not receive an email within 10 days of your submission, you should contact the electronic filing department at eclaim@choosegcg.com to inquire about your file and confirm it was received and acceptable.

[2] The last four digits of the taxpayer identification number (TIN), consisting of a valid Social Security Number (SSN) for individuals or Employer Identification Number (EIN) for business entities, trusts, estates, etc., and telephone number of the beneficial owner(s) may be used in verifying this claim.

4

PART II - SCHEDULE OF HOLDINGS AND TRANSACTIONS IN BRASKEM, S.A. AMERICAN DEPOSITARY RECEIPTS

A.   **BEGINNING HOLDINGS:** List all Braskem ADRs (ticker symbol: BAK) held as of the beginning of trading on **July 15, 2010.**

`0 0 0 0 0 0`
Quantity of ADRs Held

B.   **PURCHASES/ACQUISITIONS:** List all purchases and acquisitions of Braskem ADRs (ticker symbol: BAK) between **July 15, 2010** and **June 8, 2015**, both dates inclusive. Be sure to attach documentation verifying your transactions. (Must be documented.)

Trade Date (List Chronologically) (Month/Day /Year)	Number of ADRs	Price Per ADR	Total Purchase Price (excluding taxes, commissions and fees)
07/21/11	002400	0002756	6614400
07/21/11	002400	0002756	6614400
08/03/11	000500	0002076	1038000

C.   **Sales:** List all sales of Braskem ADRs (ticker symbol: BAK) from **July 15, 2010** and **June 8, 2015**, both dates inclusive. (Must be documented.)

Trade Date (List Chronologically) (Month/Day /Year)	Number of ADRs	Price Per ADR	Total Sales Proceeds (excluding taxes, commissions and fees)
03/19/12	005300	0001750	9275000

D.   **Unsold Holdings:** List the number of Braskem ADRs (ticker symbol: BAK) held as of the close of trading on **June 8, 2015**. Be sure to attach documentation verifying your holdings such as a current account statement. (Must be documented.)

`0 0 0 0 0 0`
Quantity of ADRs Held

PLEASE NOTE: Only purchases or acquisitions made during the Class Period (July 15, 2010 through and including March 11, 2015) will be used to calculate your Recognized Loss. Information requested with respect to your purchases/acquisitions of ADRs from March 12, 2015 through June 8, 2015 is needed in order to balance your claim and are not eligible to participate in the Settlement as these purchases/acquisitions are outside the Class Period. However, sales of ADRs from March 12, 2015 through June 8, 2015 will be used to determine whether the cap on damages set forth in the Private Securities Litigation Reform Act applies, as described on pages 6-7 of the Notice.

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX ☐
IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED

PART III - SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (WE) SUBMIT THIS PROOF OF CLAIM FORM UNDER THE TERMS OF THE SETTLEMENT DESCRIBED IN THE NOTICE. I (WE) ALSO SUBMIT TO THE JURISDICTION OF THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK WITH RESPECT TO MY (OUR) CLAIM AS A SETTLEMENT CLASS MEMBER AND FOR PURPOSES OF ENFORCING THE RELEASES SET FORTH IN THE SETTLEMENT AND REPEATED HEREIN. I (WE) FURTHER ACKNOWLEDGE THAT I AM (WE ARE) BOUND BY AND SUBJECT TO THE TERMS OF ANY JUDGMENT THAT MAY BE ENTERED IN THE ACTION. I (WE) AGREE TO FURNISH ADDITIONAL INFORMATION TO THE CLAIMS ADMINISTRATOR TO SUPPORT THIS CLAIM IF REQUESTED TO DO SO. I (WE) HAVE NOT SUBMITTED ANY OTHER CLAIM COVERING THE SAME PURCHASES OR SALES OF BRASKEM, S.A. ADR AND KNOW OF NO OTHER PERSON HAVING DONE SO ON MY (OUR) BEHALF.

PART IV - RELEASE

1.   I (WE) HEREBY ACKNOWLEDGE, ON BEHALF OF MYSELF (OURSELVES) AND EACH OF MY (OUR) HEIRS, EXECUTORS, ADMINISTRATORS, PREDECESSORS, SUCCESSORS AND ASSIGNS, AND ANY OTHER PERSON CLAIMING BY, THROUGH OR ON BEHALF OF ME (US), THAT I (WE) (A) RELEASE, WAIVE, DISCHARGE AND DISMISS EACH AND EVERY OF THE RELEASED CLAIMS AGAINST THE RELEASED PARTIES; (B) ARE FOREVER ENJOINED FROM COMMENCING, INSTITUTING OR PROSECUTING ANY OR ALL OF THE RELEASED CLAIMS AGAINST ANY OF THE RELEASED PARTIES; AND (C) ARE FOREVER ENJOINED FROM INSTITUTING, CONTINUING, MAINTAINING OR ASSERTING, EITHER DIRECTLY OR INDIRECTLY, WHETHER IN THE UNITED STATES OR ELSEWHERE, ON MY (OUR) OWN BEHALF OR ON BEHALF OF ANY CLASS OR ANY OTHER PERSON, ANY ACTION, SUIT, CAUSE OF ACTION, CLAIM OR DEMAND AGAINST ANY PERSON OR ENTITY WHO MAY CLAIM ANY FORM OF CONTRIBUTION OR INDEMNITY FROM ANY OF THE RELEASED PARTIES IN RESPECT OF ANY RELEASED CLAIM OR ANY MATTER RELATED THERETO.

2.   "RELEASED PARTIES" MEANS BRASKEM, S.A. AND CARLOS FADIGAS, BERNARDO AFONSO DE ALMEIDA GRADIN, MARCELA APARECIDA DREHMER ANDRADE, MARIO AUGUSTO DA SILVA, AND ODEBRECHT S.A.; EACH OF THEIR RESPECTIVE CURRENT AND FORMER OFFICERS, DIRECTORS, EMPLOYEES, AGENTS, SERVANTS, REPRESENTATIVES, PARENTS, SUBSIDIARIES, AFFILIATES, CONTROLLED PERSONS, CONTROLLING PERSONS, SUCCESSORS, PREDECESSORS, ASSIGNS, ASSIGNEES, ATTORNEYS, ACCOUNTANTS, ADVISORS, INSURERS, FAMILY MEMBERS AND PARTNERS; AND EACH OF THEIR RESPECTIVE HEIRS, EXECUTORS, ADMINISTRATORS, LEGAL REPRESENTATIVES, SUCCESSORS AND ASSIGNS.

3.   "RELEASED CLAIMS" MEANS ANY AND ALL CLAIMS, DEBTS, DEMANDS, RIGHTS OR CAUSES OF ACTION OR LIABILITIES WHATSOEVER (INCLUDING, BUT NOT LIMITED TO, ANY CLAIMS FOR DAMAGES, INTEREST, ATTORNEYS' FEES, EXPERT OR CONSULTING FEES, AND ANY OTHER COSTS, PENALTIES, EXPENSES OR LIABILITY WHATSOEVER, WHENEVER OR WHEREVER INCURRED), WHETHER BASED ON FEDERAL, STATE, LOCAL, FOREIGN, STATUTORY, OR COMMON LAW OR ANY OTHER LAW, RULE OR REGULATION, WHETHER FIXED OR CONTINGENT, ACCRUED OR UNACCRUED, LIQUIDATED OR UNLIQUIDATED, AT LAW OR IN EQUITY, MATURED OR UNMATURED, WHETHER CLASS, INDIVIDUAL, OR OTHERWISE IN NATURE, WHETHER PERSONAL OR SUBROGATED, WHETHER SUSPECTED OR UNSUSPECTED, INCLUDING BOTH KNOWN CLAIMS AND UNKNOWN CLAIMS, THAT HAVE (1) BEEN ASSERTED IN THIS ACTION BY LEAD PLAINTIFF AGAINST ANY OF THE RELEASED PARTIES, OR (2) THAT HAVE BEEN OR COULD HAVE BEEN ASSERTED IN THIS ACTION OR ANY FORUM BY LEAD PLAINTIFF OR ANY SETTLEMENT CLASS MEMBER AGAINST ANY OF THE RELEASED PARTIES WHICH IN ANY WAY, DIRECTLY OR INDIRECTLY, ARISE OUT OF, ARE RELATED TO, OR ARE BASED UPON (I) THE PURCHASE, SALE, TRANSFER, ACQUISITION OR OWNERSHIP OF BRASKEM ADRS DURING THE CLASS PERIOD AND (II) ANY ALLEGATIONS, TRANSACTIONS, FACTS, MATTERS OR OCCURRENCES, REPRESENTATIONS OR OMISSIONS THAT WERE OR COULD HAVE BEEN ALLEGED, INVOLVED, SET FORTH, OR REFERRED TO IN ANY OF THE COMPLAINTS IN THIS ACTION, INCLUDING (WITHOUT LIMITATION) THE ALLEGED MAKING OF IMPROPER PAYMENTS IN EXCHANGE FOR NAPHTHA PRICING CONCESSIONS FROM PETROLEO BRASILEIRO S.A. ("PETROBRAS"). NOTWITHSTANDING THE FOREGOING, "RELEASED CLAIMS" DOES NOT INCLUDE CLAIMS RELATING TO THE ENFORCEMENT OF THE SETTLEMENT OR ITS TERMS.

4.   "UNKNOWN CLAIMS" MEANS ANY AND ALL RELEASED CLAIMS THAT LEAD PLAINTIFF OR ANY SETTLEMENT CLASS MEMBER DOES NOT KNOW OR SUSPECT TO EXIST IN HIS, HER OR ITS FAVOR AT THE TIME OF THE RELEASE OF THE RELEASED PARTIES, AND ANY OF THE SETTLED DEFENDANTS' CLAIMS WHICH DEFENDANTS DO NOT KNOW OR SUSPECT TO EXIST IN HIS, HER OR ITS FAVOR, WHICH IF KNOWN BY HIM, HER OR IT MIGHT HAVE AFFECTED HIS, HER OR ITS DECISION(S) WITH RESPECT TO THE SETTLEMENT. WITH RESPECT TO ANY AND ALL RELEASED CLAIMS AND SETTLED DEFENDANTS' CLAIMS, LEAD PLAINTIFF AND DEFENDANTS STIPULATE AND AGREE THAT UPON THE EFFECTIVE DATE, LEAD PLAINTIFF AND DEFENDANTS SHALL EACH, FOR THEMSELVES AND ALL PERSONS CLAIMING BY, THROUGH, OR ON BEHALF OF THEM, EXPRESSLY WAIVE, AND EACH SETTLEMENT CLASS MEMBER SHALL BE DEEMED TO HAVE WAIVED, AND BY OPERATION OF THE JUDGMENT SHALL HAVE EXPRESSLY WAIVED, ANY AND ALL PROVISIONS, RIGHTS AND BENEFITS CONFERRED BY ANY LAW OF ANY STATE OR TERRITORY OF THE UNITED STATES, OR PRINCIPLE OF COMMON LAW, THAT IS SIMILAR, COMPARABLE, OR EQUIVALENT TO CAL. CIV. CODE § 1542, WHICH PROVIDES:

> A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM OR HER MUST HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR.

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PART IV - RELEASE (CONTINUED)

LEAD PLAINTIFF AND DEFENDANTS ACKNOWLEDGE, AND SETTLEMENT CLASS MEMBERS AND DEFENDANTS' SUCCESSORS AND ASSIGNS AND ANY PERSONS OR ENTITIES CLAIMING THROUGH OR ON BEHALF OF DEFENDANTS SHALL, BY OPERATION OF LAW, BE DEEMED TO HAVE ACKNOWLEDGED, THAT THE INCLUSION OF "UNKNOWN CLAIMS" IN THE DEFINITION OF RELEASED CLAIMS AND SETTLED DEFENDANTS' CLAIMS WERE SEPARATELY BARGAINED FOR AND WAS A MATERIAL ELEMENT OF THIS SETTLEMENT.

5.   THIS RELEASE SHALL BE OF NO FORCE OR EFFECT UNLESS AND UNTIL THE COURT APPROVES THE SETTLEMENT AND THE EFFECTIVE DATE OF THE SETTLEMENT (AS DEFINED IN THE SETTLEMENT) OCCURS.

6.   I (WE) HEREBY WARRANT AND REPRESENT THAT I (WE) HAVE NOT ASSIGNED OR TRANSFERRED OR PURPORTED TO ASSIGN OR TRANSFER, VOLUNTARILY OR INVOLUNTARILY, ANY MATTER RELEASED PURSUANT TO THE SETTLEMENT OR ANY OTHER PART OR PORTION THEREOF.

7.   I (WE) HEREBY WARRANT AND REPRESENT THAT I (WE) HAVE INCLUDED INFORMATION ABOUT ALL OF MY (OUR) PURCHASES AND SALES OF BRASKEM ADR DURING THE REQUIRED PERIODS AS SET FORTH ABOVE.

8.   I (WE) HEREBY WARRANT AND REPRESENT THAT I AM (WE ARE) NOT EXCLUDED FROM THE CLASS AS DEFINED IN THE NOTICE AND THAT I (WE) HAVE NOT REQUESTED TO BE EXCLUDED FROM THE CLASS PURSUANT TO THE PROCEDURES SET FORTH IN THE NOTICE.

9.   I (WE) CERTIFY THAT I AM (WE ARE) NOT SUBJECT TO BACKUP WITHHOLDING UNDER THE PROVISIONS OF SECTION 3406(A)(1)(C) OF THE INTERNAL REVENUE CODE.

NOTE: IF YOU HAVE BEEN NOTIFIED BY THE INTERNAL REVENUE SERVICE THAT YOU ARE SUBJECT TO BACKUP WITHHOLDING, PLEASE STRIKE OUT THE LANGUAGE THAT YOU ARE NOT SUBJECT TO BACKUP WITHHOLDING IN THE CERTIFICATION ABOVE.

I (We) declare that the foregoing information supplied by the undersigned is true and correct.
Executed this __23__ day of __DECEMBER__ in _____.
             (Month) (Year)   (City, State, Country)

Signature of Claimant (if this claim is being made on behalf of Joint Claimants, then each must sign.)

_____   __DECEMBER 23, 2017__
Signature of Claimant   Date

__BERNARDO LEAL COSTA__
Print your name here

_____   __DECEMBER 23, 2017__
Signature of Joint Claimant, if any   Date

__MARIA HELENA FERREIRA LEAL COSTA__
Print your name here

If the Claimant is other than an individual, or is not the person completing this form, the following also must be provided:

_____   __DECEMBER 23, 2017__
Signature of person signing on behalf of Claimant   Date

__BERNARDO LEAL COSTA__
Print your name here

__DIRECTOR__
Capacity of person signing on behalf of Claimant, if other than
an individual, *e.g.*, executor, president, custodian, etc.

INDEX

ATTACHMENT #1

TERRITORY OF THE BRITISH VIRGIN ISLANDS
### BVI BUSINESS COMPANIES ACT, 2004

CERTIFICATE OF INCORPORATION
(SECTION 7)

The REGISTRAR of CORPORATE AFFAIRS, of the British Virgin Islands HEREBY CERTIFIES, that pursuant to the BVI Business Companies Act, 2004, all the requirements of the Act in respect of incorporation having been complied with

Meteora Investments Ltd.

BVI COMPANY NUMBER 1637838

is incorporated in the BRITISH VIRGIN ISLANDS as a BVI BUSINESS COMPANY, this 16th day of March, 2011
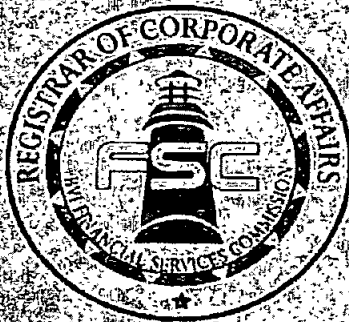
for **REGISTRAR OF CORPORATE AFFAIRS**
16th day of March, 2011

ATTACHMENT #2

METEORA INVESTMENTS LTD.

Incorporated under the Laws of the Territory of the British Virgin Islands

Certificate No. ***7***   30,000 Shares

Authorized Capital of US$3,000,000.00 Divided Into 30,000 shares with US$100.00 Par Value Each

this is to certify that *** Bernardo Leal Costa and Maria Helena Ferreira Leal Costa as Co-Trustees of The Meteora Trust ***, is the holder of 30,000 shares with US$100.00 par value each in the above named Company subject to the Memorandum and Articles of Association of the said Company.

Given under the Common Seal of the Company
This 1st day of December, 2016.

By: _____
Name: Bernardo Leal Costa
Title: Director
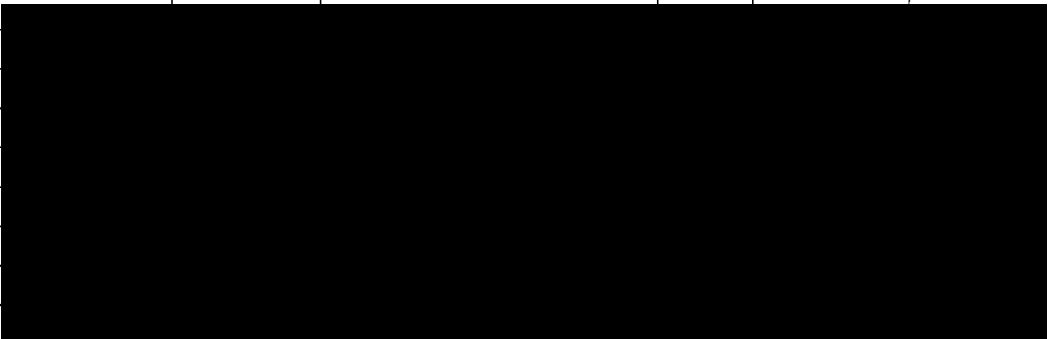
ATTACHMENT #3 - Summary

Meteora Investments Ltd Is an offshore company located in the British Virgin Islands. It was incorporated on March 16, 2011, by Bernardo Leal Costa, the Representative identified in PART I, and his spouse Maria Helena Ferreira Leal Costa. The company later became a Trust. Bernardo and Maria Helena are the sole Directors and Trustees of Meteora

Meteora's capital of US$3,000,000 was partially subscribed on July 21st, 2011 by means of the *alienation by transfer* of the stock portfolio that was owned in equal parts by the Trustees. **Attachment #4** is a copy of the document *Delivery of Assets,* issued by CITI INTERNATIONAL FINANCIAL SERVICES, when the stock portfolio was transferred to Meteora account on SAFRA NATIONAL BANK of New York, through the intermediation – I believe - of the Security State Bank& Trust – SSB&T CO.

A warning sent by SAFRA BANK to the Representative following instructions from the New York Court – **Attachment #7** - triggered the fulfillment of this Claim.

The transfer of these stocks represented a total acquisition of US$830,213.00 to integrate Meteora capital. The contribution of each lot was calculated based on the closing quote of the New York Stock Exchange for July 21, 2011 (date of alienation/acquisition). The full picture is shown in the table below:

cusip	Company	Company name	qty	Close	Lot $
105532105	BAK	BRASKEM S A	4.800	27,5600	132.288
		portfolio total			

Coherently with the figures above, the *acquisition* price for the 4,800 BAK, used on PART II, B-Purchases/Acquisitions, was US$27,56 per share. The same values were used by the Trustees as they reported the capital gain realized in the alienation of the portfolio as a whole to the Brazilian revenue service, and paid due Income Tax. This may be documented, if requested.

[signature] δEC 23, 2017

Bernardo Leal Costa

ATTACHMENT 4

citi Citi International
Financial Services
████████████

INVESTMENTS AND INVESTMENT CONTRACTS: NOT FDIC INSURED
NOT A BANK DEPOSIT • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
NO BANK GUARANTEE • MAY LOSE VALUE

CITI INTERNATIONAL FINANCIAL SERVICES, LLC.
MEMBER FINRA AND SIPC
AND AN AFFILIATE OF CITIGROUP INC.

000003 XP422201

July 21, 2011

BERNARDO LEAL COSTA
MARIA HELENA LEAL COSTA JT TEN
████████████

Account Number: ████████████
Financial Consultant Number: ████
CITI INTERNATIONAL FINANCIAL SER

Delivery of Assets

Based on your instruction, we have delivered the following assets from your above-referenced account as follows:

Date: July 20, 2011

Asset(s):

████████████████████████████

4,800.00000 of BRASKEM S D to BJV1 SSB&T CO
Tax Lot Disposition Method: FIRST IN FIRST OUT

████████████████████████████

[handwritten:] Esto fran as cies emitidas plo Sofrm,
pare interodiscas do certos de Helena

000003 XP422201 000003

ATTACHMENT #5

Safra National Bank
of New York
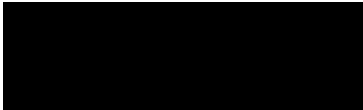
TRADE CONFIRMATION

PRINTED ON 10/30/2017

METEORA INVESTMENTS LTD

▮▮▮▮▮▮▮▮▮▮

TRADE NBR	O0078
ACCT. NBR	▮▮▮▮
TRADE DATE	08/03/2011
SETTLE DATE	08/08/2011
OFFICE/R.R.	▮▮▮

WE CONFIRM AS AGENT OUR SALE TO YOU:

DESCRIPTION: BRASKEM S A

ISSUE DATE:		CALL/ESCR DATE :	
MATURITY DATE:		CALL/ESCR PRICE :	
YIELD TO MATURITY:		YIELD TO CALL/ESCR :	
CPN/DISC RATE:		PRICE :	20.7600000
PAR VALUE/SHARES:	500.00	CUSIP:	105532105

PRINCIPAL:	10,380.00
ACCRUED INTEREST:	0.00
BROKER FEE:	0.00
SEC FEE:	0.00
BANK FEE:	0.00
COMMISSION:	125.00
	....
NET AMOUNT DUE:	10,505.00 USD

PAYMENT INSTRUCTIONS: DEBITED TO YOUR ▮▮▮▮▮▮

DELIVERY INSTRUCTIONS: HELD IN SAFEKEEPING

ATTACHMENT 6

Safra National Bank
of New York
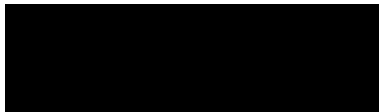
TRADE CONFIRMATION

PRINTED ON 11/01/2017

METEORA INVESTMENTS LTD

TRADE NBR	O0065
ACCT. NBR	███████
TRADE DATE	03/19/2012
SETTLE DATE	03/22/2012
OFFICE/R.R.	███████

WE CONFIRM AS AGENT OUR PURCHASE FROM YOU:

DESCRIPTION: BRASKEM S A

ISSUE DATE:		CALL/ESCR DATE :	
MATURITY DATE:		CALL/ESCR PRICE :	
YIELD TO MATURITY:		YIELD TO CALL/ESCR :	
CPN/DISC RATE:		PRICE :	17.5000000
PAR VALUE/SHARES:	5,300.00	CUSIP:	105532105

PRINCIPAL:	92,750.00 -
ACCRUED INTEREST:	0.00
BROKER FEE:	0.00
SEC FEE:	1.67
BANK FEE:	0.00
COMMISSION:	265.00
	....
NET AMOUNT DUE:	92,483.33 - USD

PAYMENT INSTRUCTIONS: CREDITED TO YOUR DDA ███████

DELIVERY INSTRUCTIONS: DELIVERED FROM SAFEKEEPING

Attachment # 7 – Warning of Class Action by Safra Bank of New York

On October 26, 2017 Safra Bank sent to the Representative of Meteora Investments Ltd. an warning e-mail transcribed below:

"Dear Valued Client:

As you may be aware, a class action and proposed settlement regarding the case In re Braskem Securities Litigationis pending in the United States District Court for the Southern District of New York (the "Class Action and Proposed Settlement"). The members of the class consist of all persons or entities who purchased or otherwise acquired a legal or beneficial ownership interest in Braskem, S.A. American Depositary Receipts ("ADR") (ticker symbol: BAK) between July 15, 2010 and March 11, 2015, both dates inclusive (the "Settlement Class"). You are receiving this message because you may have purchased or otherwise acquired Braskem, S.A. ADRs between July 15, 2010 and March 11, 2015, both dates inclusive, and, if you are a member of the Settlement Class, you may be entitled to share in the proceeds of the Proposed Settlement."

Safra Bank sent also an attachment to the e-mail, reproduced below, informing the BAK acquisition dates, quantities and types. The information from Safra triggered our actions to be included in the present *Class Action and Proposed Settlement.*

DIRECTOR	OFFICER	CUST.	CUSIP	SEC DESC	TRANS.	QTY.	TRADE DATE	SETTLE DATE	PRICE	NET_AMOUNT	TRAILER
					TYPE						
FLAVIO	Rogerio Faria Paes (057)	METE	105532105	BRASKEM S A	BUY	500	03/08/2011	08/08/2011	20,76	10.505,00	SAFRA TRADE ID: 115091
FLAVIO	Rogerio Faria Paes (057)	METE	105532105	BRASKEM S A	RECEIVE	4800	20/07/2011	20/07/2011	0	-	FREE RECV FROM DTC▮▮▮▮

DECEMBER 23rd, 2017

[signature]

Bernardo Leal Costa

ATTACHMENT 8

Consolidated Statement of Accounts

December 01 through December 31, 2011

Equities & Stocks

Investments products are not bank deposits or FDIC insured, are not obligations or guaranteed by the bank, are not insured by Federal Governmental agencies, and are subject to investment risks, including possible loss of principal amount invested.
(*)- See Activity Details Section

Securities Account Number ▮▮▮▮▮▮▮

Common Stock

Symbol	Description	Currency	Quantity	Average Purchase Price (USD)	Closing Price Date	Closing Price (USD)	Market Value (USD)
BAK	BRASKEM S A	USD	5,300	N/A	12/30/2011	$14.10	$74,730.00

Total Market Value (USD)

Bernardo Viola

CERTIFIED MAIL

7017 1450 0000 4034 8312

UNITED STATES
POSTAL SERVICE
1000

43017

U.S. POSTAGE
PAID
WELLESLEY HILLS, MA
02481
DEC 30, 17
AMOUNT
$7.50
R2303S102056-08
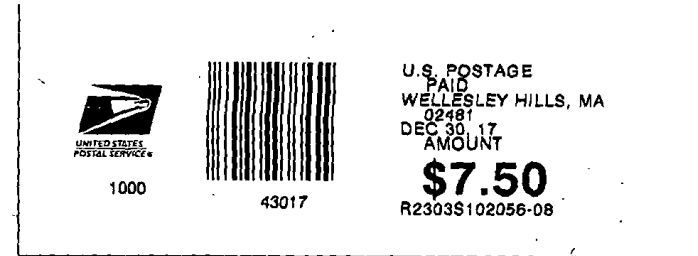
Braskem, S.A. Securities Settlement
c/o GCG
P.O. Box 10495
Dublin, Ohio 43017-4095

Braskem, S.A., Securities Settlement
c/o GCG
P.O. Box 10495
Dublin, Ohio 43017-4095
(855) 872-7076

BSK0201750689

METEORA INVESTMENTS LTD

Garden City Group, LLC
MAY 2 4 2018

Mailing Date: April 30, 2018
Response Due Date: May 21, 2018
Claim No: 1116419

Eligible Securities:
American Depositary Receipts (ADRs)
Class Period:
July 15, 2010 through March 11, 2015, inclusive

NOTICE OF REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim Form ("Claim Form") that you submitted in connection with the *Braskem, S.A. Securities Settlement*. Based on our review of the Claim Form and documentation you submitted (if any), your Claim has been conditionally rejected in its entirety pursuant to the terms of the Court approved Settlement.

Your Claim may have been rejected for one or more reasons. If you wish to cure your Claim, please review the attached "Rejection Reason(s)" document and respond accordingly. Please note the following when responding:

- You **must** include a copy of this notice with any response and reference your Claim Number on all submissions.
- Your response **must** be received or postmarked no later than the Response Due Date noted above.
- If you fail to respond by the Response Due Date, or your response fails to cure the rejection reason(s) identified, your Claim will be rejected **in its entirety**.

Please take a moment to read *What You Need to Know* which is enclosed with this notice. If you still have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (855) 872-7076. If you would like to view or download the Settlement Notice (which contains the Plan of Allocation), you may do so on the settlement website at www.BraskemSecuritiesSettlement.com.

Very truly yours,

Garden City Group, LLC

Claims Administrator

Claim No: 1116419

Rejection Reason(s)

1. YOUR CLAIM IS MISSING INFORMATION AND/OR APPROPRIATE SUPPORTING DOCUMENTATION FOR CERTAIN TRANSACTIONS.

The specific transactions at issue are listed in the chart below. The part of the chart that is blank indicates where information is missing.

Transaction	Security	Trade date	Number of ADRs	Price Per ADR	Net Amount
Purchases	ADR	07/21/2011	2,400.00	27.56	66,144.00
Purchases	ADR	07/21/2011	2,400.00	27.56	66,144.00

HOW TO RESOLVE: You must submit acceptable documentation supporting the transaction(s) and/or holding position(s) listed in the chart above to the Claims Administrator by the Response Due Date. Specifically, the documentation must reflect or support all transactions and holding information called for in Part II of the Claim form. With respect to the required "holding" positions, the documentation need only support the number of ADRs held as of the dates set forth in the Claim Form. Acceptable documentation includes securities broker's confirmation slips, month and year-end account statements, or similar documentation (handwritten or self-generated documents are not acceptable).

If there is a blank space ("_____") in any of the boxes in the chart above, this means that the Claim Form and supporting documentation submitted did not provide this information regarding the transaction(s)/holding position(s) at issue. You must submit acceptable supporting documentation that not only provides this missing information, but also contains the other information listed in the chart above, therefore the documentation must be complete

If there is an "N/A" in any of the boxes in the chart above, this means that the supporting documentation need not provide this information.   For example, in order to fully document "Unsold Holdings" as of the close of trading on June 8, 2015, you need only submit acceptable supporting documentation demonstrating the number of ADRs held; you do NOT need to provide documentation demonstrating the "Purchase/Sale Price Per ADR" or "Net Amount" paid for those holdings.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. Your claim and its administrative rejection will be presented to the Court for review.

UPON RECEIPT OF SUPPORTING DOCUMENTATION, YOUR CLAIM WILL BE RE-REVIEWED TO DETERMINE WHETHER OR NOT YOUR CLAIM HAS BEEN CURED.

COURT REVIEW MAY BE REQUESTED IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

AS A REMINDER, THIS IS THE ONLY NOTICE THAT YOU WILL RECEIVE REGARDING THIS REJECTION.

To   May 20th, 2018

The Garden City Group, LLC

P. O. Box 10495

Dublin, Ohio 43017-4095

Subject: Braskem, S.A. Securities Settlement - Rejection of Claim #1116419

Dear Sirs,

You sent the Overview and Welcome to the Braskem, S.A. Securities Settlement to Safra Bank of New York, and they forwarded it to Meteora. That document contained a summary of the terms of the proposed Settlement, where was mentioned, literally, that one would be a Settlement Class Member *"If (one) **purchased or acquired** the American Depositary Receipts of Braskem, S.A., between July 15, 2010 and March 11, 2015, both dates Inclusive..."*.

Coherently, in the Proof of Claim documentation, PART II - SCHEDULE OF HOLDINGS AND TRANSACTIONS IN BRASKEM, S.A. AMERICAN DEPOSITARY RECEIPT, PURCHASES/**ACQUISITIONS**, recommends the claimer to *"**list all purchases and acquisitions of Braskem ADRs (ticker symbol: BAK) between July 15, 2010 and June 8, 2015, both dates inclusive. Be sure to attach documentation verifying your transactions. (Must be documented).**"* We proceeded accordingly.

However, the Reject Reason(s) table, part of the "Notice of Rejection of Your Entire Claim" mistakenly lists our **ACQUISITIONS** entries specifically as PURCHASES, and not Purchases / Acquisitions, as it should. Coherently, someone less attentive could seek for documentation of **PURCHASES,** and wouldn't not find any, thus sorting our claim to the rejection pile!

We included in our claim (attachment #4), documentation capable to confirm that Meteora Investments Ltd. actually *acquired* 4,800 BDRs of BAK on July 21, 2011, that were then alienated by the Trustees, as Dation in Payment to subscribe the capital of that Company. Our claim Attachment #1 and others , further explains the circumstances in detail.

We expect this rejection to be revoked in consideration of the facts mentioned above. As this is our only opportunity to respond to your letter, we are requesting Court review of our claim AND this letter.

Very truly yours,

_____

Bernardo Leal Costa   Maria Helena F. Leal Costa

Trustees of Meteora Investments Ltd.

Meberza Investments Ltd

7016 1970 0001 2188 7099

To
The Garden City Group, LLC
P.O. Box 10495
Dublin, Ohio 43017-4095
Braskem, Securities Settlement

43017-409595

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UNITED STATES POSTAL SERVICE
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PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS, FOLD AT DOTTED LINE
CERTIFIED MAIL®

Braskem, S.A., Securities Settlement
c/o GCG
P.O. Box 10495
Dublin, Ohio 43017-4095
(855) 872-7076

BSK0201750689

METEORA INVESTMENTS LTD
C/O BERNARDO LEALCOSTA

Mailing Date: April 30, 2018
Response Due Date: May 21, 2018
Claim No: 1116419

Eligible Securities:
American Depositary Receipts (ADRs)
Class Period:
July 15, 2010 through March 11, 2015, inclusive

NOTICE OF REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim Form ("Claim Form") that you submitted in connection with the *Braskem, S.A. Securities Settlement*. Based on our review of the Claim Form and documentation you submitted (if any), your Claim has been conditionally rejected in its entirety pursuant to the terms of the Court approved Settlement.

Your Claim may have been rejected for one or more reasons. If you wish to cure your Claim, please review the attached "Rejection Reason(s)" document and respond accordingly. Please note the following when responding:

- You **must** include a copy of this notice with any response and reference your Claim Number on all submissions.
- Your response **must** be received or postmarked no later than the Response Due Date noted above.
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Very truly yours,

Garden City Group, LLC

Claims Administrator

Claim No: 1116419

Rejection Reason(s)

1. YOUR CLAIM IS MISSING INFORMATION AND/OR APPROPRIATE SUPPORTING DOCUMENTATION FOR CERTAIN TRANSACTIONS.

The specific transactions at issue are listed in the chart below. The part of the chart that is blank indicates where information is missing.

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Purchases	ADR	07/21/2011	2,400.00	27.56	66,144.00

HOW TO RESOLVE**:** You must submit acceptable documentation supporting the transaction(s) and/or holding position(s) listed in the chart above to the Claims Administrator by the Response Due Date. Specifically, the documentation must reflect or support all transactions and holding information called for in Part II of the Claim form. With respect to the required "holding" positions, the documentation need only support the number of ADRs held as of the dates set forth in the Claim Form. Acceptable documentation includes securities broker's confirmation slips, month and year-end account statements, or similar documentation (handwritten or self-generated documents are not acceptable).

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UPON RECEIPT OF SUPPORTING DOCUMENTATION, YOUR CLAIM WILL BE RE-REVIEWED TO DETERMINE WHETHER OR NOT YOUR CLAIM HAS BEEN CURED.

COURT REVIEW MAY BE REQUESTED IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

AS A REMINDER, THIS IS THE ONLY NOTICE THAT YOU WILL RECEIVE REGARDING THIS REJECTION.

From:	blcosta ▓▓▓▓▓▓▓▓▓▓▓▓▓▓
Sent:	Thursday, July 19, 2018 10:20 AM
To:	BSK_Questions
Subject:	Re: Braskem, S.A. Securities Settlement - Claim 1116419

Dear Sirs,

The only request for Court review I submited referred to a previous claim elimination for lack of documentation. I would like to know if THAT request was submitted, and if it was successful or not, before I consider ANOTHER request.

Regards,

Bernardo Leal Costa

De: Questions@BraskemSecuritiesSettlement.com
Enviada: Terça-feira, 17 de Julho de 2018 20:37
Para: ▓▓▓▓▓▓▓▓▓▓▓▓
Assunto: Braskem, S.A. Securities Settlement - Claim 1116419

Bernardo,

Thank you for speaking with me this afternoon. As discussed, your claim is ineligible because it does not calculate to a Recognized Loss in accordance with the Court-approved Plan of Allocation. Please refer to page 6, paragraph A-2(a) of the attached notice for details on how your claim was calculated.

Please confirm if you wish to withdraw your request for court review.

Regards,

The Claims Administrator (AMC)

Braskem, S.A. Securities Settlement

Phone: 855-872-7076

Website: www.BraskemSecuritiesSettlement.com

1

EXHIBIT C

EXHIBIT C-1

Timely Authorized Claimants
BRASKEM_SECURITIES_LITIGATION

Claim #	Recognized Claim
1000074	-1,770.00
1000136	-531.00
1001047	-4,260.00
1001103	-12,706.00
1001187	-177.00
1001194	-958.95
1001223	-1,947.00
1001261	-354.00
1001285	-885.00
1001325	-97.92
1001499	-177.00
1001509	-3,540.00
1001516	-885.00
1001714	-5,310.34
1001894	-318.60
1001902	-3,540.00
1001948	-3,540.00
1002000	-123.90
1002027	-1,416.00
1002034	-177.00
1002083	-752.15
1002108	-177.00
1002128	-531.00
1002141	-250.00
1002146	-225.00
1002162	-939.49
1002383	-8,850.00
1002444	-1,947.00
1002445	-584.10
1002452	-221.25
1002710	-235.00
1002879	-5,310.00
1003076	-354.00
1003137	-2,124.00
1003571	-3,540.00
1003803	-123.00
1003925	-531.00
1004043	-1,557.60
1004044	-354.00
1004089	-177.00
1004181	-46.00
1004196	-531.00
1004233	-708.00
1004330	-885.00
1004337	-177.00
1004357	-70.80
1004456	-637.20
1004482	-885.00

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Timely Authorized Claimants
BRASKEM_SECURITIES_LITIGATION

Page   2   of   10
09-Nov-18   2:34 PM

Claim #	Recognized Claim
1004519	-54.88
1004560	-3,540.00
1004564	-1,770.00
1004745	-26.55
1004935	-265.50
1004970	-240.80
1005005	-177.00
1005133	-65.49
1005247	-177.00
1005249	-44.72
1005304	-4,334.14
1005377	-531.00
1005778	-71.57
1005839	-177.00
1005860	-88.50
1005881	-7.21
1005909	-35.40
1006028	-1,293.00
1006029	-19,290.00
1006053	-61.95
1006055	-61.95
1006124	-79.65
1006331	-885.00
1006426	-38.94
1006465	-177.00
1006510	-2,540.00
1006696	-8,850.00
1006697	-8,230.50
1006786	-5,407.35
1006838	-35.40
1006952	-398.25
1007008	-177.00
1007013	-531.00
1007085	-217.71
1007348	-531.00
1007396	-325.70
1007439	-252.00
1007474	-670.43
1007556	-1,310.00
1007643	-4,425.00
1007698	-1,770.00
1007700	-67.10
1007713	-619.50
1007741	-191.73
1007747	-177.00
1007777	-3,540.00
1007814	-2,301.00
1007816	-531.00

MC64N
MC64N079

Timely Authorized Claimants
BRASKEM_SECURITIES_LITIGATION

Page   3   of   10
09-Nov-18   2:34 PM

Claim #	Recognized Claim
1007817	-2,444.95
1007856	-589.99
1007869	-885.00
1007870	-885.00
1007871	-885.00
1007872	-885.00
1007908	-372.00
1007915	-39,471.00
1007992	-582.00
1008008	-1,428.39
1008092	-531.00
1008157	-1,770.00
1008178	-31.86
1008180	-265.50
1008311	-619.50
1008408	-177.00
1008428	-177.00
1008463	-442.50
1008478	-1,770.00
1008669	-15,930.00
1008808	-211.00
1008829	-116.00
1008898	-407.68
1008932	-177.00
1009160	-1,457.95
1009190	-26.55
1114098	-1,770.00
1114208	-1,416.00
1114449	-1,770.00
1114454	-2,601.90
1114455	-177.00
1114462	-424.80
1114613	-885.00
1114636	-38.94
1115064	-123.00
1115247	-177.00
1115276	-1,053.15
1115305	-747.21
1115312	-130.98
1115322	-178.77
1115328	-123.90
1115341	-15,753.00
1115342	-1,017.63
1115365	-360.88
1115368	-58.41
1115369	-155.76
1115450	-276,643.92
1115452	-242.49

Timely Authorized Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Recognized Claim
1115456	-7,965.00
1115457	-449.58
1115463	-207.09
1115464	-354.00
1115466	-591.18
1115467	-237.18
1115468	-166.89
1115469	-210.63
1115470	-120.36
1115475	-531.00
1115477	-247.80
1115478	-718.62
1115479	-591.18
1115481	-313.29
1115483	-88.50
1115504	-354.00
1115514	-200.01
1115561	-531.00
1115744	-1,770.00
1115825	-570.00
1116013	-3,100.66
1116016	-177.00
1116096	-1,203.60
1116295	-550.51
1116399	-295.59
1116403	-118.59
1116416	-125.67
1116418	-430.11
1116444	-1.77
1116450	-823.05
1116456	-5,310.00
1116468	-3.54
1117440	-25,665.00
1117443	-5,310.00
1117445	-938.00
1117449	-88.50
1117465	-22,364.32
1117472	-182.31
1117478	-28,813.83
1117489	-105.44
1117491	-708.00
1117493	-207.09
1117499	-148.68
1117500	-1,079.70
1117508	-177.00
1117509	-6,018.00
1117510	-354.00
1117513	-619.50

Timely Authorized Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Recognized Claim
1117521	-1,560.00
1117523	-120.36
1117535	-393,841.40
5000020	-300.00
5000032	-5,533.02
5000059	-885.00
5000061	-1,215.99
5000063	-115.05
5000065	-1.77
5000067	-37.17
5000206	-923.94
5000207	-246.03
5000246	-985.89
5000248	-6,044.55
5000263	-332.11
5000504	-18,939.00
5000505	-177.00
5000506	-1,589.46
5000512	-22,302.00
5000583	-69.00
5000586	-35,167.74
5000596	-5,532.00
5000614	-345.15
5000618	-177.00
5000630	-529.23
5000631	-131,459.20
5000637	-637.20
5000650	-63,098.81
5000651	-281.43
5000652	-677.91
5000653	-1,031.91
5000654	-1,462.02
5000658	-11,505.00
5000659	-5,664.00
5000660	-3,186.00
5000666	-115,227.00
5000670	-500.00
5000675	-9,420.53
5000676	-12,948.31
5000677	-1,415.48
5000680	-18,939.00
5000681	-2,124.00
5000683	-569.94
5000684	-1,279,858.68
5000685	-171,494.70
5000688	-219,564.00
5000695	-67,314.94
5000716	-2,554.11

Timely Authorized Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Recognized Claim
5000748	-70.80
5000767	-82,397.04
5000769	-508,052.77
5000796	-277,111.20
5000816	-10,112.01
5000823	-708.00
5000848	-177.00
5000892	-708.00
5000894	-20.80
5000906	-879.88
5000907	-12.98
5000919	-2,444.44
5000920	-46,905.00
5000922	-793.76
5000923	-8,845.36
5000925	-936.33
5000926	-155.76
5000929	-307.98
5000930	-56.64
5000932	-19,490.22
5000934	-706.23
5000936	-19,399.74
5000937	-189.39
5000941	-51,507.00
5000942	-1,008.17
5000945	-16,299.93
5000946	-17,425.37
5000947	-3,991.35
5000948	-1,392.99
5000949	-59,472.00
5000950	-8,319.00
5000953	-32,757.39
5000963	-14.16
5000966	-72.57
5000969	-11,593.50
5000979	-6,676.44
5000982	-2,230.20
5000985	-382,219.33
5000991	-11.97
5000992	-6,092.34
5000997	-2,309.70
5000998	-868.00
5001001	-4,867.50
5001002	-929.25
5001003	-387.63
5001004	-1,575.30
5001005	-1,299.18
5001006	-5,317.08

Timely Authorized Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Recognized Claim
5001007	-858.45
5001008	-339.84
5001012	-11,966.97
5001016	-1,982.40
5001025	-83.49
5001026	-15.48
5001033	-2,423.96
5001034	-8,295.35
5001039	-326.00
5001043	-265.50
5001045	-959.34
5001057	-19,320.66
5001058	-23,614.84
5001061	-528,750.00
5001062	-442,500.00
5001063	-7,434.00
5001084	-3,770.10
5001085	-274,350.00
5001088	-100,282.89
5001090	-158,528.28
5001091	-3,203.70
5001104	-486.01
5001106	-380.55
5001107	-371.70
5001109	-389.40
5001110	-18,815.94
5001120	-927.88
5001128	-885.00
5001132	-708.00
5001141	-27,976.75
5001142	-13,053.75
5001143	-663.75
5001162	-8,819.04
5001169	-1,118.64
5001173	-231.87
5001282	-247,309.53
5001291	-2,400,488.53
5001308	-219.48
5001317	-309.75
5001331	-28.32
5001351	-1,770.00
5001361	-12,567.00
5001379	-1,166.43
5001385	-486.75
5001391	-640.74
5001404	-441.37
5001414	-1,770.00
5001450	-1,062.00

MC64N
MC64N079

Timely Authorized Claimants
BRASKEM_SECURITIES_LITIGATION

Page   8   of   10
09-Nov-18   2:34 PM

Claim #	Recognized Claim
5001470	-76.11
5001510	-1,421.31
5001512	-15,045.00
5001538	-531.00
5001571	-166.46
5001577	-177.00
5001603	-177.00
5001613	-72,375.22
5001618	-284.97
5001627	-12,390.00
5001640	-654.90
5001642	-348.69
5001643	-138.06
5001644	-589.41
5001646	-95.58
5001647	-6.30
5001650	-1,177.05
5001652	-5.90
5001654	-764.64
5001656	-17.70
5001662	-300.90
5001663	-1,088.55
5001665	-148.68
5001670	-5.90
5001674	-107.25
5001681	-8.85
5001689	-4.77
5001690	-51.33
5001696	-17.70
5001698	-53.10
5001712	-2,131.08
5001713	-2,191.57
5001725	-34,360.15
5001731	-513.00
5001732	-3,594.87
5001733	-6,726.00
5001736	-610.65
5001738	-309,750.00
5001739	-11,901.43
5001741	-5,152.84
5001742	-561,788.34
5001746	-6,195.00
5001747	-428,144.74
5001748	-9,027.00
5001749	-22,965.75
5001750	-117,897.93
5001751	-19,223.97
5001753	-6,142.23

Timely Authorized Claimants
BRASKEM_SECURITIES_LITIGATION

Claim #	Recognized Claim
5001754	-15,045.00
5001756	-79,939.55
5001757	-5,104.64
5001758	-81,071.90
5001759	-15,558.96
5001760	-214.71
5001761	-54,480.61
5001762	-2,295,464.70
5001763	-1,068,444.52
5001765	-885.39
5001766	-19,542.93
5001768	-1,054.92
5001770	-5,841.00
5001773	-292.05
5001774	-154,622.46
5001775	-7,434.00
5001776	-10,802.31
5001777	-15,694.59
5001780	-118,940.68
5001783	-43,365.00
5001786	-16,057.83
5001791	-4,708.20
5001792	-3.54
5001797	-129.21
5001806	-265,340.70
5001810	-19,824.00
5001814	-12,478.50
5001815	-12,478.50
5001821	-88,146.00
5001888	-2,389.50
5001901	-118,944.00
5001902	-48,651.13
5001903	-4,191.16
5001909	-71,094.63
5001912	-531.00
5001958	-54,225.72
5001976	-354.00
5001979	-1.36
5002001	-276.12
5002006	-7,146.82
5002014	-20,339.62
5002020	-46,195.87
5002022	-9,466.57
5002026	-42,025.65
5002032	-9,741.43
5002034	-4,696.98
5002036	-79,858.22
5002038	-70,007.16

Timely Authorized Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Recognized Claim
5002041	-80,760.40
5002042	-221,588.79
5002043	-70,510.50
5002044	-41,888.15
5002045	-31,035.10
5002046	-80,964.94
5002047	-15,168.58
5002064	-3,697.53
5002149	-132.75
5002155	-885.00
5002201	-177.00
5002224	-138.06
5002257	-442.50
5002263	-531.00
5002272	-1,770.00
5002279	-3,540.00
5002302	-177.00
5002321	-1,770.00
5002358	-478.00
5002369	-566.40
5002381	-885.00
5002386	-35.40
5002388	-91,509.00
5002393	-18,556.68
5002396	-2,655.00
5002398	-48,192.01
5002417	-338.07
5002427	-171.69
5002447	-21,712.59
5002474	-251.34
5002480	-63.72
5002517	-306.06
5002522	-1,860.00
5002526	-413.00
10000027	-787.65
10000028	-1,437.24
10000034	-26,550.00

Claim Count:	469	Total Loss:	-16,632,533.11

EXHIBIT C-2

Late But Otherwise Authorized Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Recognized Claim
1004253	-1,108.74
1007121	-8,850.00
1116039	-2,301.00
1116257	-4,248.00
1116943	-177.00
1117515	-3.68
1117518	-6,195.00
5001631	-281.43
5001826	-3.40
5001832	-25.62
5001848	-4,094.82
5001851	-37.08
5001852	-5.15
5001853	-3.40
5001854	-180,244.33
5001862	-3.40
5001863	-1,770.00
5002048	-62,410.20
5002057	-2,577.12
5002061	-45,739.46
5002397	-7,522.50
5002493	-16,268.07
5002501	-504.45
5002538	-145,570.00
5002539	-152,693.96
5002542	-4,927.68
5002556	-1,851.42
5002557	-9,027.00
5002562	-562,023.66
5002564	-33,453.00
5002565	-324,099.32
5002566	-402,388.26
5002567	-155,760.00
5002568	-132,219.00
5002569	-8,212.80
5002576	-154,509.29
5002580	-1,770.00
5002582	-9,933.24
5002589	-52,651.63
5002590	-62,304.00
5002591	-278,764.38
5002592	-1,851.42
5002594	-783,229.59
5002595	-586,690.28
5002596	-287,455.31
5002598	-94,222.41
5002599	-10,947.45
5002600	-21,301.95

Late But Otherwise Authorized Claimants
BRASKEM_SECURITIES_LITIGATION

Claim #	Recognized Claim
5002601	-172,718.37
5002602	-28,105.83
5002603	-67,342.43
5002610	-5,727.72
5002615	-4,005.40
5002619	-35,808.10
5002620	-17,784.96
5002622	-738.09
5002623	-35.40
5002631	-54.87
5002639	-6,743.70
5002645	-327.45
5002649	-3.92
5002666	-166.38
5002670	-31.86
5002671	-46.02
5002689	-465.51
5002691	-88.50
5002701	-500.91
5002703	-327.45
5002720	-794,564.04
5002724	-20,386.86
5002727	-3,816.22
5002729	-202.00
5002735	-6,129.51
5002737	-2,750.97
5002757	-329,043.00
5002761	-299.13

Claim Count: **76**   **Total Loss:**   **-6,120,445.50**

EXHIBIT C-3

MC66N	**Rejected or Ineligible Claimants**	Page 1 of 60
MC66N189	BRASKEM_SECURITIES_LITIGATION	13-Nov-18 12:38 PM

Claim #	Reason Deemed Ineligible
1000044	DID NOT RESULT IN A RECOGNIZED CLAIM
1000137	DID NOT RESULT IN A RECOGNIZED CLAIM
1000138	DID NOT RESULT IN A RECOGNIZED CLAIM
1000144	DID NOT RESULT IN A RECOGNIZED CLAIM
1000175	DID NOT RESULT IN A RECOGNIZED CLAIM
1000221	DEFICIENT CLAIM NEVER CURED
1000299	DID NOT RESULT IN A RECOGNIZED CLAIM
1000314	DID NOT RESULT IN A RECOGNIZED CLAIM
1000329	DID NOT RESULT IN A RECOGNIZED CLAIM
1000334	DID NOT RESULT IN A RECOGNIZED CLAIM
1000345	DEFICIENT CLAIM NEVER CURED
1000530	DID NOT RESULT IN A RECOGNIZED CLAIM
1000570	DEFICIENT CLAIM NEVER CURED
1000760	DID NOT RESULT IN A RECOGNIZED CLAIM
1000773	DID NOT RESULT IN A RECOGNIZED CLAIM
1000783	DEFICIENT CLAIM NEVER CURED
1000784	DEFICIENT CLAIM NEVER CURED
1000824	DID NOT RESULT IN A RECOGNIZED CLAIM
1000836	DEFICIENT CLAIM NEVER CURED
1000842	DID NOT RESULT IN A RECOGNIZED CLAIM
1000852	DID NOT RESULT IN A RECOGNIZED CLAIM
1000919	DID NOT RESULT IN A RECOGNIZED CLAIM
1000934	DEFICIENT CLAIM NEVER CURED
1000938	DEFICIENT CLAIM NEVER CURED
1000965	DID NOT RESULT IN A RECOGNIZED CLAIM
1000982	DID NOT RESULT IN A RECOGNIZED CLAIM
1000992	DEFICIENT CLAIM NEVER CURED
1001015	DID NOT RESULT IN A RECOGNIZED CLAIM
1001048	DEFICIENT CLAIM NEVER CURED
1001075	DID NOT RESULT IN A RECOGNIZED CLAIM
1001116	DEFICIENT CLAIM NEVER CURED
1001126	DID NOT RESULT IN A RECOGNIZED CLAIM
1001133	DID NOT RESULT IN A RECOGNIZED CLAIM
1001142	DID NOT RESULT IN A RECOGNIZED CLAIM
1001172	DEFICIENT CLAIM NEVER CURED
1001217	DID NOT RESULT IN A RECOGNIZED CLAIM
1001227	DID NOT RESULT IN A RECOGNIZED CLAIM
1001259	DEFICIENT CLAIM NEVER CURED
1001260	DID NOT RESULT IN A RECOGNIZED CLAIM
1001377	DID NOT RESULT IN A RECOGNIZED CLAIM
1001398	DID NOT RESULT IN A RECOGNIZED CLAIM
1001476	DID NOT RESULT IN A RECOGNIZED CLAIM
1001512	DEFICIENT CLAIM NEVER CURED
1001578	DEFICIENT CLAIM NEVER CURED
1001593	DID NOT RESULT IN A RECOGNIZED CLAIM
1001805	DID NOT RESULT IN A RECOGNIZED CLAIM
1001807	DID NOT RESULT IN A RECOGNIZED CLAIM
1001827	DEFICIENT CLAIM NEVER CURED
1001829	DEFICIENT CLAIM NEVER CURED
1001865	DEFICIENT CLAIM NEVER CURED
1002022	DEFICIENT CLAIM NEVER CURED

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1002063	DID NOT RESULT IN A RECOGNIZED CLAIM
1002158	DID NOT FIT THE DEFINITION OF THE CLASS
1002167	DEFICIENT CLAIM NEVER CURED
1002389	DID NOT RESULT IN A RECOGNIZED CLAIM
1002465	DID NOT RESULT IN A RECOGNIZED CLAIM
1002605	DID NOT RESULT IN A RECOGNIZED CLAIM
1002628	DID NOT RESULT IN A RECOGNIZED CLAIM
1002641	DID NOT RESULT IN A RECOGNIZED CLAIM
1002709	DID NOT FIT THE DEFINITION OF THE CLASS
1002784	DID NOT RESULT IN A RECOGNIZED CLAIM
1003018	DID NOT RESULT IN A RECOGNIZED CLAIM
1003133	DID NOT RESULT IN A RECOGNIZED CLAIM
1003197	DID NOT RESULT IN A RECOGNIZED CLAIM
1003483	DID NOT RESULT IN A RECOGNIZED CLAIM
1003518	DID NOT RESULT IN A RECOGNIZED CLAIM
1003526	DID NOT RESULT IN A RECOGNIZED CLAIM
1003796	DID NOT RESULT IN A RECOGNIZED CLAIM
1003798	DID NOT RESULT IN A RECOGNIZED CLAIM
1003830	DID NOT RESULT IN A RECOGNIZED CLAIM
1003933	DEFICIENT CLAIM NEVER CURED
1003936	DEFICIENT CLAIM NEVER CURED
1003945	DID NOT RESULT IN A RECOGNIZED CLAIM
1003953	DID NOT RESULT IN A RECOGNIZED CLAIM
1003979	DID NOT RESULT IN A RECOGNIZED CLAIM
1003991	DID NOT RESULT IN A RECOGNIZED CLAIM
1003993	DID NOT RESULT IN A RECOGNIZED CLAIM
1003994	DID NOT RESULT IN A RECOGNIZED CLAIM
1003996	DID NOT RESULT IN A RECOGNIZED CLAIM
1004082	DEFICIENT CLAIM NEVER CURED
1004179	DID NOT RESULT IN A RECOGNIZED CLAIM
1004266	DEFICIENT CLAIM NEVER CURED
1004285	DID NOT RESULT IN A RECOGNIZED CLAIM
1004299	DID NOT FIT THE DEFINITION OF THE CLASS
1004324	DID NOT RESULT IN A RECOGNIZED CLAIM
1004326	DID NOT RESULT IN A RECOGNIZED CLAIM
1004333	DID NOT RESULT IN A RECOGNIZED CLAIM
1004362	DID NOT RESULT IN A RECOGNIZED CLAIM
1004363	DID NOT RESULT IN A RECOGNIZED CLAIM
1004381	DID NOT RESULT IN A RECOGNIZED CLAIM
1004401	DID NOT RESULT IN A RECOGNIZED CLAIM
1004407	DID NOT RESULT IN A RECOGNIZED CLAIM
1004432	DID NOT FIT THE DEFINITION OF THE CLASS
1004486	DID NOT RESULT IN A RECOGNIZED CLAIM
1004489	DEFICIENT CLAIM NEVER CURED
1004507	DID NOT RESULT IN A RECOGNIZED CLAIM
1004524	DID NOT RESULT IN A RECOGNIZED CLAIM
1004568	DEFICIENT CLAIM NEVER CURED
1004641	DID NOT RESULT IN A RECOGNIZED CLAIM
1004642	DID NOT RESULT IN A RECOGNIZED CLAIM
1004688	DID NOT RESULT IN A RECOGNIZED CLAIM
1004787	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1004792	DID NOT RESULT IN A RECOGNIZED CLAIM
1004808	DID NOT RESULT IN A RECOGNIZED CLAIM
1004849	DID NOT RESULT IN A RECOGNIZED CLAIM
1004859	DEFICIENT CLAIM NEVER CURED
1004866	DID NOT RESULT IN A RECOGNIZED CLAIM
1004915	DID NOT RESULT IN A RECOGNIZED CLAIM
1004916	DID NOT RESULT IN A RECOGNIZED CLAIM
1004944	DID NOT FIT THE DEFINITION OF THE CLASS
1005154	DID NOT RESULT IN A RECOGNIZED CLAIM
1005199	DEFICIENT CLAIM NEVER CURED
1005213	DID NOT RESULT IN A RECOGNIZED CLAIM
1005241	DID NOT RESULT IN A RECOGNIZED CLAIM
1005256	DID NOT FIT THE DEFINITION OF THE CLASS
1005257	DID NOT RESULT IN A RECOGNIZED CLAIM
1005264	DID NOT RESULT IN A RECOGNIZED CLAIM
1005268	DID NOT FIT THE DEFINITION OF THE CLASS
1005272	DEFICIENT CLAIM NEVER CURED
1005278	DID NOT RESULT IN A RECOGNIZED CLAIM
1005294	DID NOT RESULT IN A RECOGNIZED CLAIM
1005307	DID NOT RESULT IN A RECOGNIZED CLAIM
1005308	DEFICIENT CLAIM NEVER CURED
1005347	DID NOT RESULT IN A RECOGNIZED CLAIM
1005379	DID NOT RESULT IN A RECOGNIZED CLAIM
1005401	DID NOT FIT THE DEFINITION OF THE CLASS
1005468	DID NOT FIT THE DEFINITION OF THE CLASS
1005471	DID NOT RESULT IN A RECOGNIZED CLAIM
1005472	DID NOT RESULT IN A RECOGNIZED CLAIM
1005488	DID NOT RESULT IN A RECOGNIZED CLAIM
1005499	DID NOT RESULT IN A RECOGNIZED CLAIM
1005510	DID NOT RESULT IN A RECOGNIZED CLAIM
1005590	DID NOT RESULT IN A RECOGNIZED CLAIM
1005605	DEFICIENT CLAIM NEVER CURED
1005641	DEFICIENT CLAIM NEVER CURED
1005647	DID NOT RESULT IN A RECOGNIZED CLAIM
1005681	DID NOT FIT THE DEFINITION OF THE CLASS
1005693	DID NOT RESULT IN A RECOGNIZED CLAIM
1005696	DID NOT RESULT IN A RECOGNIZED CLAIM
1005697	DID NOT RESULT IN A RECOGNIZED CLAIM
1005707	DID NOT RESULT IN A RECOGNIZED CLAIM
1005788	DID NOT RESULT IN A RECOGNIZED CLAIM
1005806	DEFICIENT CLAIM NEVER CURED
1005843	DID NOT FIT THE DEFINITION OF THE CLASS
1005861	DID NOT FIT THE DEFINITION OF THE CLASS
1005904	DEFICIENT CLAIM NEVER CURED
1005928	DEFICIENT CLAIM NEVER CURED
1005935	DID NOT RESULT IN A RECOGNIZED CLAIM
1005938	DID NOT RESULT IN A RECOGNIZED CLAIM
1005946	DEFICIENT CLAIM NEVER CURED
1005958	DEFICIENT CLAIM NEVER CURED
1005961	DID NOT RESULT IN A RECOGNIZED CLAIM
1005975	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1005991	DID NOT RESULT IN A RECOGNIZED CLAIM
1006042	DID NOT RESULT IN A RECOGNIZED CLAIM
1006044	DID NOT RESULT IN A RECOGNIZED CLAIM
1006133	DID NOT RESULT IN A RECOGNIZED CLAIM
1006165	DID NOT RESULT IN A RECOGNIZED CLAIM
1006174	DEFICIENT CLAIM NEVER CURED
1006305	DID NOT RESULT IN A RECOGNIZED CLAIM
1006306	DID NOT RESULT IN A RECOGNIZED CLAIM
1006332	DEFICIENT CLAIM NEVER CURED
1006432	DEFICIENT CLAIM NEVER CURED
1006487	DID NOT RESULT IN A RECOGNIZED CLAIM
1006491	DID NOT RESULT IN A RECOGNIZED CLAIM
1006624	DID NOT RESULT IN A RECOGNIZED CLAIM
1006664	DID NOT RESULT IN A RECOGNIZED CLAIM
1006746	DEFICIENT CLAIM NEVER CURED
1006813	DID NOT RESULT IN A RECOGNIZED CLAIM
1006823	DEFICIENT CLAIM NEVER CURED
1006834	DID NOT RESULT IN A RECOGNIZED CLAIM
1006970	DID NOT RESULT IN A RECOGNIZED CLAIM
1006991	DID NOT RESULT IN A RECOGNIZED CLAIM
1007009	DEFICIENT CLAIM NEVER CURED
1007094	DID NOT RESULT IN A RECOGNIZED CLAIM
1007277	DID NOT RESULT IN A RECOGNIZED CLAIM
1007319	DID NOT RESULT IN A RECOGNIZED CLAIM
1007472	DEFICIENT CLAIM NEVER CURED
1007476	DID NOT RESULT IN A RECOGNIZED CLAIM
1007546	DID NOT RESULT IN A RECOGNIZED CLAIM
1007566	DID NOT RESULT IN A RECOGNIZED CLAIM
1007596	DID NOT RESULT IN A RECOGNIZED CLAIM
1007598	DEFICIENT CLAIM NEVER CURED
1007750	DID NOT RESULT IN A RECOGNIZED CLAIM
1007769	DID NOT RESULT IN A RECOGNIZED CLAIM
1007786	DID NOT RESULT IN A RECOGNIZED CLAIM
1007796	DID NOT RESULT IN A RECOGNIZED CLAIM
1007878	DID NOT RESULT IN A RECOGNIZED CLAIM
1007946	DID NOT RESULT IN A RECOGNIZED CLAIM
1007970	DID NOT RESULT IN A RECOGNIZED CLAIM
1007993	DID NOT RESULT IN A RECOGNIZED CLAIM
1008027	DID NOT RESULT IN A RECOGNIZED CLAIM
1008042	DID NOT RESULT IN A RECOGNIZED CLAIM
1008047	DID NOT RESULT IN A RECOGNIZED CLAIM
1008096	DID NOT RESULT IN A RECOGNIZED CLAIM
1008133	DID NOT RESULT IN A RECOGNIZED CLAIM
1008241	DID NOT RESULT IN A RECOGNIZED CLAIM
1008252	DID NOT RESULT IN A RECOGNIZED CLAIM
1008309	DID NOT RESULT IN A RECOGNIZED CLAIM
1008324	DEFICIENT CLAIM NEVER CURED
1008383	DEFICIENT CLAIM NEVER CURED
1008493	DID NOT RESULT IN A RECOGNIZED CLAIM
1008518	DID NOT RESULT IN A RECOGNIZED CLAIM
1008527	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1008585	DID NOT RESULT IN A RECOGNIZED CLAIM
1008638	DID NOT RESULT IN A RECOGNIZED CLAIM
1008684	DEFICIENT CLAIM NEVER CURED
1008691	DEFICIENT CLAIM NEVER CURED
1008722	DEFICIENT CLAIM NEVER CURED
1008764	DID NOT RESULT IN A RECOGNIZED CLAIM
1008810	DID NOT RESULT IN A RECOGNIZED CLAIM
1008819	DID NOT RESULT IN A RECOGNIZED CLAIM
1008823	DID NOT RESULT IN A RECOGNIZED CLAIM
1008889	DID NOT RESULT IN A RECOGNIZED CLAIM
1008951	DID NOT RESULT IN A RECOGNIZED CLAIM
1008958	DID NOT RESULT IN A RECOGNIZED CLAIM
1008968	DID NOT RESULT IN A RECOGNIZED CLAIM
1008987	DID NOT RESULT IN A RECOGNIZED CLAIM
1008992	DID NOT RESULT IN A RECOGNIZED CLAIM
1008993	DID NOT RESULT IN A RECOGNIZED CLAIM
1009032	DID NOT RESULT IN A RECOGNIZED CLAIM
1009037	DEFICIENT CLAIM NEVER CURED
1009050	DID NOT RESULT IN A RECOGNIZED CLAIM
1009053	DID NOT RESULT IN A RECOGNIZED CLAIM
1009056	DID NOT RESULT IN A RECOGNIZED CLAIM
1009102	DID NOT RESULT IN A RECOGNIZED CLAIM
1009276	DID NOT RESULT IN A RECOGNIZED CLAIM
1009294	DID NOT RESULT IN A RECOGNIZED CLAIM
1009367	DID NOT RESULT IN A RECOGNIZED CLAIM
1009398	DEFICIENT CLAIM NEVER CURED
1009457	DID NOT RESULT IN A RECOGNIZED CLAIM
1009615	DID NOT RESULT IN A RECOGNIZED CLAIM
1009640	DEFICIENT CLAIM NEVER CURED
1009671	DID NOT RESULT IN A RECOGNIZED CLAIM
1009679	DID NOT FIT THE DEFINITION OF THE CLASS
1009688	DID NOT RESULT IN A RECOGNIZED CLAIM
1009726	DID NOT RESULT IN A RECOGNIZED CLAIM
1009762	DID NOT RESULT IN A RECOGNIZED CLAIM
1009819	DEFICIENT CLAIM NEVER CURED
1009833	DID NOT RESULT IN A RECOGNIZED CLAIM
1009849	DID NOT RESULT IN A RECOGNIZED CLAIM
1009935	DID NOT RESULT IN A RECOGNIZED CLAIM
1010093	DID NOT RESULT IN A RECOGNIZED CLAIM
1010179	DID NOT RESULT IN A RECOGNIZED CLAIM
1010272	DID NOT RESULT IN A RECOGNIZED CLAIM
1010574	DID NOT RESULT IN A RECOGNIZED CLAIM
1010869	DID NOT RESULT IN A RECOGNIZED CLAIM
1011502	DID NOT RESULT IN A RECOGNIZED CLAIM
1011667	DID NOT RESULT IN A RECOGNIZED CLAIM
1011888	DEFICIENT CLAIM NEVER CURED
1011988	DID NOT RESULT IN A RECOGNIZED CLAIM
1012112	DID NOT RESULT IN A RECOGNIZED CLAIM
1012540	DID NOT RESULT IN A RECOGNIZED CLAIM
1012694	DEFICIENT CLAIM NEVER CURED
1012804	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1013314	DID NOT RESULT IN A RECOGNIZED CLAIM
1013497	DID NOT RESULT IN A RECOGNIZED CLAIM
1013566	DID NOT RESULT IN A RECOGNIZED CLAIM
1014547	DID NOT RESULT IN A RECOGNIZED CLAIM
1014699	DID NOT RESULT IN A RECOGNIZED CLAIM
1014765	DID NOT RESULT IN A RECOGNIZED CLAIM
1014975	DID NOT RESULT IN A RECOGNIZED CLAIM
1016244	DID NOT RESULT IN A RECOGNIZED CLAIM
1017799	DID NOT RESULT IN A RECOGNIZED CLAIM
1025008	DID NOT RESULT IN A RECOGNIZED CLAIM
1025404	DID NOT RESULT IN A RECOGNIZED CLAIM
1026136	DID NOT RESULT IN A RECOGNIZED CLAIM
1028466	DID NOT RESULT IN A RECOGNIZED CLAIM
1028659	DID NOT RESULT IN A RECOGNIZED CLAIM
1040906	DID NOT RESULT IN A RECOGNIZED CLAIM
1113943	DEFICIENT CLAIM NEVER CURED
1113958	DID NOT FIT THE DEFINITION OF THE CLASS
1114104	DID NOT FIT THE DEFINITION OF THE CLASS
1114215	DEFICIENT CLAIM NEVER CURED
1114252	DID NOT RESULT IN A RECOGNIZED CLAIM
1114293	DEFICIENT CLAIM NEVER CURED
1114410	DID NOT RESULT IN A RECOGNIZED CLAIM
1114434	DID NOT RESULT IN A RECOGNIZED CLAIM
1114447	DID NOT RESULT IN A RECOGNIZED CLAIM
1114448	DID NOT RESULT IN A RECOGNIZED CLAIM
1114450	DEFICIENT CLAIM NEVER CURED
1114451	DEFICIENT CLAIM NEVER CURED
1114452	DID NOT RESULT IN A RECOGNIZED CLAIM
1114453	DID NOT RESULT IN A RECOGNIZED CLAIM
1114456	DEFICIENT CLAIM NEVER CURED
1114457	DEFICIENT CLAIM NEVER CURED
1114458	DID NOT RESULT IN A RECOGNIZED CLAIM
1114459	DID NOT RESULT IN A RECOGNIZED CLAIM
1114460	DID NOT RESULT IN A RECOGNIZED CLAIM
1114461	DID NOT RESULT IN A RECOGNIZED CLAIM
1114463	DID NOT RESULT IN A RECOGNIZED CLAIM
1114464	DID NOT RESULT IN A RECOGNIZED CLAIM
1114465	DID NOT RESULT IN A RECOGNIZED CLAIM
1114466	DID NOT RESULT IN A RECOGNIZED CLAIM
1114467	DID NOT RESULT IN A RECOGNIZED CLAIM
1114468	DID NOT RESULT IN A RECOGNIZED CLAIM
1114469	DID NOT RESULT IN A RECOGNIZED CLAIM
1114470	DID NOT RESULT IN A RECOGNIZED CLAIM
1114471	DID NOT RESULT IN A RECOGNIZED CLAIM
1114472	DID NOT RESULT IN A RECOGNIZED CLAIM
1114473	DEFICIENT CLAIM NEVER CURED
1114474	DID NOT RESULT IN A RECOGNIZED CLAIM
1114475	DEFICIENT CLAIM NEVER CURED
1114476	DEFICIENT CLAIM NEVER CURED
1114477	DID NOT RESULT IN A RECOGNIZED CLAIM
1114478	DEFICIENT CLAIM NEVER CURED

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1114479	DID NOT RESULT IN A RECOGNIZED CLAIM
1114480	DID NOT RESULT IN A RECOGNIZED CLAIM
1114481	DID NOT RESULT IN A RECOGNIZED CLAIM
1114482	DID NOT RESULT IN A RECOGNIZED CLAIM
1114483	DID NOT RESULT IN A RECOGNIZED CLAIM
1114484	DID NOT RESULT IN A RECOGNIZED CLAIM
1114485	DID NOT RESULT IN A RECOGNIZED CLAIM
1114486	DID NOT RESULT IN A RECOGNIZED CLAIM
1114500	DID NOT RESULT IN A RECOGNIZED CLAIM
1114536	DID NOT RESULT IN A RECOGNIZED CLAIM
1114539	DID NOT RESULT IN A RECOGNIZED CLAIM
1114558	DID NOT RESULT IN A RECOGNIZED CLAIM
1114568	DEFICIENT CLAIM NEVER CURED
1114634	DID NOT RESULT IN A RECOGNIZED CLAIM
1114635	DID NOT RESULT IN A RECOGNIZED CLAIM
1114909	DID NOT RESULT IN A RECOGNIZED CLAIM
1115078	DID NOT RESULT IN A RECOGNIZED CLAIM
1115084	DID NOT RESULT IN A RECOGNIZED CLAIM
1115085	DID NOT RESULT IN A RECOGNIZED CLAIM
1115225	DEFICIENT CLAIM NEVER CURED
1115248	DEFICIENT CLAIM NEVER CURED
1115249	DID NOT RESULT IN A RECOGNIZED CLAIM
1115250	DID NOT RESULT IN A RECOGNIZED CLAIM
1115251	DID NOT RESULT IN A RECOGNIZED CLAIM
1115252	DID NOT RESULT IN A RECOGNIZED CLAIM
1115253	DID NOT RESULT IN A RECOGNIZED CLAIM
1115255	DID NOT RESULT IN A RECOGNIZED CLAIM
1115256	DID NOT RESULT IN A RECOGNIZED CLAIM
1115257	DID NOT RESULT IN A RECOGNIZED CLAIM
1115258	DID NOT RESULT IN A RECOGNIZED CLAIM
1115259	DID NOT RESULT IN A RECOGNIZED CLAIM
1115275	DID NOT RESULT IN A RECOGNIZED CLAIM
1115277	DID NOT RESULT IN A RECOGNIZED CLAIM
1115278	DID NOT RESULT IN A RECOGNIZED CLAIM
1115279	DID NOT RESULT IN A RECOGNIZED CLAIM
1115280	DID NOT RESULT IN A RECOGNIZED CLAIM
1115281	DEFICIENT CLAIM NEVER CURED
1115282	DID NOT RESULT IN A RECOGNIZED CLAIM
1115283	DID NOT RESULT IN A RECOGNIZED CLAIM
1115284	DID NOT RESULT IN A RECOGNIZED CLAIM
1115285	DID NOT RESULT IN A RECOGNIZED CLAIM
1115286	DID NOT RESULT IN A RECOGNIZED CLAIM
1115287	DID NOT RESULT IN A RECOGNIZED CLAIM
1115288	DID NOT RESULT IN A RECOGNIZED CLAIM
1115289	DID NOT RESULT IN A RECOGNIZED CLAIM
1115290	DID NOT RESULT IN A RECOGNIZED CLAIM
1115291	DID NOT RESULT IN A RECOGNIZED CLAIM
1115292	DID NOT RESULT IN A RECOGNIZED CLAIM
1115293	DID NOT RESULT IN A RECOGNIZED CLAIM
1115295	DID NOT RESULT IN A RECOGNIZED CLAIM
1115296	DEFICIENT CLAIM NEVER CURED

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1115297	DID NOT RESULT IN A RECOGNIZED CLAIM
1115298	DID NOT RESULT IN A RECOGNIZED CLAIM
1115299	DID NOT RESULT IN A RECOGNIZED CLAIM
1115300	DID NOT RESULT IN A RECOGNIZED CLAIM
1115301	DID NOT RESULT IN A RECOGNIZED CLAIM
1115306	DID NOT RESULT IN A RECOGNIZED CLAIM
1115307	DID NOT RESULT IN A RECOGNIZED CLAIM
1115308	DID NOT RESULT IN A RECOGNIZED CLAIM
1115309	DID NOT RESULT IN A RECOGNIZED CLAIM
1115310	DID NOT RESULT IN A RECOGNIZED CLAIM
1115311	DID NOT RESULT IN A RECOGNIZED CLAIM
1115313	DID NOT RESULT IN A RECOGNIZED CLAIM
1115314	DID NOT RESULT IN A RECOGNIZED CLAIM
1115315	DID NOT RESULT IN A RECOGNIZED CLAIM
1115316	DID NOT RESULT IN A RECOGNIZED CLAIM
1115317	DID NOT RESULT IN A RECOGNIZED CLAIM
1115318	DID NOT RESULT IN A RECOGNIZED CLAIM
1115319	DEFICIENT CLAIM NEVER CURED
1115320	DEFICIENT CLAIM NEVER CURED
1115321	DID NOT RESULT IN A RECOGNIZED CLAIM
1115323	DID NOT RESULT IN A RECOGNIZED CLAIM
1115324	DID NOT RESULT IN A RECOGNIZED CLAIM
1115326	DID NOT RESULT IN A RECOGNIZED CLAIM
1115327	DID NOT RESULT IN A RECOGNIZED CLAIM
1115329	DID NOT RESULT IN A RECOGNIZED CLAIM
1115330	DID NOT RESULT IN A RECOGNIZED CLAIM
1115331	DID NOT RESULT IN A RECOGNIZED CLAIM
1115332	DID NOT RESULT IN A RECOGNIZED CLAIM
1115333	DID NOT RESULT IN A RECOGNIZED CLAIM
1115335	DID NOT RESULT IN A RECOGNIZED CLAIM
1115336	DID NOT RESULT IN A RECOGNIZED CLAIM
1115337	DID NOT RESULT IN A RECOGNIZED CLAIM
1115338	DID NOT RESULT IN A RECOGNIZED CLAIM
1115343	DEFICIENT CLAIM NEVER CURED
1115344	DID NOT RESULT IN A RECOGNIZED CLAIM
1115345	DID NOT RESULT IN A RECOGNIZED CLAIM
1115346	DID NOT RESULT IN A RECOGNIZED CLAIM
1115347	DID NOT RESULT IN A RECOGNIZED CLAIM
1115348	DID NOT RESULT IN A RECOGNIZED CLAIM
1115349	DID NOT RESULT IN A RECOGNIZED CLAIM
1115350	DID NOT RESULT IN A RECOGNIZED CLAIM
1115351	DID NOT RESULT IN A RECOGNIZED CLAIM
1115352	DEFICIENT CLAIM NEVER CURED
1115353	DID NOT RESULT IN A RECOGNIZED CLAIM
1115354	DEFICIENT CLAIM NEVER CURED
1115355	DID NOT RESULT IN A RECOGNIZED CLAIM
1115356	DID NOT RESULT IN A RECOGNIZED CLAIM
1115357	DID NOT RESULT IN A RECOGNIZED CLAIM
1115358	DID NOT RESULT IN A RECOGNIZED CLAIM
1115359	DID NOT RESULT IN A RECOGNIZED CLAIM
1115360	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1115361	DID NOT RESULT IN A RECOGNIZED CLAIM
1115362	DID NOT RESULT IN A RECOGNIZED CLAIM
1115363	DID NOT RESULT IN A RECOGNIZED CLAIM
1115364	DID NOT RESULT IN A RECOGNIZED CLAIM
1115366	DID NOT RESULT IN A RECOGNIZED CLAIM
1115367	DID NOT RESULT IN A RECOGNIZED CLAIM
1115370	DID NOT RESULT IN A RECOGNIZED CLAIM
1115371	DID NOT RESULT IN A RECOGNIZED CLAIM
1115372	DID NOT RESULT IN A RECOGNIZED CLAIM
1115451	DID NOT RESULT IN A RECOGNIZED CLAIM
1115458	DID NOT FIT THE DEFINITION OF THE CLASS
1115459	DID NOT RESULT IN A RECOGNIZED CLAIM
1115460	DID NOT RESULT IN A RECOGNIZED CLAIM
1115461	DID NOT RESULT IN A RECOGNIZED CLAIM
1115462	DID NOT RESULT IN A RECOGNIZED CLAIM
1115465	DID NOT RESULT IN A RECOGNIZED CLAIM
1115471	DID NOT RESULT IN A RECOGNIZED CLAIM
1115472	DID NOT RESULT IN A RECOGNIZED CLAIM
1115473	DID NOT RESULT IN A RECOGNIZED CLAIM
1115474	DID NOT RESULT IN A RECOGNIZED CLAIM
1115476	DID NOT RESULT IN A RECOGNIZED CLAIM
1115480	DID NOT RESULT IN A RECOGNIZED CLAIM
1115482	DID NOT RESULT IN A RECOGNIZED CLAIM
1115484	DEFICIENT CLAIM NEVER CURED
1115487	DID NOT RESULT IN A RECOGNIZED CLAIM
1115488	DID NOT RESULT IN A RECOGNIZED CLAIM
1115489	DID NOT RESULT IN A RECOGNIZED CLAIM
1115490	DID NOT RESULT IN A RECOGNIZED CLAIM
1115491	DID NOT RESULT IN A RECOGNIZED CLAIM
1115492	DID NOT FIT THE DEFINITION OF THE CLASS
1115493	DID NOT FIT THE DEFINITION OF THE CLASS
1115494	DID NOT FIT THE DEFINITION OF THE CLASS
1115495	DEFICIENT CLAIM NEVER CURED
1115496	DID NOT RESULT IN A RECOGNIZED CLAIM
1115497	DID NOT RESULT IN A RECOGNIZED CLAIM
1115498	DID NOT RESULT IN A RECOGNIZED CLAIM
1115499	DEFICIENT CLAIM NEVER CURED
1115500	DID NOT RESULT IN A RECOGNIZED CLAIM
1115501	DID NOT RESULT IN A RECOGNIZED CLAIM
1115502	DID NOT RESULT IN A RECOGNIZED CLAIM
1115503	DID NOT RESULT IN A RECOGNIZED CLAIM
1115505	DID NOT RESULT IN A RECOGNIZED CLAIM
1115506	DID NOT RESULT IN A RECOGNIZED CLAIM
1115507	DID NOT RESULT IN A RECOGNIZED CLAIM
1115508	DID NOT RESULT IN A RECOGNIZED CLAIM
1115509	DID NOT RESULT IN A RECOGNIZED CLAIM
1115510	DID NOT RESULT IN A RECOGNIZED CLAIM
1115511	DID NOT RESULT IN A RECOGNIZED CLAIM
1115512	DID NOT RESULT IN A RECOGNIZED CLAIM
1115513	DID NOT RESULT IN A RECOGNIZED CLAIM
1115537	DID NOT FIT THE DEFINITION OF THE CLASS

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1115624	DID NOT RESULT IN A RECOGNIZED CLAIM
1115764	DID NOT RESULT IN A RECOGNIZED CLAIM
1115841	DID NOT RESULT IN A RECOGNIZED CLAIM
1115873	DEFICIENT CLAIM NEVER CURED
1115874	DEFICIENT CLAIM NEVER CURED
1116017	DID NOT FIT THE DEFINITION OF THE CLASS
1116023	DID NOT RESULT IN A RECOGNIZED CLAIM
1116144	DEFICIENT CLAIM NEVER CURED
1116165	DID NOT RESULT IN A RECOGNIZED CLAIM
1116209	DEFICIENT CLAIM NEVER CURED
1116266	DID NOT RESULT IN A RECOGNIZED CLAIM
1116367	DID NOT RESULT IN A RECOGNIZED CLAIM
1116396	DID NOT RESULT IN A RECOGNIZED CLAIM
1116397	DID NOT RESULT IN A RECOGNIZED CLAIM
1116398	DID NOT RESULT IN A RECOGNIZED CLAIM
1116400	DID NOT RESULT IN A RECOGNIZED CLAIM
1116401	DID NOT RESULT IN A RECOGNIZED CLAIM
1116402	DID NOT RESULT IN A RECOGNIZED CLAIM
1116404	DID NOT RESULT IN A RECOGNIZED CLAIM
1116410	DID NOT RESULT IN A RECOGNIZED CLAIM
1116411	DID NOT RESULT IN A RECOGNIZED CLAIM
1116412	DEFICIENT CLAIM NEVER CURED
1116413	DID NOT RESULT IN A RECOGNIZED CLAIM
1116414	DID NOT RESULT IN A RECOGNIZED CLAIM
1116415	DEFICIENT CLAIM NEVER CURED
1116417	DID NOT RESULT IN A RECOGNIZED CLAIM
1116419	DEFICIENT CLAIM NEVER CURED
1116443	DID NOT RESULT IN A RECOGNIZED CLAIM
1116445	DID NOT RESULT IN A RECOGNIZED CLAIM
1116449	DID NOT RESULT IN A RECOGNIZED CLAIM
1116451	DID NOT RESULT IN A RECOGNIZED CLAIM
1116452	DID NOT RESULT IN A RECOGNIZED CLAIM
1116453	DID NOT RESULT IN A RECOGNIZED CLAIM
1116454	DID NOT RESULT IN A RECOGNIZED CLAIM
1116457	DID NOT RESULT IN A RECOGNIZED CLAIM
1116458	DID NOT RESULT IN A RECOGNIZED CLAIM
1116459	DID NOT RESULT IN A RECOGNIZED CLAIM
1116460	DID NOT RESULT IN A RECOGNIZED CLAIM
1116461	DID NOT RESULT IN A RECOGNIZED CLAIM
1116462	DID NOT FIT THE DEFINITION OF THE CLASS
1116463	DID NOT RESULT IN A RECOGNIZED CLAIM
1116464	DID NOT RESULT IN A RECOGNIZED CLAIM
1116465	DID NOT RESULT IN A RECOGNIZED CLAIM
1116466	DID NOT RESULT IN A RECOGNIZED CLAIM
1116467	DID NOT RESULT IN A RECOGNIZED CLAIM
1116469	DID NOT RESULT IN A RECOGNIZED CLAIM
1116507	DID NOT FIT THE DEFINITION OF THE CLASS
1116549	DID NOT RESULT IN A RECOGNIZED CLAIM
1116550	DID NOT RESULT IN A RECOGNIZED CLAIM
1116551	DID NOT FIT THE DEFINITION OF THE CLASS
1116552	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1116553	DID NOT RESULT IN A RECOGNIZED CLAIM
1116898	DID NOT RESULT IN A RECOGNIZED CLAIM
1116911	DEFICIENT CLAIM NEVER CURED
1116947	DID NOT RESULT IN A RECOGNIZED CLAIM
1117005	DEFICIENT CLAIM NEVER CURED
1117087	DEFICIENT CLAIM NEVER CURED
1117282	DEFICIENT CLAIM NEVER CURED
1117308	DEFICIENT CLAIM NEVER CURED
1117430	DID NOT RESULT IN A RECOGNIZED CLAIM
1117437	DID NOT RESULT IN A RECOGNIZED CLAIM
1117438	DID NOT RESULT IN A RECOGNIZED CLAIM
1117439	DID NOT RESULT IN A RECOGNIZED CLAIM
1117441	DID NOT RESULT IN A RECOGNIZED CLAIM
1117442	DID NOT RESULT IN A RECOGNIZED CLAIM
1117446	DEFICIENT CLAIM NEVER CURED
1117447	DID NOT RESULT IN A RECOGNIZED CLAIM
1117448	DID NOT RESULT IN A RECOGNIZED CLAIM
1117450	DEFICIENT CLAIM NEVER CURED
1117451	DID NOT FIT THE DEFINITION OF THE CLASS
1117452	DID NOT RESULT IN A RECOGNIZED CLAIM
1117453	DID NOT RESULT IN A RECOGNIZED CLAIM
1117454	DID NOT RESULT IN A RECOGNIZED CLAIM
1117455	DID NOT RESULT IN A RECOGNIZED CLAIM
1117456	DID NOT RESULT IN A RECOGNIZED CLAIM
1117457	DID NOT RESULT IN A RECOGNIZED CLAIM
1117458	DID NOT RESULT IN A RECOGNIZED CLAIM
1117459	DID NOT RESULT IN A RECOGNIZED CLAIM
1117461	DID NOT FIT THE DEFINITION OF THE CLASS
1117462	DID NOT RESULT IN A RECOGNIZED CLAIM
1117463	DID NOT RESULT IN A RECOGNIZED CLAIM
1117464	DID NOT RESULT IN A RECOGNIZED CLAIM
1117466	DID NOT RESULT IN A RECOGNIZED CLAIM
1117467	DEFICIENT CLAIM NEVER CURED
1117468	DID NOT RESULT IN A RECOGNIZED CLAIM
1117469	DID NOT RESULT IN A RECOGNIZED CLAIM
1117470	DID NOT RESULT IN A RECOGNIZED CLAIM
1117471	DID NOT RESULT IN A RECOGNIZED CLAIM
1117473	DID NOT RESULT IN A RECOGNIZED CLAIM
1117474	DEFICIENT CLAIM NEVER CURED
1117475	DEFICIENT CLAIM NEVER CURED
1117476	DID NOT RESULT IN A RECOGNIZED CLAIM
1117477	DID NOT RESULT IN A RECOGNIZED CLAIM
1117479	DID NOT RESULT IN A RECOGNIZED CLAIM
1117480	DID NOT RESULT IN A RECOGNIZED CLAIM
1117481	DID NOT RESULT IN A RECOGNIZED CLAIM
1117482	DID NOT RESULT IN A RECOGNIZED CLAIM
1117483	DID NOT RESULT IN A RECOGNIZED CLAIM
1117484	DID NOT FIT THE DEFINITION OF THE CLASS
1117485	DID NOT RESULT IN A RECOGNIZED CLAIM
1117486	DID NOT RESULT IN A RECOGNIZED CLAIM
1117487	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
1117488	DID NOT RESULT IN A RECOGNIZED CLAIM
1117490	DID NOT RESULT IN A RECOGNIZED CLAIM
1117492	DID NOT RESULT IN A RECOGNIZED CLAIM
1117494	DID NOT RESULT IN A RECOGNIZED CLAIM
1117495	DID NOT RESULT IN A RECOGNIZED CLAIM
1117496	DID NOT FIT THE DEFINITION OF THE CLASS
1117497	DID NOT RESULT IN A RECOGNIZED CLAIM
1117498	DID NOT RESULT IN A RECOGNIZED CLAIM
1117501	DID NOT RESULT IN A RECOGNIZED CLAIM
1117502	DID NOT RESULT IN A RECOGNIZED CLAIM
1117503	DID NOT RESULT IN A RECOGNIZED CLAIM
1117504	DID NOT FIT THE DEFINITION OF THE CLASS
1117506	DID NOT RESULT IN A RECOGNIZED CLAIM
1117507	DID NOT RESULT IN A RECOGNIZED CLAIM
1117511	DID NOT RESULT IN A RECOGNIZED CLAIM
1117512	DID NOT RESULT IN A RECOGNIZED CLAIM
1117514	DID NOT RESULT IN A RECOGNIZED CLAIM
1117517	DID NOT FIT THE DEFINITION OF THE CLASS
1117519	DID NOT RESULT IN A RECOGNIZED CLAIM
1117520	DID NOT RESULT IN A RECOGNIZED CLAIM
1117522	DID NOT RESULT IN A RECOGNIZED CLAIM
1117524	DID NOT RESULT IN A RECOGNIZED CLAIM
1117525	DID NOT RESULT IN A RECOGNIZED CLAIM
1117526	DID NOT RESULT IN A RECOGNIZED CLAIM
1117527	DID NOT RESULT IN A RECOGNIZED CLAIM
1117528	DEFICIENT CLAIM NEVER CURED
1117532	DEFICIENT CLAIM NEVER CURED
1117533	DID NOT RESULT IN A RECOGNIZED CLAIM
1117534	DID NOT RESULT IN A RECOGNIZED CLAIM
1117601	DID NOT RESULT IN A RECOGNIZED CLAIM
1117602	DID NOT RESULT IN A RECOGNIZED CLAIM
5000001	DID NOT RESULT IN A RECOGNIZED CLAIM
5000002	DID NOT RESULT IN A RECOGNIZED CLAIM
5000003	DID NOT RESULT IN A RECOGNIZED CLAIM
5000004	DID NOT RESULT IN A RECOGNIZED CLAIM
5000005	DID NOT RESULT IN A RECOGNIZED CLAIM
5000006	DID NOT RESULT IN A RECOGNIZED CLAIM
5000007	DID NOT RESULT IN A RECOGNIZED CLAIM
5000008	DID NOT RESULT IN A RECOGNIZED CLAIM
5000009	DID NOT RESULT IN A RECOGNIZED CLAIM
5000010	DID NOT RESULT IN A RECOGNIZED CLAIM
5000011	DID NOT RESULT IN A RECOGNIZED CLAIM
5000012	DID NOT RESULT IN A RECOGNIZED CLAIM
5000013	DID NOT RESULT IN A RECOGNIZED CLAIM
5000014	DID NOT RESULT IN A RECOGNIZED CLAIM
5000015	DID NOT FIT THE DEFINITION OF THE CLASS
5000016	DID NOT RESULT IN A RECOGNIZED CLAIM
5000017	DID NOT RESULT IN A RECOGNIZED CLAIM
5000018	DID NOT RESULT IN A RECOGNIZED CLAIM
5000019	DID NOT RESULT IN A RECOGNIZED CLAIM
5000021	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000022	DID NOT RESULT IN A RECOGNIZED CLAIM
5000023	DID NOT RESULT IN A RECOGNIZED CLAIM
5000024	DID NOT RESULT IN A RECOGNIZED CLAIM
5000025	DID NOT RESULT IN A RECOGNIZED CLAIM
5000026	DID NOT RESULT IN A RECOGNIZED CLAIM
5000027	DID NOT RESULT IN A RECOGNIZED CLAIM
5000028	DID NOT RESULT IN A RECOGNIZED CLAIM
5000029	DID NOT RESULT IN A RECOGNIZED CLAIM
5000030	DID NOT RESULT IN A RECOGNIZED CLAIM
5000031	DID NOT RESULT IN A RECOGNIZED CLAIM
5000033	DID NOT RESULT IN A RECOGNIZED CLAIM
5000034	DID NOT RESULT IN A RECOGNIZED CLAIM
5000035	DID NOT RESULT IN A RECOGNIZED CLAIM
5000036	DID NOT RESULT IN A RECOGNIZED CLAIM
5000037	DID NOT RESULT IN A RECOGNIZED CLAIM
5000038	DID NOT RESULT IN A RECOGNIZED CLAIM
5000039	DID NOT RESULT IN A RECOGNIZED CLAIM
5000040	DID NOT RESULT IN A RECOGNIZED CLAIM
5000041	DID NOT RESULT IN A RECOGNIZED CLAIM
5000042	DID NOT RESULT IN A RECOGNIZED CLAIM
5000043	DID NOT RESULT IN A RECOGNIZED CLAIM
5000044	DID NOT RESULT IN A RECOGNIZED CLAIM
5000045	DID NOT RESULT IN A RECOGNIZED CLAIM
5000046	DID NOT RESULT IN A RECOGNIZED CLAIM
5000047	DID NOT RESULT IN A RECOGNIZED CLAIM
5000048	DID NOT RESULT IN A RECOGNIZED CLAIM
5000049	DID NOT RESULT IN A RECOGNIZED CLAIM
5000050	DID NOT RESULT IN A RECOGNIZED CLAIM
5000051	DID NOT RESULT IN A RECOGNIZED CLAIM
5000052	DID NOT RESULT IN A RECOGNIZED CLAIM
5000053	DID NOT RESULT IN A RECOGNIZED CLAIM
5000054	DID NOT RESULT IN A RECOGNIZED CLAIM
5000055	DID NOT RESULT IN A RECOGNIZED CLAIM
5000056	DID NOT RESULT IN A RECOGNIZED CLAIM
5000057	DID NOT RESULT IN A RECOGNIZED CLAIM
5000058	DID NOT RESULT IN A RECOGNIZED CLAIM
5000060	DID NOT RESULT IN A RECOGNIZED CLAIM
5000062	DID NOT RESULT IN A RECOGNIZED CLAIM
5000064	DID NOT RESULT IN A RECOGNIZED CLAIM
5000066	DID NOT RESULT IN A RECOGNIZED CLAIM
5000068	DID NOT RESULT IN A RECOGNIZED CLAIM
5000069	DID NOT RESULT IN A RECOGNIZED CLAIM
5000070	DID NOT RESULT IN A RECOGNIZED CLAIM
5000071	DID NOT RESULT IN A RECOGNIZED CLAIM
5000072	DID NOT RESULT IN A RECOGNIZED CLAIM
5000073	DID NOT RESULT IN A RECOGNIZED CLAIM
5000074	DID NOT RESULT IN A RECOGNIZED CLAIM
5000075	DID NOT RESULT IN A RECOGNIZED CLAIM
5000076	DID NOT RESULT IN A RECOGNIZED CLAIM
5000077	DID NOT RESULT IN A RECOGNIZED CLAIM
5000078	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000079	DID NOT RESULT IN A RECOGNIZED CLAIM
5000080	DID NOT RESULT IN A RECOGNIZED CLAIM
5000081	DID NOT RESULT IN A RECOGNIZED CLAIM
5000082	DID NOT RESULT IN A RECOGNIZED CLAIM
5000083	DID NOT RESULT IN A RECOGNIZED CLAIM
5000084	DID NOT RESULT IN A RECOGNIZED CLAIM
5000085	DID NOT RESULT IN A RECOGNIZED CLAIM
5000086	DID NOT RESULT IN A RECOGNIZED CLAIM
5000087	DID NOT RESULT IN A RECOGNIZED CLAIM
5000088	DID NOT RESULT IN A RECOGNIZED CLAIM
5000089	DID NOT RESULT IN A RECOGNIZED CLAIM
5000090	DID NOT RESULT IN A RECOGNIZED CLAIM
5000091	DID NOT RESULT IN A RECOGNIZED CLAIM
5000092	DID NOT RESULT IN A RECOGNIZED CLAIM
5000093	DID NOT RESULT IN A RECOGNIZED CLAIM
5000094	DID NOT RESULT IN A RECOGNIZED CLAIM
5000095	DID NOT RESULT IN A RECOGNIZED CLAIM
5000096	DID NOT RESULT IN A RECOGNIZED CLAIM
5000097	DID NOT RESULT IN A RECOGNIZED CLAIM
5000098	DID NOT RESULT IN A RECOGNIZED CLAIM
5000099	DID NOT RESULT IN A RECOGNIZED CLAIM
5000100	DID NOT RESULT IN A RECOGNIZED CLAIM
5000101	DID NOT RESULT IN A RECOGNIZED CLAIM
5000102	DID NOT RESULT IN A RECOGNIZED CLAIM
5000103	DID NOT RESULT IN A RECOGNIZED CLAIM
5000104	DID NOT RESULT IN A RECOGNIZED CLAIM
5000105	DID NOT RESULT IN A RECOGNIZED CLAIM
5000106	DID NOT RESULT IN A RECOGNIZED CLAIM
5000107	DID NOT RESULT IN A RECOGNIZED CLAIM
5000108	DID NOT RESULT IN A RECOGNIZED CLAIM
5000109	DID NOT RESULT IN A RECOGNIZED CLAIM
5000110	DID NOT RESULT IN A RECOGNIZED CLAIM
5000111	DID NOT RESULT IN A RECOGNIZED CLAIM
5000112	DID NOT RESULT IN A RECOGNIZED CLAIM
5000113	DID NOT RESULT IN A RECOGNIZED CLAIM
5000114	DID NOT RESULT IN A RECOGNIZED CLAIM
5000115	DID NOT RESULT IN A RECOGNIZED CLAIM
5000116	DID NOT RESULT IN A RECOGNIZED CLAIM
5000117	DID NOT RESULT IN A RECOGNIZED CLAIM
5000118	DID NOT RESULT IN A RECOGNIZED CLAIM
5000119	DID NOT RESULT IN A RECOGNIZED CLAIM
5000120	DID NOT RESULT IN A RECOGNIZED CLAIM
5000121	DID NOT RESULT IN A RECOGNIZED CLAIM
5000122	DID NOT RESULT IN A RECOGNIZED CLAIM
5000123	DID NOT RESULT IN A RECOGNIZED CLAIM
5000124	DID NOT RESULT IN A RECOGNIZED CLAIM
5000125	DID NOT RESULT IN A RECOGNIZED CLAIM
5000126	DID NOT RESULT IN A RECOGNIZED CLAIM
5000127	DID NOT RESULT IN A RECOGNIZED CLAIM
5000128	DID NOT RESULT IN A RECOGNIZED CLAIM
5000129	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000130	DID NOT RESULT IN A RECOGNIZED CLAIM
5000131	DID NOT RESULT IN A RECOGNIZED CLAIM
5000132	DID NOT RESULT IN A RECOGNIZED CLAIM
5000133	DID NOT RESULT IN A RECOGNIZED CLAIM
5000134	DID NOT RESULT IN A RECOGNIZED CLAIM
5000135	DID NOT RESULT IN A RECOGNIZED CLAIM
5000136	DID NOT RESULT IN A RECOGNIZED CLAIM
5000137	DID NOT RESULT IN A RECOGNIZED CLAIM
5000138	DID NOT RESULT IN A RECOGNIZED CLAIM
5000139	DID NOT RESULT IN A RECOGNIZED CLAIM
5000140	DID NOT RESULT IN A RECOGNIZED CLAIM
5000141	DID NOT RESULT IN A RECOGNIZED CLAIM
5000142	DID NOT RESULT IN A RECOGNIZED CLAIM
5000143	DID NOT RESULT IN A RECOGNIZED CLAIM
5000144	DID NOT RESULT IN A RECOGNIZED CLAIM
5000145	DID NOT RESULT IN A RECOGNIZED CLAIM
5000146	DID NOT RESULT IN A RECOGNIZED CLAIM
5000147	DID NOT RESULT IN A RECOGNIZED CLAIM
5000148	DID NOT RESULT IN A RECOGNIZED CLAIM
5000149	DID NOT RESULT IN A RECOGNIZED CLAIM
5000150	DID NOT RESULT IN A RECOGNIZED CLAIM
5000151	DID NOT RESULT IN A RECOGNIZED CLAIM
5000152	DID NOT RESULT IN A RECOGNIZED CLAIM
5000153	DID NOT RESULT IN A RECOGNIZED CLAIM
5000154	DID NOT RESULT IN A RECOGNIZED CLAIM
5000155	DID NOT RESULT IN A RECOGNIZED CLAIM
5000156	DID NOT RESULT IN A RECOGNIZED CLAIM
5000157	DID NOT RESULT IN A RECOGNIZED CLAIM
5000158	DID NOT RESULT IN A RECOGNIZED CLAIM
5000159	DID NOT RESULT IN A RECOGNIZED CLAIM
5000160	DID NOT RESULT IN A RECOGNIZED CLAIM
5000161	DID NOT RESULT IN A RECOGNIZED CLAIM
5000162	DID NOT RESULT IN A RECOGNIZED CLAIM
5000163	DID NOT RESULT IN A RECOGNIZED CLAIM
5000164	DID NOT RESULT IN A RECOGNIZED CLAIM
5000165	DID NOT RESULT IN A RECOGNIZED CLAIM
5000166	DID NOT RESULT IN A RECOGNIZED CLAIM
5000167	DID NOT RESULT IN A RECOGNIZED CLAIM
5000168	DID NOT RESULT IN A RECOGNIZED CLAIM
5000169	DID NOT RESULT IN A RECOGNIZED CLAIM
5000170	DID NOT RESULT IN A RECOGNIZED CLAIM
5000171	DID NOT RESULT IN A RECOGNIZED CLAIM
5000172	DID NOT RESULT IN A RECOGNIZED CLAIM
5000173	DID NOT RESULT IN A RECOGNIZED CLAIM
5000174	DID NOT RESULT IN A RECOGNIZED CLAIM
5000175	DID NOT RESULT IN A RECOGNIZED CLAIM
5000176	DID NOT RESULT IN A RECOGNIZED CLAIM
5000177	DID NOT RESULT IN A RECOGNIZED CLAIM
5000178	DID NOT RESULT IN A RECOGNIZED CLAIM
5000179	DID NOT RESULT IN A RECOGNIZED CLAIM
5000180	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000181	DID NOT RESULT IN A RECOGNIZED CLAIM
5000182	DID NOT RESULT IN A RECOGNIZED CLAIM
5000183	DID NOT RESULT IN A RECOGNIZED CLAIM
5000184	DID NOT RESULT IN A RECOGNIZED CLAIM
5000185	DID NOT RESULT IN A RECOGNIZED CLAIM
5000186	DID NOT RESULT IN A RECOGNIZED CLAIM
5000187	DID NOT RESULT IN A RECOGNIZED CLAIM
5000188	DID NOT RESULT IN A RECOGNIZED CLAIM
5000189	DID NOT RESULT IN A RECOGNIZED CLAIM
5000190	DID NOT RESULT IN A RECOGNIZED CLAIM
5000191	DID NOT RESULT IN A RECOGNIZED CLAIM
5000192	DID NOT RESULT IN A RECOGNIZED CLAIM
5000193	DID NOT RESULT IN A RECOGNIZED CLAIM
5000194	DID NOT RESULT IN A RECOGNIZED CLAIM
5000195	DID NOT RESULT IN A RECOGNIZED CLAIM
5000196	DID NOT RESULT IN A RECOGNIZED CLAIM
5000197	DID NOT RESULT IN A RECOGNIZED CLAIM
5000198	DID NOT RESULT IN A RECOGNIZED CLAIM
5000199	DID NOT RESULT IN A RECOGNIZED CLAIM
5000200	DID NOT RESULT IN A RECOGNIZED CLAIM
5000201	DID NOT RESULT IN A RECOGNIZED CLAIM
5000202	DID NOT RESULT IN A RECOGNIZED CLAIM
5000203	DID NOT RESULT IN A RECOGNIZED CLAIM
5000204	DID NOT RESULT IN A RECOGNIZED CLAIM
5000205	DID NOT RESULT IN A RECOGNIZED CLAIM
5000208	DID NOT RESULT IN A RECOGNIZED CLAIM
5000209	DID NOT RESULT IN A RECOGNIZED CLAIM
5000210	DID NOT RESULT IN A RECOGNIZED CLAIM
5000211	DID NOT RESULT IN A RECOGNIZED CLAIM
5000212	DID NOT RESULT IN A RECOGNIZED CLAIM
5000213	DID NOT RESULT IN A RECOGNIZED CLAIM
5000214	DID NOT RESULT IN A RECOGNIZED CLAIM
5000215	DID NOT RESULT IN A RECOGNIZED CLAIM
5000216	DID NOT RESULT IN A RECOGNIZED CLAIM
5000217	DID NOT RESULT IN A RECOGNIZED CLAIM
5000218	DID NOT RESULT IN A RECOGNIZED CLAIM
5000219	DID NOT RESULT IN A RECOGNIZED CLAIM
5000220	DID NOT RESULT IN A RECOGNIZED CLAIM
5000221	DID NOT RESULT IN A RECOGNIZED CLAIM
5000222	DID NOT RESULT IN A RECOGNIZED CLAIM
5000223	DID NOT RESULT IN A RECOGNIZED CLAIM
5000224	DID NOT RESULT IN A RECOGNIZED CLAIM
5000225	DID NOT RESULT IN A RECOGNIZED CLAIM
5000226	DID NOT RESULT IN A RECOGNIZED CLAIM
5000227	DID NOT RESULT IN A RECOGNIZED CLAIM
5000228	DID NOT RESULT IN A RECOGNIZED CLAIM
5000229	DID NOT RESULT IN A RECOGNIZED CLAIM
5000230	DID NOT RESULT IN A RECOGNIZED CLAIM
5000231	DID NOT RESULT IN A RECOGNIZED CLAIM
5000232	DID NOT RESULT IN A RECOGNIZED CLAIM
5000233	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000234	DID NOT RESULT IN A RECOGNIZED CLAIM
5000235	DID NOT RESULT IN A RECOGNIZED CLAIM
5000236	DID NOT RESULT IN A RECOGNIZED CLAIM
5000237	DID NOT RESULT IN A RECOGNIZED CLAIM
5000238	DID NOT RESULT IN A RECOGNIZED CLAIM
5000239	DID NOT RESULT IN A RECOGNIZED CLAIM
5000240	DID NOT RESULT IN A RECOGNIZED CLAIM
5000241	DID NOT RESULT IN A RECOGNIZED CLAIM
5000242	DID NOT RESULT IN A RECOGNIZED CLAIM
5000243	DID NOT RESULT IN A RECOGNIZED CLAIM
5000244	DID NOT RESULT IN A RECOGNIZED CLAIM
5000245	DID NOT RESULT IN A RECOGNIZED CLAIM
5000247	DID NOT RESULT IN A RECOGNIZED CLAIM
5000249	DID NOT RESULT IN A RECOGNIZED CLAIM
5000250	DID NOT RESULT IN A RECOGNIZED CLAIM
5000251	DID NOT RESULT IN A RECOGNIZED CLAIM
5000252	DID NOT FIT THE DEFINITION OF THE CLASS
5000253	DID NOT RESULT IN A RECOGNIZED CLAIM
5000254	DID NOT RESULT IN A RECOGNIZED CLAIM
5000255	DID NOT RESULT IN A RECOGNIZED CLAIM
5000256	DID NOT RESULT IN A RECOGNIZED CLAIM
5000257	DID NOT RESULT IN A RECOGNIZED CLAIM
5000258	DID NOT RESULT IN A RECOGNIZED CLAIM
5000259	DID NOT RESULT IN A RECOGNIZED CLAIM
5000260	DID NOT RESULT IN A RECOGNIZED CLAIM
5000261	DID NOT RESULT IN A RECOGNIZED CLAIM
5000262	DID NOT RESULT IN A RECOGNIZED CLAIM
5000264	DID NOT RESULT IN A RECOGNIZED CLAIM
5000265	DID NOT RESULT IN A RECOGNIZED CLAIM
5000266	DID NOT RESULT IN A RECOGNIZED CLAIM
5000267	DID NOT RESULT IN A RECOGNIZED CLAIM
5000268	DID NOT RESULT IN A RECOGNIZED CLAIM
5000269	DID NOT RESULT IN A RECOGNIZED CLAIM
5000270	DID NOT RESULT IN A RECOGNIZED CLAIM
5000271	DID NOT RESULT IN A RECOGNIZED CLAIM
5000272	DID NOT RESULT IN A RECOGNIZED CLAIM
5000273	DID NOT RESULT IN A RECOGNIZED CLAIM
5000274	DID NOT RESULT IN A RECOGNIZED CLAIM
5000275	DID NOT RESULT IN A RECOGNIZED CLAIM
5000276	DID NOT RESULT IN A RECOGNIZED CLAIM
5000277	DID NOT RESULT IN A RECOGNIZED CLAIM
5000278	DID NOT RESULT IN A RECOGNIZED CLAIM
5000279	DID NOT RESULT IN A RECOGNIZED CLAIM
5000280	DID NOT RESULT IN A RECOGNIZED CLAIM
5000281	DID NOT RESULT IN A RECOGNIZED CLAIM
5000282	DID NOT RESULT IN A RECOGNIZED CLAIM
5000283	DID NOT RESULT IN A RECOGNIZED CLAIM
5000284	DID NOT RESULT IN A RECOGNIZED CLAIM
5000285	DID NOT RESULT IN A RECOGNIZED CLAIM
5000286	DID NOT RESULT IN A RECOGNIZED CLAIM
5000287	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000288	DID NOT RESULT IN A RECOGNIZED CLAIM
5000289	DID NOT RESULT IN A RECOGNIZED CLAIM
5000290	DID NOT RESULT IN A RECOGNIZED CLAIM
5000291	DID NOT RESULT IN A RECOGNIZED CLAIM
5000292	DID NOT RESULT IN A RECOGNIZED CLAIM
5000293	DID NOT RESULT IN A RECOGNIZED CLAIM
5000294	DID NOT RESULT IN A RECOGNIZED CLAIM
5000295	DID NOT RESULT IN A RECOGNIZED CLAIM
5000296	DID NOT RESULT IN A RECOGNIZED CLAIM
5000297	DID NOT RESULT IN A RECOGNIZED CLAIM
5000298	DID NOT RESULT IN A RECOGNIZED CLAIM
5000299	DID NOT RESULT IN A RECOGNIZED CLAIM
5000300	DID NOT RESULT IN A RECOGNIZED CLAIM
5000301	DID NOT RESULT IN A RECOGNIZED CLAIM
5000302	DID NOT RESULT IN A RECOGNIZED CLAIM
5000303	DID NOT RESULT IN A RECOGNIZED CLAIM
5000304	DID NOT RESULT IN A RECOGNIZED CLAIM
5000305	DID NOT RESULT IN A RECOGNIZED CLAIM
5000306	DID NOT RESULT IN A RECOGNIZED CLAIM
5000307	DID NOT RESULT IN A RECOGNIZED CLAIM
5000308	DID NOT RESULT IN A RECOGNIZED CLAIM
5000309	DID NOT RESULT IN A RECOGNIZED CLAIM
5000310	DID NOT RESULT IN A RECOGNIZED CLAIM
5000311	DID NOT RESULT IN A RECOGNIZED CLAIM
5000312	DID NOT RESULT IN A RECOGNIZED CLAIM
5000313	DID NOT RESULT IN A RECOGNIZED CLAIM
5000314	DID NOT RESULT IN A RECOGNIZED CLAIM
5000315	DID NOT RESULT IN A RECOGNIZED CLAIM
5000316	DID NOT RESULT IN A RECOGNIZED CLAIM
5000317	DID NOT RESULT IN A RECOGNIZED CLAIM
5000318	DID NOT RESULT IN A RECOGNIZED CLAIM
5000319	DID NOT RESULT IN A RECOGNIZED CLAIM
5000320	DID NOT RESULT IN A RECOGNIZED CLAIM
5000321	DID NOT RESULT IN A RECOGNIZED CLAIM
5000322	DID NOT RESULT IN A RECOGNIZED CLAIM
5000323	DID NOT RESULT IN A RECOGNIZED CLAIM
5000324	DID NOT RESULT IN A RECOGNIZED CLAIM
5000325	DID NOT RESULT IN A RECOGNIZED CLAIM
5000326	DID NOT RESULT IN A RECOGNIZED CLAIM
5000327	DID NOT RESULT IN A RECOGNIZED CLAIM
5000328	DID NOT RESULT IN A RECOGNIZED CLAIM
5000329	DID NOT RESULT IN A RECOGNIZED CLAIM
5000330	DID NOT RESULT IN A RECOGNIZED CLAIM
5000331	DID NOT RESULT IN A RECOGNIZED CLAIM
5000332	DID NOT RESULT IN A RECOGNIZED CLAIM
5000333	DID NOT RESULT IN A RECOGNIZED CLAIM
5000334	DID NOT RESULT IN A RECOGNIZED CLAIM
5000335	DID NOT RESULT IN A RECOGNIZED CLAIM
5000336	DID NOT RESULT IN A RECOGNIZED CLAIM
5000337	DID NOT RESULT IN A RECOGNIZED CLAIM
5000338	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000339	DID NOT RESULT IN A RECOGNIZED CLAIM
5000340	DID NOT RESULT IN A RECOGNIZED CLAIM
5000341	DID NOT RESULT IN A RECOGNIZED CLAIM
5000342	DID NOT RESULT IN A RECOGNIZED CLAIM
5000343	DID NOT RESULT IN A RECOGNIZED CLAIM
5000344	DID NOT RESULT IN A RECOGNIZED CLAIM
5000345	DID NOT RESULT IN A RECOGNIZED CLAIM
5000346	DID NOT RESULT IN A RECOGNIZED CLAIM
5000347	DID NOT RESULT IN A RECOGNIZED CLAIM
5000348	DID NOT RESULT IN A RECOGNIZED CLAIM
5000349	DID NOT RESULT IN A RECOGNIZED CLAIM
5000350	DID NOT RESULT IN A RECOGNIZED CLAIM
5000351	DID NOT RESULT IN A RECOGNIZED CLAIM
5000352	DID NOT RESULT IN A RECOGNIZED CLAIM
5000353	DID NOT RESULT IN A RECOGNIZED CLAIM
5000354	DID NOT RESULT IN A RECOGNIZED CLAIM
5000355	DID NOT RESULT IN A RECOGNIZED CLAIM
5000356	DID NOT RESULT IN A RECOGNIZED CLAIM
5000357	DID NOT RESULT IN A RECOGNIZED CLAIM
5000358	DID NOT RESULT IN A RECOGNIZED CLAIM
5000359	DID NOT RESULT IN A RECOGNIZED CLAIM
5000360	DID NOT RESULT IN A RECOGNIZED CLAIM
5000361	DID NOT RESULT IN A RECOGNIZED CLAIM
5000362	DID NOT RESULT IN A RECOGNIZED CLAIM
5000363	DID NOT RESULT IN A RECOGNIZED CLAIM
5000364	DID NOT RESULT IN A RECOGNIZED CLAIM
5000365	DID NOT RESULT IN A RECOGNIZED CLAIM
5000366	DID NOT RESULT IN A RECOGNIZED CLAIM
5000367	DID NOT RESULT IN A RECOGNIZED CLAIM
5000368	DID NOT RESULT IN A RECOGNIZED CLAIM
5000369	DID NOT RESULT IN A RECOGNIZED CLAIM
5000370	DID NOT RESULT IN A RECOGNIZED CLAIM
5000371	DID NOT RESULT IN A RECOGNIZED CLAIM
5000372	DID NOT RESULT IN A RECOGNIZED CLAIM
5000373	DID NOT RESULT IN A RECOGNIZED CLAIM
5000374	DID NOT RESULT IN A RECOGNIZED CLAIM
5000375	DID NOT RESULT IN A RECOGNIZED CLAIM
5000376	DID NOT RESULT IN A RECOGNIZED CLAIM
5000377	DID NOT RESULT IN A RECOGNIZED CLAIM
5000378	DID NOT RESULT IN A RECOGNIZED CLAIM
5000379	DID NOT RESULT IN A RECOGNIZED CLAIM
5000380	DID NOT RESULT IN A RECOGNIZED CLAIM
5000381	DID NOT RESULT IN A RECOGNIZED CLAIM
5000382	DID NOT RESULT IN A RECOGNIZED CLAIM
5000383	DID NOT RESULT IN A RECOGNIZED CLAIM
5000384	DID NOT RESULT IN A RECOGNIZED CLAIM
5000385	DID NOT RESULT IN A RECOGNIZED CLAIM
5000386	DID NOT RESULT IN A RECOGNIZED CLAIM
5000387	DID NOT RESULT IN A RECOGNIZED CLAIM
5000388	DID NOT RESULT IN A RECOGNIZED CLAIM
5000389	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000390	DID NOT RESULT IN A RECOGNIZED CLAIM
5000391	DID NOT RESULT IN A RECOGNIZED CLAIM
5000392	DID NOT RESULT IN A RECOGNIZED CLAIM
5000393	DID NOT RESULT IN A RECOGNIZED CLAIM
5000394	DID NOT RESULT IN A RECOGNIZED CLAIM
5000395	DID NOT RESULT IN A RECOGNIZED CLAIM
5000396	DID NOT RESULT IN A RECOGNIZED CLAIM
5000397	DID NOT RESULT IN A RECOGNIZED CLAIM
5000398	DID NOT RESULT IN A RECOGNIZED CLAIM
5000399	DID NOT RESULT IN A RECOGNIZED CLAIM
5000400	DID NOT RESULT IN A RECOGNIZED CLAIM
5000401	DID NOT RESULT IN A RECOGNIZED CLAIM
5000402	DID NOT RESULT IN A RECOGNIZED CLAIM
5000403	DID NOT RESULT IN A RECOGNIZED CLAIM
5000404	DID NOT RESULT IN A RECOGNIZED CLAIM
5000405	DID NOT RESULT IN A RECOGNIZED CLAIM
5000406	DID NOT RESULT IN A RECOGNIZED CLAIM
5000407	DID NOT RESULT IN A RECOGNIZED CLAIM
5000408	DID NOT RESULT IN A RECOGNIZED CLAIM
5000409	DID NOT RESULT IN A RECOGNIZED CLAIM
5000410	DID NOT RESULT IN A RECOGNIZED CLAIM
5000411	DID NOT RESULT IN A RECOGNIZED CLAIM
5000412	DID NOT RESULT IN A RECOGNIZED CLAIM
5000413	DID NOT RESULT IN A RECOGNIZED CLAIM
5000414	DID NOT RESULT IN A RECOGNIZED CLAIM
5000415	DID NOT RESULT IN A RECOGNIZED CLAIM
5000416	DID NOT RESULT IN A RECOGNIZED CLAIM
5000417	DID NOT RESULT IN A RECOGNIZED CLAIM
5000418	DID NOT RESULT IN A RECOGNIZED CLAIM
5000419	DID NOT RESULT IN A RECOGNIZED CLAIM
5000420	DID NOT RESULT IN A RECOGNIZED CLAIM
5000421	DID NOT RESULT IN A RECOGNIZED CLAIM
5000422	DID NOT RESULT IN A RECOGNIZED CLAIM
5000423	DID NOT RESULT IN A RECOGNIZED CLAIM
5000424	DID NOT RESULT IN A RECOGNIZED CLAIM
5000425	DID NOT RESULT IN A RECOGNIZED CLAIM
5000426	DID NOT RESULT IN A RECOGNIZED CLAIM
5000427	DID NOT RESULT IN A RECOGNIZED CLAIM
5000428	DID NOT RESULT IN A RECOGNIZED CLAIM
5000429	DID NOT RESULT IN A RECOGNIZED CLAIM
5000430	DID NOT RESULT IN A RECOGNIZED CLAIM
5000431	DID NOT RESULT IN A RECOGNIZED CLAIM
5000432	DID NOT RESULT IN A RECOGNIZED CLAIM
5000433	DID NOT RESULT IN A RECOGNIZED CLAIM
5000434	DID NOT RESULT IN A RECOGNIZED CLAIM
5000435	DID NOT RESULT IN A RECOGNIZED CLAIM
5000436	DID NOT RESULT IN A RECOGNIZED CLAIM
5000437	DID NOT RESULT IN A RECOGNIZED CLAIM
5000438	DID NOT RESULT IN A RECOGNIZED CLAIM
5000439	DID NOT RESULT IN A RECOGNIZED CLAIM
5000440	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000441	DID NOT RESULT IN A RECOGNIZED CLAIM
5000442	DID NOT RESULT IN A RECOGNIZED CLAIM
5000443	DID NOT RESULT IN A RECOGNIZED CLAIM
5000444	DID NOT RESULT IN A RECOGNIZED CLAIM
5000445	DID NOT RESULT IN A RECOGNIZED CLAIM
5000446	DID NOT RESULT IN A RECOGNIZED CLAIM
5000447	DID NOT RESULT IN A RECOGNIZED CLAIM
5000448	DID NOT RESULT IN A RECOGNIZED CLAIM
5000449	DID NOT RESULT IN A RECOGNIZED CLAIM
5000450	DID NOT RESULT IN A RECOGNIZED CLAIM
5000451	DID NOT RESULT IN A RECOGNIZED CLAIM
5000452	DID NOT RESULT IN A RECOGNIZED CLAIM
5000453	DID NOT RESULT IN A RECOGNIZED CLAIM
5000454	DID NOT RESULT IN A RECOGNIZED CLAIM
5000455	DID NOT RESULT IN A RECOGNIZED CLAIM
5000456	DID NOT RESULT IN A RECOGNIZED CLAIM
5000457	DID NOT RESULT IN A RECOGNIZED CLAIM
5000458	DID NOT RESULT IN A RECOGNIZED CLAIM
5000459	DID NOT RESULT IN A RECOGNIZED CLAIM
5000460	DID NOT RESULT IN A RECOGNIZED CLAIM
5000461	DID NOT FIT THE DEFINITION OF THE CLASS
5000462	DID NOT RESULT IN A RECOGNIZED CLAIM
5000463	DID NOT RESULT IN A RECOGNIZED CLAIM
5000464	DID NOT RESULT IN A RECOGNIZED CLAIM
5000465	DID NOT RESULT IN A RECOGNIZED CLAIM
5000466	DID NOT RESULT IN A RECOGNIZED CLAIM
5000467	DID NOT RESULT IN A RECOGNIZED CLAIM
5000468	DID NOT RESULT IN A RECOGNIZED CLAIM
5000469	DID NOT RESULT IN A RECOGNIZED CLAIM
5000470	DID NOT RESULT IN A RECOGNIZED CLAIM
5000471	DID NOT RESULT IN A RECOGNIZED CLAIM
5000472	DID NOT RESULT IN A RECOGNIZED CLAIM
5000473	DID NOT RESULT IN A RECOGNIZED CLAIM
5000474	DID NOT RESULT IN A RECOGNIZED CLAIM
5000475	DID NOT RESULT IN A RECOGNIZED CLAIM
5000476	DID NOT RESULT IN A RECOGNIZED CLAIM
5000477	DID NOT RESULT IN A RECOGNIZED CLAIM
5000478	DID NOT RESULT IN A RECOGNIZED CLAIM
5000479	DID NOT RESULT IN A RECOGNIZED CLAIM
5000480	DID NOT RESULT IN A RECOGNIZED CLAIM
5000481	DID NOT RESULT IN A RECOGNIZED CLAIM
5000482	DID NOT RESULT IN A RECOGNIZED CLAIM
5000483	DID NOT RESULT IN A RECOGNIZED CLAIM
5000484	DID NOT RESULT IN A RECOGNIZED CLAIM
5000485	DID NOT RESULT IN A RECOGNIZED CLAIM
5000486	DID NOT RESULT IN A RECOGNIZED CLAIM
5000487	DID NOT RESULT IN A RECOGNIZED CLAIM
5000488	DID NOT RESULT IN A RECOGNIZED CLAIM
5000489	DID NOT RESULT IN A RECOGNIZED CLAIM
5000490	DID NOT RESULT IN A RECOGNIZED CLAIM
5000491	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000492	DID NOT RESULT IN A RECOGNIZED CLAIM
5000493	DID NOT RESULT IN A RECOGNIZED CLAIM
5000494	DID NOT RESULT IN A RECOGNIZED CLAIM
5000495	DID NOT RESULT IN A RECOGNIZED CLAIM
5000496	DID NOT RESULT IN A RECOGNIZED CLAIM
5000497	DID NOT RESULT IN A RECOGNIZED CLAIM
5000498	DID NOT RESULT IN A RECOGNIZED CLAIM
5000499	DID NOT RESULT IN A RECOGNIZED CLAIM
5000500	DID NOT RESULT IN A RECOGNIZED CLAIM
5000501	DID NOT RESULT IN A RECOGNIZED CLAIM
5000502	DID NOT RESULT IN A RECOGNIZED CLAIM
5000503	DID NOT RESULT IN A RECOGNIZED CLAIM
5000507	DID NOT RESULT IN A RECOGNIZED CLAIM
5000508	DID NOT RESULT IN A RECOGNIZED CLAIM
5000509	DID NOT RESULT IN A RECOGNIZED CLAIM
5000510	DID NOT RESULT IN A RECOGNIZED CLAIM
5000511	DID NOT RESULT IN A RECOGNIZED CLAIM
5000513	DID NOT RESULT IN A RECOGNIZED CLAIM
5000514	DID NOT RESULT IN A RECOGNIZED CLAIM
5000515	DID NOT RESULT IN A RECOGNIZED CLAIM
5000516	DID NOT RESULT IN A RECOGNIZED CLAIM
5000517	DID NOT RESULT IN A RECOGNIZED CLAIM
5000518	DID NOT RESULT IN A RECOGNIZED CLAIM
5000519	DID NOT RESULT IN A RECOGNIZED CLAIM
5000520	DID NOT RESULT IN A RECOGNIZED CLAIM
5000521	DID NOT RESULT IN A RECOGNIZED CLAIM
5000522	DID NOT RESULT IN A RECOGNIZED CLAIM
5000523	DID NOT RESULT IN A RECOGNIZED CLAIM
5000524	DID NOT RESULT IN A RECOGNIZED CLAIM
5000525	DID NOT RESULT IN A RECOGNIZED CLAIM
5000526	DID NOT RESULT IN A RECOGNIZED CLAIM
5000527	DID NOT RESULT IN A RECOGNIZED CLAIM
5000528	DID NOT RESULT IN A RECOGNIZED CLAIM
5000529	DID NOT RESULT IN A RECOGNIZED CLAIM
5000530	DID NOT RESULT IN A RECOGNIZED CLAIM
5000531	DID NOT RESULT IN A RECOGNIZED CLAIM
5000532	DID NOT RESULT IN A RECOGNIZED CLAIM
5000533	DID NOT RESULT IN A RECOGNIZED CLAIM
5000534	DEFICIENT CLAIM NEVER CURED
5000535	DID NOT RESULT IN A RECOGNIZED CLAIM
5000536	DID NOT RESULT IN A RECOGNIZED CLAIM
5000537	DID NOT RESULT IN A RECOGNIZED CLAIM
5000538	DID NOT RESULT IN A RECOGNIZED CLAIM
5000539	DID NOT RESULT IN A RECOGNIZED CLAIM
5000540	DID NOT RESULT IN A RECOGNIZED CLAIM
5000541	DID NOT RESULT IN A RECOGNIZED CLAIM
5000542	DID NOT RESULT IN A RECOGNIZED CLAIM
5000543	DID NOT RESULT IN A RECOGNIZED CLAIM
5000544	DID NOT RESULT IN A RECOGNIZED CLAIM
5000545	DID NOT RESULT IN A RECOGNIZED CLAIM
5000546	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants
BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000547	DID NOT RESULT IN A RECOGNIZED CLAIM
5000548	DID NOT RESULT IN A RECOGNIZED CLAIM
5000549	DID NOT RESULT IN A RECOGNIZED CLAIM
5000550	DID NOT RESULT IN A RECOGNIZED CLAIM
5000551	DID NOT RESULT IN A RECOGNIZED CLAIM
5000552	DID NOT RESULT IN A RECOGNIZED CLAIM
5000553	DID NOT RESULT IN A RECOGNIZED CLAIM
5000554	DID NOT RESULT IN A RECOGNIZED CLAIM
5000555	DID NOT RESULT IN A RECOGNIZED CLAIM
5000556	DID NOT RESULT IN A RECOGNIZED CLAIM
5000557	DID NOT RESULT IN A RECOGNIZED CLAIM
5000558	DID NOT RESULT IN A RECOGNIZED CLAIM
5000559	DID NOT RESULT IN A RECOGNIZED CLAIM
5000560	DID NOT RESULT IN A RECOGNIZED CLAIM
5000561	DID NOT RESULT IN A RECOGNIZED CLAIM
5000562	DID NOT RESULT IN A RECOGNIZED CLAIM
5000563	DID NOT RESULT IN A RECOGNIZED CLAIM
5000564	DID NOT RESULT IN A RECOGNIZED CLAIM
5000565	DID NOT RESULT IN A RECOGNIZED CLAIM
5000566	DID NOT RESULT IN A RECOGNIZED CLAIM
5000567	DID NOT RESULT IN A RECOGNIZED CLAIM
5000568	DID NOT RESULT IN A RECOGNIZED CLAIM
5000569	DID NOT RESULT IN A RECOGNIZED CLAIM
5000570	DID NOT RESULT IN A RECOGNIZED CLAIM
5000571	DID NOT RESULT IN A RECOGNIZED CLAIM
5000572	DID NOT RESULT IN A RECOGNIZED CLAIM
5000573	DID NOT RESULT IN A RECOGNIZED CLAIM
5000574	DID NOT RESULT IN A RECOGNIZED CLAIM
5000575	DID NOT RESULT IN A RECOGNIZED CLAIM
5000576	DID NOT RESULT IN A RECOGNIZED CLAIM
5000577	DID NOT RESULT IN A RECOGNIZED CLAIM
5000578	DID NOT RESULT IN A RECOGNIZED CLAIM
5000579	DID NOT RESULT IN A RECOGNIZED CLAIM
5000580	DID NOT RESULT IN A RECOGNIZED CLAIM
5000581	DID NOT RESULT IN A RECOGNIZED CLAIM
5000582	DID NOT RESULT IN A RECOGNIZED CLAIM
5000584	DID NOT RESULT IN A RECOGNIZED CLAIM
5000585	DID NOT RESULT IN A RECOGNIZED CLAIM
5000587	DID NOT RESULT IN A RECOGNIZED CLAIM
5000588	DID NOT RESULT IN A RECOGNIZED CLAIM
5000589	DID NOT RESULT IN A RECOGNIZED CLAIM
5000590	DID NOT RESULT IN A RECOGNIZED CLAIM
5000591	DID NOT RESULT IN A RECOGNIZED CLAIM
5000592	DID NOT RESULT IN A RECOGNIZED CLAIM
5000593	DID NOT RESULT IN A RECOGNIZED CLAIM
5000594	DEFICIENT CLAIM NEVER CURED
5000595	DID NOT RESULT IN A RECOGNIZED CLAIM
5000597	DEFICIENT CLAIM NEVER CURED
5000598	DEFICIENT CLAIM NEVER CURED
5000599	DEFICIENT CLAIM NEVER CURED
5000600	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000601	DEFICIENT CLAIM NEVER CURED
5000602	DID NOT RESULT IN A RECOGNIZED CLAIM
5000603	DID NOT RESULT IN A RECOGNIZED CLAIM
5000604	DEFICIENT CLAIM NEVER CURED
5000605	DID NOT FIT THE DEFINITION OF THE CLASS
5000606	DID NOT RESULT IN A RECOGNIZED CLAIM
5000607	DID NOT RESULT IN A RECOGNIZED CLAIM
5000608	DID NOT RESULT IN A RECOGNIZED CLAIM
5000609	DID NOT RESULT IN A RECOGNIZED CLAIM
5000610	DID NOT RESULT IN A RECOGNIZED CLAIM
5000611	DID NOT RESULT IN A RECOGNIZED CLAIM
5000612	DID NOT RESULT IN A RECOGNIZED CLAIM
5000613	DID NOT RESULT IN A RECOGNIZED CLAIM
5000615	DID NOT RESULT IN A RECOGNIZED CLAIM
5000616	DID NOT RESULT IN A RECOGNIZED CLAIM
5000617	DID NOT RESULT IN A RECOGNIZED CLAIM
5000619	DID NOT RESULT IN A RECOGNIZED CLAIM
5000620	DID NOT RESULT IN A RECOGNIZED CLAIM
5000621	DID NOT RESULT IN A RECOGNIZED CLAIM
5000622	DID NOT RESULT IN A RECOGNIZED CLAIM
5000623	DID NOT FIT THE DEFINITION OF THE CLASS
5000624	DID NOT FIT THE DEFINITION OF THE CLASS
5000625	DID NOT FIT THE DEFINITION OF THE CLASS
5000626	DID NOT RESULT IN A RECOGNIZED CLAIM
5000627	DID NOT RESULT IN A RECOGNIZED CLAIM
5000628	DID NOT FIT THE DEFINITION OF THE CLASS
5000629	DID NOT FIT THE DEFINITION OF THE CLASS
5000632	DID NOT RESULT IN A RECOGNIZED CLAIM
5000633	DID NOT RESULT IN A RECOGNIZED CLAIM
5000634	DID NOT RESULT IN A RECOGNIZED CLAIM
5000635	DID NOT RESULT IN A RECOGNIZED CLAIM
5000636	DID NOT FIT THE DEFINITION OF THE CLASS
5000638	DID NOT FIT THE DEFINITION OF THE CLASS
5000639	DID NOT RESULT IN A RECOGNIZED CLAIM
5000640	DID NOT RESULT IN A RECOGNIZED CLAIM
5000641	DID NOT RESULT IN A RECOGNIZED CLAIM
5000642	DID NOT RESULT IN A RECOGNIZED CLAIM
5000643	DID NOT RESULT IN A RECOGNIZED CLAIM
5000644	DID NOT RESULT IN A RECOGNIZED CLAIM
5000645	DID NOT RESULT IN A RECOGNIZED CLAIM
5000646	DID NOT RESULT IN A RECOGNIZED CLAIM
5000647	DID NOT RESULT IN A RECOGNIZED CLAIM
5000648	DID NOT RESULT IN A RECOGNIZED CLAIM
5000649	DID NOT RESULT IN A RECOGNIZED CLAIM
5000655	DID NOT RESULT IN A RECOGNIZED CLAIM
5000656	DID NOT RESULT IN A RECOGNIZED CLAIM
5000657	DID NOT RESULT IN A RECOGNIZED CLAIM
5000661	DID NOT RESULT IN A RECOGNIZED CLAIM
5000662	DID NOT FIT THE DEFINITION OF THE CLASS
5000663	DID NOT RESULT IN A RECOGNIZED CLAIM
5000664	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000665	DID NOT RESULT IN A RECOGNIZED CLAIM
5000667	DID NOT RESULT IN A RECOGNIZED CLAIM
5000668	DID NOT RESULT IN A RECOGNIZED CLAIM
5000669	DID NOT RESULT IN A RECOGNIZED CLAIM
5000671	DID NOT RESULT IN A RECOGNIZED CLAIM
5000672	DID NOT RESULT IN A RECOGNIZED CLAIM
5000673	DID NOT RESULT IN A RECOGNIZED CLAIM
5000674	DID NOT RESULT IN A RECOGNIZED CLAIM
5000678	DID NOT RESULT IN A RECOGNIZED CLAIM
5000679	DID NOT RESULT IN A RECOGNIZED CLAIM
5000682	DID NOT RESULT IN A RECOGNIZED CLAIM
5000686	DID NOT RESULT IN A RECOGNIZED CLAIM
5000687	DID NOT RESULT IN A RECOGNIZED CLAIM
5000689	DID NOT RESULT IN A RECOGNIZED CLAIM
5000690	DID NOT RESULT IN A RECOGNIZED CLAIM
5000691	DID NOT RESULT IN A RECOGNIZED CLAIM
5000692	DID NOT RESULT IN A RECOGNIZED CLAIM
5000693	DID NOT FIT THE DEFINITION OF THE CLASS
5000694	DID NOT RESULT IN A RECOGNIZED CLAIM
5000696	DID NOT RESULT IN A RECOGNIZED CLAIM
5000697	DID NOT RESULT IN A RECOGNIZED CLAIM
5000698	DID NOT RESULT IN A RECOGNIZED CLAIM
5000699	DID NOT RESULT IN A RECOGNIZED CLAIM
5000700	DID NOT RESULT IN A RECOGNIZED CLAIM
5000701	DID NOT RESULT IN A RECOGNIZED CLAIM
5000702	DID NOT FIT THE DEFINITION OF THE CLASS
5000703	DID NOT RESULT IN A RECOGNIZED CLAIM
5000704	DID NOT RESULT IN A RECOGNIZED CLAIM
5000705	DID NOT RESULT IN A RECOGNIZED CLAIM
5000706	DID NOT RESULT IN A RECOGNIZED CLAIM
5000707	DID NOT RESULT IN A RECOGNIZED CLAIM
5000708	DID NOT RESULT IN A RECOGNIZED CLAIM
5000709	DID NOT RESULT IN A RECOGNIZED CLAIM
5000710	DID NOT RESULT IN A RECOGNIZED CLAIM
5000711	DID NOT RESULT IN A RECOGNIZED CLAIM
5000712	DID NOT RESULT IN A RECOGNIZED CLAIM
5000713	DID NOT RESULT IN A RECOGNIZED CLAIM
5000714	DID NOT RESULT IN A RECOGNIZED CLAIM
5000715	DID NOT RESULT IN A RECOGNIZED CLAIM
5000717	DID NOT RESULT IN A RECOGNIZED CLAIM
5000718	DID NOT RESULT IN A RECOGNIZED CLAIM
5000719	DID NOT RESULT IN A RECOGNIZED CLAIM
5000720	DID NOT RESULT IN A RECOGNIZED CLAIM
5000721	DID NOT RESULT IN A RECOGNIZED CLAIM
5000722	DID NOT RESULT IN A RECOGNIZED CLAIM
5000723	DID NOT RESULT IN A RECOGNIZED CLAIM
5000724	DID NOT RESULT IN A RECOGNIZED CLAIM
5000725	DID NOT RESULT IN A RECOGNIZED CLAIM
5000726	DID NOT RESULT IN A RECOGNIZED CLAIM
5000727	DID NOT RESULT IN A RECOGNIZED CLAIM
5000728	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000729	DID NOT RESULT IN A RECOGNIZED CLAIM
5000730	DID NOT RESULT IN A RECOGNIZED CLAIM
5000731	DID NOT RESULT IN A RECOGNIZED CLAIM
5000732	DID NOT RESULT IN A RECOGNIZED CLAIM
5000733	DID NOT RESULT IN A RECOGNIZED CLAIM
5000734	DID NOT RESULT IN A RECOGNIZED CLAIM
5000735	DID NOT RESULT IN A RECOGNIZED CLAIM
5000736	DID NOT RESULT IN A RECOGNIZED CLAIM
5000737	DID NOT RESULT IN A RECOGNIZED CLAIM
5000738	DID NOT RESULT IN A RECOGNIZED CLAIM
5000739	DID NOT RESULT IN A RECOGNIZED CLAIM
5000740	DID NOT RESULT IN A RECOGNIZED CLAIM
5000741	DID NOT RESULT IN A RECOGNIZED CLAIM
5000742	DID NOT RESULT IN A RECOGNIZED CLAIM
5000743	DEFICIENT CLAIM NEVER CURED
5000744	DID NOT RESULT IN A RECOGNIZED CLAIM
5000745	DID NOT RESULT IN A RECOGNIZED CLAIM
5000746	DID NOT FIT THE DEFINITION OF THE CLASS
5000747	DID NOT RESULT IN A RECOGNIZED CLAIM
5000749	DID NOT RESULT IN A RECOGNIZED CLAIM
5000750	DID NOT RESULT IN A RECOGNIZED CLAIM
5000751	DID NOT RESULT IN A RECOGNIZED CLAIM
5000752	DID NOT RESULT IN A RECOGNIZED CLAIM
5000753	DID NOT RESULT IN A RECOGNIZED CLAIM
5000754	DID NOT RESULT IN A RECOGNIZED CLAIM
5000755	DID NOT RESULT IN A RECOGNIZED CLAIM
5000756	DID NOT RESULT IN A RECOGNIZED CLAIM
5000757	DID NOT RESULT IN A RECOGNIZED CLAIM
5000758	DID NOT RESULT IN A RECOGNIZED CLAIM
5000759	DID NOT RESULT IN A RECOGNIZED CLAIM
5000760	DID NOT RESULT IN A RECOGNIZED CLAIM
5000761	DID NOT RESULT IN A RECOGNIZED CLAIM
5000762	DID NOT RESULT IN A RECOGNIZED CLAIM
5000763	DID NOT RESULT IN A RECOGNIZED CLAIM
5000764	DID NOT RESULT IN A RECOGNIZED CLAIM
5000765	DID NOT RESULT IN A RECOGNIZED CLAIM
5000766	DID NOT RESULT IN A RECOGNIZED CLAIM
5000768	DID NOT RESULT IN A RECOGNIZED CLAIM
5000770	DID NOT RESULT IN A RECOGNIZED CLAIM
5000771	DID NOT RESULT IN A RECOGNIZED CLAIM
5000772	DID NOT RESULT IN A RECOGNIZED CLAIM
5000773	DID NOT RESULT IN A RECOGNIZED CLAIM
5000774	DID NOT RESULT IN A RECOGNIZED CLAIM
5000775	DID NOT RESULT IN A RECOGNIZED CLAIM
5000776	DID NOT RESULT IN A RECOGNIZED CLAIM
5000777	DID NOT RESULT IN A RECOGNIZED CLAIM
5000778	DID NOT RESULT IN A RECOGNIZED CLAIM
5000779	DID NOT RESULT IN A RECOGNIZED CLAIM
5000780	DID NOT RESULT IN A RECOGNIZED CLAIM
5000781	DID NOT RESULT IN A RECOGNIZED CLAIM
5000782	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

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Claim #	Reason Deemed Ineligible
5000783	DID NOT RESULT IN A RECOGNIZED CLAIM
5000784	DID NOT RESULT IN A RECOGNIZED CLAIM
5000785	DID NOT RESULT IN A RECOGNIZED CLAIM
5000786	DID NOT RESULT IN A RECOGNIZED CLAIM
5000787	DID NOT RESULT IN A RECOGNIZED CLAIM
5000788	DID NOT RESULT IN A RECOGNIZED CLAIM
5000789	DID NOT RESULT IN A RECOGNIZED CLAIM
5000790	DID NOT RESULT IN A RECOGNIZED CLAIM
5000791	DID NOT RESULT IN A RECOGNIZED CLAIM
5000792	DID NOT RESULT IN A RECOGNIZED CLAIM
5000793	DID NOT RESULT IN A RECOGNIZED CLAIM
5000794	DID NOT RESULT IN A RECOGNIZED CLAIM
5000795	DID NOT FIT THE DEFINITION OF THE CLASS
5000797	DID NOT FIT THE DEFINITION OF THE CLASS
5000798	DID NOT RESULT IN A RECOGNIZED CLAIM
5000799	DID NOT RESULT IN A RECOGNIZED CLAIM
5000800	DID NOT RESULT IN A RECOGNIZED CLAIM
5000801	DID NOT RESULT IN A RECOGNIZED CLAIM
5000802	DID NOT RESULT IN A RECOGNIZED CLAIM
5000803	DID NOT RESULT IN A RECOGNIZED CLAIM
5000804	DID NOT RESULT IN A RECOGNIZED CLAIM
5000805	DID NOT RESULT IN A RECOGNIZED CLAIM
5000806	DID NOT RESULT IN A RECOGNIZED CLAIM
5000807	DID NOT RESULT IN A RECOGNIZED CLAIM
5000808	DID NOT RESULT IN A RECOGNIZED CLAIM
5000809	DID NOT RESULT IN A RECOGNIZED CLAIM
5000810	DID NOT RESULT IN A RECOGNIZED CLAIM
5000811	DID NOT RESULT IN A RECOGNIZED CLAIM
5000812	DID NOT RESULT IN A RECOGNIZED CLAIM
5000813	DID NOT RESULT IN A RECOGNIZED CLAIM
5000814	DID NOT RESULT IN A RECOGNIZED CLAIM
5000815	DID NOT RESULT IN A RECOGNIZED CLAIM
5000817	DID NOT RESULT IN A RECOGNIZED CLAIM
5000818	DID NOT RESULT IN A RECOGNIZED CLAIM
5000819	DID NOT RESULT IN A RECOGNIZED CLAIM
5000820	DID NOT RESULT IN A RECOGNIZED CLAIM
5000821	DID NOT RESULT IN A RECOGNIZED CLAIM
5000822	DID NOT RESULT IN A RECOGNIZED CLAIM
5000824	DID NOT RESULT IN A RECOGNIZED CLAIM
5000825	DID NOT RESULT IN A RECOGNIZED CLAIM
5000826	DID NOT RESULT IN A RECOGNIZED CLAIM
5000827	DID NOT RESULT IN A RECOGNIZED CLAIM
5000828	DID NOT RESULT IN A RECOGNIZED CLAIM
5000829	DID NOT RESULT IN A RECOGNIZED CLAIM
5000830	DID NOT RESULT IN A RECOGNIZED CLAIM
5000831	DID NOT RESULT IN A RECOGNIZED CLAIM
5000832	DID NOT RESULT IN A RECOGNIZED CLAIM
5000833	DID NOT RESULT IN A RECOGNIZED CLAIM
5000834	DID NOT RESULT IN A RECOGNIZED CLAIM
5000835	DID NOT RESULT IN A RECOGNIZED CLAIM
5000836	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000837	DID NOT RESULT IN A RECOGNIZED CLAIM
5000838	DID NOT RESULT IN A RECOGNIZED CLAIM
5000839	DID NOT RESULT IN A RECOGNIZED CLAIM
5000840	DID NOT RESULT IN A RECOGNIZED CLAIM
5000841	DID NOT RESULT IN A RECOGNIZED CLAIM
5000842	DID NOT RESULT IN A RECOGNIZED CLAIM
5000843	DID NOT RESULT IN A RECOGNIZED CLAIM
5000844	DID NOT RESULT IN A RECOGNIZED CLAIM
5000845	DID NOT RESULT IN A RECOGNIZED CLAIM
5000846	DID NOT RESULT IN A RECOGNIZED CLAIM
5000847	DID NOT RESULT IN A RECOGNIZED CLAIM
5000849	DID NOT RESULT IN A RECOGNIZED CLAIM
5000850	DID NOT RESULT IN A RECOGNIZED CLAIM
5000851	DID NOT RESULT IN A RECOGNIZED CLAIM
5000852	DID NOT RESULT IN A RECOGNIZED CLAIM
5000853	DID NOT RESULT IN A RECOGNIZED CLAIM
5000854	DID NOT RESULT IN A RECOGNIZED CLAIM
5000855	DID NOT RESULT IN A RECOGNIZED CLAIM
5000856	DID NOT RESULT IN A RECOGNIZED CLAIM
5000857	DID NOT RESULT IN A RECOGNIZED CLAIM
5000858	DID NOT RESULT IN A RECOGNIZED CLAIM
5000859	DID NOT RESULT IN A RECOGNIZED CLAIM
5000860	DID NOT RESULT IN A RECOGNIZED CLAIM
5000861	DID NOT RESULT IN A RECOGNIZED CLAIM
5000862	DID NOT RESULT IN A RECOGNIZED CLAIM
5000863	DID NOT RESULT IN A RECOGNIZED CLAIM
5000864	DID NOT RESULT IN A RECOGNIZED CLAIM
5000865	DID NOT RESULT IN A RECOGNIZED CLAIM
5000866	DID NOT RESULT IN A RECOGNIZED CLAIM
5000867	DID NOT RESULT IN A RECOGNIZED CLAIM
5000868	DID NOT RESULT IN A RECOGNIZED CLAIM
5000869	DID NOT RESULT IN A RECOGNIZED CLAIM
5000870	DID NOT RESULT IN A RECOGNIZED CLAIM
5000871	DID NOT RESULT IN A RECOGNIZED CLAIM
5000872	DID NOT RESULT IN A RECOGNIZED CLAIM
5000873	DID NOT RESULT IN A RECOGNIZED CLAIM
5000874	DID NOT RESULT IN A RECOGNIZED CLAIM
5000875	DID NOT RESULT IN A RECOGNIZED CLAIM
5000876	DID NOT RESULT IN A RECOGNIZED CLAIM
5000877	DID NOT RESULT IN A RECOGNIZED CLAIM
5000878	DID NOT RESULT IN A RECOGNIZED CLAIM
5000879	DID NOT RESULT IN A RECOGNIZED CLAIM
5000880	DID NOT RESULT IN A RECOGNIZED CLAIM
5000881	DID NOT RESULT IN A RECOGNIZED CLAIM
5000882	DID NOT RESULT IN A RECOGNIZED CLAIM
5000883	DID NOT RESULT IN A RECOGNIZED CLAIM
5000884	DID NOT RESULT IN A RECOGNIZED CLAIM
5000885	DID NOT RESULT IN A RECOGNIZED CLAIM
5000886	DID NOT RESULT IN A RECOGNIZED CLAIM
5000887	DID NOT RESULT IN A RECOGNIZED CLAIM
5000888	DID NOT RESULT IN A RECOGNIZED CLAIM

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Claim #	Reason Deemed Ineligible
5000889	DID NOT RESULT IN A RECOGNIZED CLAIM
5000890	DID NOT RESULT IN A RECOGNIZED CLAIM
5000891	DID NOT RESULT IN A RECOGNIZED CLAIM
5000893	DID NOT RESULT IN A RECOGNIZED CLAIM
5000895	DID NOT RESULT IN A RECOGNIZED CLAIM
5000896	DID NOT RESULT IN A RECOGNIZED CLAIM
5000897	DEFICIENT CLAIM NEVER CURED
5000898	DID NOT RESULT IN A RECOGNIZED CLAIM
5000899	DID NOT RESULT IN A RECOGNIZED CLAIM
5000900	DID NOT RESULT IN A RECOGNIZED CLAIM
5000901	DID NOT RESULT IN A RECOGNIZED CLAIM
5000902	DID NOT RESULT IN A RECOGNIZED CLAIM
5000903	DID NOT RESULT IN A RECOGNIZED CLAIM
5000904	DID NOT RESULT IN A RECOGNIZED CLAIM
5000905	DID NOT RESULT IN A RECOGNIZED CLAIM
5000908	DID NOT FIT THE DEFINITION OF THE CLASS
5000909	DID NOT FIT THE DEFINITION OF THE CLASS
5000910	DID NOT FIT THE DEFINITION OF THE CLASS
5000911	DID NOT FIT THE DEFINITION OF THE CLASS
5000912	DID NOT FIT THE DEFINITION OF THE CLASS
5000913	DID NOT FIT THE DEFINITION OF THE CLASS
5000914	DID NOT FIT THE DEFINITION OF THE CLASS
5000915	DID NOT FIT THE DEFINITION OF THE CLASS
5000916	DID NOT FIT THE DEFINITION OF THE CLASS
5000917	DEFICIENT CLAIM NEVER CURED
5000918	DID NOT FIT THE DEFINITION OF THE CLASS
5000921	DID NOT FIT THE DEFINITION OF THE CLASS
5000924	DID NOT FIT THE DEFINITION OF THE CLASS
5000927	DID NOT FIT THE DEFINITION OF THE CLASS
5000928	DID NOT FIT THE DEFINITION OF THE CLASS
5000931	DEFICIENT CLAIM NEVER CURED
5000933	DID NOT RESULT IN A RECOGNIZED CLAIM
5000935	DUPLICATE CLAIM
5000938	DID NOT RESULT IN A RECOGNIZED CLAIM
5000939	DID NOT RESULT IN A RECOGNIZED CLAIM
5000940	DID NOT FIT THE DEFINITION OF THE CLASS
5000943	DID NOT FIT THE DEFINITION OF THE CLASS
5000944	DID NOT FIT THE DEFINITION OF THE CLASS
5000951	DID NOT FIT THE DEFINITION OF THE CLASS
5000952	DID NOT FIT THE DEFINITION OF THE CLASS
5000954	DID NOT RESULT IN A RECOGNIZED CLAIM
5000955	DID NOT RESULT IN A RECOGNIZED CLAIM
5000956	DID NOT RESULT IN A RECOGNIZED CLAIM
5000957	DID NOT RESULT IN A RECOGNIZED CLAIM
5000958	DID NOT FIT THE DEFINITION OF THE CLASS
5000959	DID NOT RESULT IN A RECOGNIZED CLAIM
5000960	DID NOT RESULT IN A RECOGNIZED CLAIM
5000961	DID NOT RESULT IN A RECOGNIZED CLAIM
5000962	DID NOT FIT THE DEFINITION OF THE CLASS
5000964	DID NOT RESULT IN A RECOGNIZED CLAIM
5000965	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5000967	DID NOT RESULT IN A RECOGNIZED CLAIM
5000968	DID NOT RESULT IN A RECOGNIZED CLAIM
5000970	DID NOT RESULT IN A RECOGNIZED CLAIM
5000971	DID NOT RESULT IN A RECOGNIZED CLAIM
5000972	DID NOT FIT THE DEFINITION OF THE CLASS
5000973	DID NOT FIT THE DEFINITION OF THE CLASS
5000974	DEFICIENT CLAIM NEVER CURED
5000975	DID NOT RESULT IN A RECOGNIZED CLAIM
5000976	DID NOT RESULT IN A RECOGNIZED CLAIM
5000977	DID NOT RESULT IN A RECOGNIZED CLAIM
5000978	DID NOT RESULT IN A RECOGNIZED CLAIM
5000980	DID NOT FIT THE DEFINITION OF THE CLASS
5000981	DID NOT FIT THE DEFINITION OF THE CLASS
5000983	DID NOT RESULT IN A RECOGNIZED CLAIM
5000984	DID NOT RESULT IN A RECOGNIZED CLAIM
5000986	DID NOT RESULT IN A RECOGNIZED CLAIM
5000987	DID NOT RESULT IN A RECOGNIZED CLAIM
5000988	DID NOT RESULT IN A RECOGNIZED CLAIM
5000989	DID NOT RESULT IN A RECOGNIZED CLAIM
5000990	DID NOT RESULT IN A RECOGNIZED CLAIM
5000993	DID NOT RESULT IN A RECOGNIZED CLAIM
5000994	DID NOT RESULT IN A RECOGNIZED CLAIM
5000995	DID NOT RESULT IN A RECOGNIZED CLAIM
5000996	DID NOT FIT THE DEFINITION OF THE CLASS
5000999	DID NOT RESULT IN A RECOGNIZED CLAIM
5001000	DID NOT RESULT IN A RECOGNIZED CLAIM
5001009	DID NOT RESULT IN A RECOGNIZED CLAIM
5001010	DID NOT RESULT IN A RECOGNIZED CLAIM
5001011	DID NOT RESULT IN A RECOGNIZED CLAIM
5001013	DID NOT FIT THE DEFINITION OF THE CLASS
5001014	DID NOT RESULT IN A RECOGNIZED CLAIM
5001015	DID NOT RESULT IN A RECOGNIZED CLAIM
5001017	DID NOT FIT THE DEFINITION OF THE CLASS
5001018	DID NOT RESULT IN A RECOGNIZED CLAIM
5001019	DID NOT RESULT IN A RECOGNIZED CLAIM
5001020	DID NOT RESULT IN A RECOGNIZED CLAIM
5001021	DID NOT RESULT IN A RECOGNIZED CLAIM
5001022	DID NOT RESULT IN A RECOGNIZED CLAIM
5001023	DID NOT RESULT IN A RECOGNIZED CLAIM
5001024	DID NOT RESULT IN A RECOGNIZED CLAIM
5001027	DID NOT RESULT IN A RECOGNIZED CLAIM
5001028	DID NOT RESULT IN A RECOGNIZED CLAIM
5001029	DID NOT RESULT IN A RECOGNIZED CLAIM
5001030	DID NOT RESULT IN A RECOGNIZED CLAIM
5001031	DID NOT FIT THE DEFINITION OF THE CLASS
5001032	DID NOT FIT THE DEFINITION OF THE CLASS
5001035	DID NOT RESULT IN A RECOGNIZED CLAIM
5001036	DID NOT RESULT IN A RECOGNIZED CLAIM
5001037	DID NOT RESULT IN A RECOGNIZED CLAIM
5001038	DEFICIENT CLAIM NEVER CURED
5001040	DID NOT RESULT IN A RECOGNIZED CLAIM

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Claim #	Reason Deemed Ineligible
5001041	DID NOT RESULT IN A RECOGNIZED CLAIM
5001042	DID NOT RESULT IN A RECOGNIZED CLAIM
5001044	DID NOT RESULT IN A RECOGNIZED CLAIM
5001046	DID NOT RESULT IN A RECOGNIZED CLAIM
5001047	DID NOT RESULT IN A RECOGNIZED CLAIM
5001048	DID NOT RESULT IN A RECOGNIZED CLAIM
5001049	DID NOT RESULT IN A RECOGNIZED CLAIM
5001050	DEFICIENT CLAIM NEVER CURED
5001051	DID NOT RESULT IN A RECOGNIZED CLAIM
5001052	DID NOT RESULT IN A RECOGNIZED CLAIM
5001053	DID NOT FIT THE DEFINITION OF THE CLASS
5001054	DEFICIENT CLAIM NEVER CURED
5001055	DID NOT RESULT IN A RECOGNIZED CLAIM
5001056	DID NOT FIT THE DEFINITION OF THE CLASS
5001059	DID NOT RESULT IN A RECOGNIZED CLAIM
5001060	DID NOT RESULT IN A RECOGNIZED CLAIM
5001064	DID NOT RESULT IN A RECOGNIZED CLAIM
5001065	DID NOT RESULT IN A RECOGNIZED CLAIM
5001066	DID NOT FIT THE DEFINITION OF THE CLASS
5001067	DID NOT RESULT IN A RECOGNIZED CLAIM
5001068	DID NOT RESULT IN A RECOGNIZED CLAIM
5001069	DID NOT FIT THE DEFINITION OF THE CLASS
5001070	DID NOT RESULT IN A RECOGNIZED CLAIM
5001071	DID NOT RESULT IN A RECOGNIZED CLAIM
5001072	DID NOT RESULT IN A RECOGNIZED CLAIM
5001073	DID NOT RESULT IN A RECOGNIZED CLAIM
5001074	DID NOT RESULT IN A RECOGNIZED CLAIM
5001075	DID NOT RESULT IN A RECOGNIZED CLAIM
5001076	DID NOT RESULT IN A RECOGNIZED CLAIM
5001077	DID NOT RESULT IN A RECOGNIZED CLAIM
5001078	DID NOT RESULT IN A RECOGNIZED CLAIM
5001079	DID NOT RESULT IN A RECOGNIZED CLAIM
5001080	DID NOT RESULT IN A RECOGNIZED CLAIM
5001081	DID NOT FIT THE DEFINITION OF THE CLASS
5001082	DID NOT RESULT IN A RECOGNIZED CLAIM
5001083	DID NOT RESULT IN A RECOGNIZED CLAIM
5001086	DEFICIENT CLAIM NEVER CURED
5001087	DEFICIENT CLAIM NEVER CURED
5001089	DID NOT FIT THE DEFINITION OF THE CLASS
5001092	DID NOT FIT THE DEFINITION OF THE CLASS
5001093	DID NOT FIT THE DEFINITION OF THE CLASS
5001094	DID NOT FIT THE DEFINITION OF THE CLASS
5001095	DID NOT FIT THE DEFINITION OF THE CLASS
5001096	DID NOT FIT THE DEFINITION OF THE CLASS
5001097	DID NOT FIT THE DEFINITION OF THE CLASS
5001098	DID NOT RESULT IN A RECOGNIZED CLAIM
5001099	DEFICIENT CLAIM NEVER CURED
5001100	DID NOT RESULT IN A RECOGNIZED CLAIM
5001101	DEFICIENT CLAIM NEVER CURED
5001102	DID NOT RESULT IN A RECOGNIZED CLAIM
5001103	DID NOT RESULT IN A RECOGNIZED CLAIM

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Claim #	Reason Deemed Ineligible
5001105	DID NOT RESULT IN A RECOGNIZED CLAIM
5001108	DID NOT FIT THE DEFINITION OF THE CLASS
5001111	DEFICIENT CLAIM NEVER CURED
5001112	DID NOT FIT THE DEFINITION OF THE CLASS
5001113	DID NOT RESULT IN A RECOGNIZED CLAIM
5001114	DID NOT RESULT IN A RECOGNIZED CLAIM
5001115	DID NOT RESULT IN A RECOGNIZED CLAIM
5001116	DID NOT FIT THE DEFINITION OF THE CLASS
5001117	DID NOT FIT THE DEFINITION OF THE CLASS
5001118	DID NOT FIT THE DEFINITION OF THE CLASS
5001119	DID NOT FIT THE DEFINITION OF THE CLASS
5001121	DID NOT FIT THE DEFINITION OF THE CLASS
5001122	DID NOT RESULT IN A RECOGNIZED CLAIM
5001123	DID NOT RESULT IN A RECOGNIZED CLAIM
5001124	DID NOT RESULT IN A RECOGNIZED CLAIM
5001125	DID NOT RESULT IN A RECOGNIZED CLAIM
5001126	DEFICIENT CLAIM NEVER CURED
5001127	DID NOT RESULT IN A RECOGNIZED CLAIM
5001129	DID NOT RESULT IN A RECOGNIZED CLAIM
5001130	DID NOT RESULT IN A RECOGNIZED CLAIM
5001131	DID NOT RESULT IN A RECOGNIZED CLAIM
5001133	DID NOT RESULT IN A RECOGNIZED CLAIM
5001134	DID NOT RESULT IN A RECOGNIZED CLAIM
5001135	DID NOT RESULT IN A RECOGNIZED CLAIM
5001136	DID NOT RESULT IN A RECOGNIZED CLAIM
5001137	DID NOT RESULT IN A RECOGNIZED CLAIM
5001138	DID NOT RESULT IN A RECOGNIZED CLAIM
5001139	DID NOT FIT THE DEFINITION OF THE CLASS
5001140	DID NOT RESULT IN A RECOGNIZED CLAIM
5001144	DID NOT FIT THE DEFINITION OF THE CLASS
5001145	DID NOT RESULT IN A RECOGNIZED CLAIM
5001146	DID NOT FIT THE DEFINITION OF THE CLASS
5001147	DID NOT FIT THE DEFINITION OF THE CLASS
5001148	DID NOT RESULT IN A RECOGNIZED CLAIM
5001149	DID NOT RESULT IN A RECOGNIZED CLAIM
5001150	DID NOT RESULT IN A RECOGNIZED CLAIM
5001151	DID NOT FIT THE DEFINITION OF THE CLASS
5001152	DID NOT RESULT IN A RECOGNIZED CLAIM
5001153	DID NOT FIT THE DEFINITION OF THE CLASS
5001154	DID NOT RESULT IN A RECOGNIZED CLAIM
5001155	DID NOT FIT THE DEFINITION OF THE CLASS
5001156	DID NOT FIT THE DEFINITION OF THE CLASS
5001157	DID NOT RESULT IN A RECOGNIZED CLAIM
5001158	DID NOT FIT THE DEFINITION OF THE CLASS
5001159	DID NOT FIT THE DEFINITION OF THE CLASS
5001160	DID NOT FIT THE DEFINITION OF THE CLASS
5001161	DID NOT RESULT IN A RECOGNIZED CLAIM
5001163	DID NOT RESULT IN A RECOGNIZED CLAIM
5001164	DID NOT FIT THE DEFINITION OF THE CLASS
5001165	DID NOT RESULT IN A RECOGNIZED CLAIM
5001166	DID NOT FIT THE DEFINITION OF THE CLASS

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001167	DID NOT FIT THE DEFINITION OF THE CLASS
5001168	DID NOT FIT THE DEFINITION OF THE CLASS
5001170	DID NOT FIT THE DEFINITION OF THE CLASS
5001171	DID NOT FIT THE DEFINITION OF THE CLASS
5001172	DID NOT FIT THE DEFINITION OF THE CLASS
5001174	DID NOT FIT THE DEFINITION OF THE CLASS
5001175	DID NOT RESULT IN A RECOGNIZED CLAIM
5001176	DID NOT FIT THE DEFINITION OF THE CLASS
5001177	DID NOT FIT THE DEFINITION OF THE CLASS
5001178	DID NOT FIT THE DEFINITION OF THE CLASS
5001179	DID NOT FIT THE DEFINITION OF THE CLASS
5001180	DID NOT RESULT IN A RECOGNIZED CLAIM
5001181	DID NOT RESULT IN A RECOGNIZED CLAIM
5001182	DID NOT FIT THE DEFINITION OF THE CLASS
5001183	DID NOT RESULT IN A RECOGNIZED CLAIM
5001184	DID NOT RESULT IN A RECOGNIZED CLAIM
5001185	DID NOT RESULT IN A RECOGNIZED CLAIM
5001186	DID NOT RESULT IN A RECOGNIZED CLAIM
5001187	DID NOT RESULT IN A RECOGNIZED CLAIM
5001188	DID NOT FIT THE DEFINITION OF THE CLASS
5001189	DID NOT RESULT IN A RECOGNIZED CLAIM
5001190	DID NOT FIT THE DEFINITION OF THE CLASS
5001191	DEFICIENT CLAIM NEVER CURED
5001192	DID NOT RESULT IN A RECOGNIZED CLAIM
5001193	DID NOT RESULT IN A RECOGNIZED CLAIM
5001194	DID NOT FIT THE DEFINITION OF THE CLASS
5001195	DEFICIENT CLAIM NEVER CURED
5001196	DID NOT FIT THE DEFINITION OF THE CLASS
5001197	DEFICIENT CLAIM NEVER CURED
5001198	DID NOT FIT THE DEFINITION OF THE CLASS
5001199	DEFICIENT CLAIM NEVER CURED
5001200	DID NOT RESULT IN A RECOGNIZED CLAIM
5001201	DID NOT RESULT IN A RECOGNIZED CLAIM
5001202	DID NOT FIT THE DEFINITION OF THE CLASS
5001203	DEFICIENT CLAIM NEVER CURED
5001204	DID NOT RESULT IN A RECOGNIZED CLAIM
5001205	DID NOT FIT THE DEFINITION OF THE CLASS
5001206	DEFICIENT CLAIM NEVER CURED
5001207	DID NOT FIT THE DEFINITION OF THE CLASS
5001208	DEFICIENT CLAIM NEVER CURED
5001209	DID NOT FIT THE DEFINITION OF THE CLASS
5001210	DEFICIENT CLAIM NEVER CURED
5001211	DID NOT RESULT IN A RECOGNIZED CLAIM
5001212	DID NOT RESULT IN A RECOGNIZED CLAIM
5001213	DID NOT RESULT IN A RECOGNIZED CLAIM
5001214	DID NOT RESULT IN A RECOGNIZED CLAIM
5001215	DID NOT RESULT IN A RECOGNIZED CLAIM
5001216	DID NOT FIT THE DEFINITION OF THE CLASS
5001217	DID NOT RESULT IN A RECOGNIZED CLAIM
5001218	DID NOT RESULT IN A RECOGNIZED CLAIM
5001219	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001220	DID NOT RESULT IN A RECOGNIZED CLAIM
5001221	DID NOT RESULT IN A RECOGNIZED CLAIM
5001222	DID NOT RESULT IN A RECOGNIZED CLAIM
5001223	DID NOT FIT THE DEFINITION OF THE CLASS
5001224	DEFICIENT CLAIM NEVER CURED
5001225	DID NOT RESULT IN A RECOGNIZED CLAIM
5001226	DID NOT FIT THE DEFINITION OF THE CLASS
5001227	DID NOT FIT THE DEFINITION OF THE CLASS
5001228	DID NOT RESULT IN A RECOGNIZED CLAIM
5001229	DID NOT RESULT IN A RECOGNIZED CLAIM
5001230	DID NOT FIT THE DEFINITION OF THE CLASS
5001231	DEFICIENT CLAIM NEVER CURED
5001232	DID NOT FIT THE DEFINITION OF THE CLASS
5001233	DEFICIENT CLAIM NEVER CURED
5001234	DID NOT FIT THE DEFINITION OF THE CLASS
5001235	DEFICIENT CLAIM NEVER CURED
5001236	DID NOT RESULT IN A RECOGNIZED CLAIM
5001237	DID NOT RESULT IN A RECOGNIZED CLAIM
5001238	DEFICIENT CLAIM NEVER CURED
5001239	DID NOT RESULT IN A RECOGNIZED CLAIM
5001240	DID NOT FIT THE DEFINITION OF THE CLASS
5001241	DID NOT FIT THE DEFINITION OF THE CLASS
5001242	DEFICIENT CLAIM NEVER CURED
5001243	DID NOT FIT THE DEFINITION OF THE CLASS
5001244	DEFICIENT CLAIM NEVER CURED
5001245	DID NOT FIT THE DEFINITION OF THE CLASS
5001246	DEFICIENT CLAIM NEVER CURED
5001247	DID NOT FIT THE DEFINITION OF THE CLASS
5001248	DEFICIENT CLAIM NEVER CURED
5001249	DID NOT RESULT IN A RECOGNIZED CLAIM
5001250	DID NOT RESULT IN A RECOGNIZED CLAIM
5001251	DEFICIENT CLAIM NEVER CURED
5001252	DID NOT RESULT IN A RECOGNIZED CLAIM
5001253	DEFICIENT CLAIM NEVER CURED
5001254	DID NOT FIT THE DEFINITION OF THE CLASS
5001255	DEFICIENT CLAIM NEVER CURED
5001256	DID NOT RESULT IN A RECOGNIZED CLAIM
5001257	DID NOT FIT THE DEFINITION OF THE CLASS
5001258	DEFICIENT CLAIM NEVER CURED
5001259	DID NOT FIT THE DEFINITION OF THE CLASS
5001260	DEFICIENT CLAIM NEVER CURED
5001261	DID NOT FIT THE DEFINITION OF THE CLASS
5001262	DEFICIENT CLAIM NEVER CURED
5001263	DID NOT RESULT IN A RECOGNIZED CLAIM
5001264	DID NOT FIT THE DEFINITION OF THE CLASS
5001265	DEFICIENT CLAIM NEVER CURED
5001266	DID NOT RESULT IN A RECOGNIZED CLAIM
5001267	DID NOT FIT THE DEFINITION OF THE CLASS
5001268	DEFICIENT CLAIM NEVER CURED
5001269	DID NOT FIT THE DEFINITION OF THE CLASS
5001270	DEFICIENT CLAIM NEVER CURED

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001271	DID NOT RESULT IN A RECOGNIZED CLAIM
5001272	DID NOT RESULT IN A RECOGNIZED CLAIM
5001273	DID NOT RESULT IN A RECOGNIZED CLAIM
5001274	DID NOT RESULT IN A RECOGNIZED CLAIM
5001275	DID NOT RESULT IN A RECOGNIZED CLAIM
5001276	DID NOT RESULT IN A RECOGNIZED CLAIM
5001277	DID NOT RESULT IN A RECOGNIZED CLAIM
5001278	DID NOT RESULT IN A RECOGNIZED CLAIM
5001279	DID NOT RESULT IN A RECOGNIZED CLAIM
5001280	DID NOT RESULT IN A RECOGNIZED CLAIM
5001281	DID NOT RESULT IN A RECOGNIZED CLAIM
5001283	DID NOT RESULT IN A RECOGNIZED CLAIM
5001284	DID NOT RESULT IN A RECOGNIZED CLAIM
5001285	DID NOT RESULT IN A RECOGNIZED CLAIM
5001286	DID NOT RESULT IN A RECOGNIZED CLAIM
5001287	DID NOT RESULT IN A RECOGNIZED CLAIM
5001288	DID NOT RESULT IN A RECOGNIZED CLAIM
5001289	DID NOT RESULT IN A RECOGNIZED CLAIM
5001290	DID NOT RESULT IN A RECOGNIZED CLAIM
5001292	DID NOT RESULT IN A RECOGNIZED CLAIM
5001293	DID NOT RESULT IN A RECOGNIZED CLAIM
5001294	DID NOT FIT THE DEFINITION OF THE CLASS
5001295	DID NOT RESULT IN A RECOGNIZED CLAIM
5001296	DID NOT RESULT IN A RECOGNIZED CLAIM
5001297	DID NOT RESULT IN A RECOGNIZED CLAIM
5001298	DID NOT RESULT IN A RECOGNIZED CLAIM
5001299	DID NOT RESULT IN A RECOGNIZED CLAIM
5001300	DID NOT RESULT IN A RECOGNIZED CLAIM
5001301	DID NOT RESULT IN A RECOGNIZED CLAIM
5001302	DID NOT RESULT IN A RECOGNIZED CLAIM
5001303	DID NOT RESULT IN A RECOGNIZED CLAIM
5001304	DID NOT RESULT IN A RECOGNIZED CLAIM
5001305	DID NOT RESULT IN A RECOGNIZED CLAIM
5001306	DID NOT RESULT IN A RECOGNIZED CLAIM
5001307	DID NOT RESULT IN A RECOGNIZED CLAIM
5001309	DID NOT RESULT IN A RECOGNIZED CLAIM
5001310	DID NOT RESULT IN A RECOGNIZED CLAIM
5001311	DID NOT RESULT IN A RECOGNIZED CLAIM
5001312	DID NOT RESULT IN A RECOGNIZED CLAIM
5001313	DID NOT RESULT IN A RECOGNIZED CLAIM
5001314	DID NOT RESULT IN A RECOGNIZED CLAIM
5001315	DID NOT RESULT IN A RECOGNIZED CLAIM
5001316	DID NOT RESULT IN A RECOGNIZED CLAIM
5001318	DID NOT RESULT IN A RECOGNIZED CLAIM
5001319	DID NOT RESULT IN A RECOGNIZED CLAIM
5001320	DID NOT RESULT IN A RECOGNIZED CLAIM
5001321	DID NOT RESULT IN A RECOGNIZED CLAIM
5001322	DID NOT RESULT IN A RECOGNIZED CLAIM
5001323	DID NOT RESULT IN A RECOGNIZED CLAIM
5001324	DID NOT RESULT IN A RECOGNIZED CLAIM
5001325	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001326	DID NOT FIT THE DEFINITION OF THE CLASS
5001327	DID NOT RESULT IN A RECOGNIZED CLAIM
5001328	DID NOT RESULT IN A RECOGNIZED CLAIM
5001329	DID NOT RESULT IN A RECOGNIZED CLAIM
5001330	DID NOT RESULT IN A RECOGNIZED CLAIM
5001332	DID NOT RESULT IN A RECOGNIZED CLAIM
5001333	DID NOT RESULT IN A RECOGNIZED CLAIM
5001334	DID NOT RESULT IN A RECOGNIZED CLAIM
5001335	DID NOT RESULT IN A RECOGNIZED CLAIM
5001336	DID NOT RESULT IN A RECOGNIZED CLAIM
5001337	DID NOT RESULT IN A RECOGNIZED CLAIM
5001338	DID NOT RESULT IN A RECOGNIZED CLAIM
5001339	DID NOT RESULT IN A RECOGNIZED CLAIM
5001340	DID NOT RESULT IN A RECOGNIZED CLAIM
5001341	DID NOT RESULT IN A RECOGNIZED CLAIM
5001342	DID NOT RESULT IN A RECOGNIZED CLAIM
5001343	DID NOT RESULT IN A RECOGNIZED CLAIM
5001344	DID NOT RESULT IN A RECOGNIZED CLAIM
5001345	DID NOT RESULT IN A RECOGNIZED CLAIM
5001346	DID NOT RESULT IN A RECOGNIZED CLAIM
5001347	DID NOT RESULT IN A RECOGNIZED CLAIM
5001348	DID NOT RESULT IN A RECOGNIZED CLAIM
5001349	DID NOT RESULT IN A RECOGNIZED CLAIM
5001350	DID NOT RESULT IN A RECOGNIZED CLAIM
5001352	DID NOT RESULT IN A RECOGNIZED CLAIM
5001353	DID NOT RESULT IN A RECOGNIZED CLAIM
5001354	DID NOT RESULT IN A RECOGNIZED CLAIM
5001355	DID NOT RESULT IN A RECOGNIZED CLAIM
5001356	DID NOT RESULT IN A RECOGNIZED CLAIM
5001357	DID NOT RESULT IN A RECOGNIZED CLAIM
5001358	DID NOT RESULT IN A RECOGNIZED CLAIM
5001359	DID NOT RESULT IN A RECOGNIZED CLAIM
5001360	DID NOT RESULT IN A RECOGNIZED CLAIM
5001362	DID NOT RESULT IN A RECOGNIZED CLAIM
5001363	DID NOT RESULT IN A RECOGNIZED CLAIM
5001364	DID NOT RESULT IN A RECOGNIZED CLAIM
5001365	DID NOT RESULT IN A RECOGNIZED CLAIM
5001366	DID NOT RESULT IN A RECOGNIZED CLAIM
5001367	DID NOT RESULT IN A RECOGNIZED CLAIM
5001368	DID NOT RESULT IN A RECOGNIZED CLAIM
5001369	DID NOT RESULT IN A RECOGNIZED CLAIM
5001370	DID NOT RESULT IN A RECOGNIZED CLAIM
5001371	DID NOT RESULT IN A RECOGNIZED CLAIM
5001372	DID NOT RESULT IN A RECOGNIZED CLAIM
5001373	DID NOT RESULT IN A RECOGNIZED CLAIM
5001374	DID NOT RESULT IN A RECOGNIZED CLAIM
5001375	DID NOT RESULT IN A RECOGNIZED CLAIM
5001376	DID NOT RESULT IN A RECOGNIZED CLAIM
5001377	DID NOT RESULT IN A RECOGNIZED CLAIM
5001378	DID NOT RESULT IN A RECOGNIZED CLAIM
5001380	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001381	DID NOT RESULT IN A RECOGNIZED CLAIM
5001382	DID NOT RESULT IN A RECOGNIZED CLAIM
5001383	DID NOT RESULT IN A RECOGNIZED CLAIM
5001384	DID NOT RESULT IN A RECOGNIZED CLAIM
5001386	DID NOT RESULT IN A RECOGNIZED CLAIM
5001387	DID NOT RESULT IN A RECOGNIZED CLAIM
5001388	DID NOT RESULT IN A RECOGNIZED CLAIM
5001389	DID NOT RESULT IN A RECOGNIZED CLAIM
5001390	DID NOT RESULT IN A RECOGNIZED CLAIM
5001392	DID NOT RESULT IN A RECOGNIZED CLAIM
5001393	DID NOT RESULT IN A RECOGNIZED CLAIM
5001394	DID NOT RESULT IN A RECOGNIZED CLAIM
5001395	DID NOT RESULT IN A RECOGNIZED CLAIM
5001396	DID NOT RESULT IN A RECOGNIZED CLAIM
5001397	DID NOT RESULT IN A RECOGNIZED CLAIM
5001398	DID NOT RESULT IN A RECOGNIZED CLAIM
5001399	DID NOT RESULT IN A RECOGNIZED CLAIM
5001400	DID NOT RESULT IN A RECOGNIZED CLAIM
5001401	DID NOT RESULT IN A RECOGNIZED CLAIM
5001402	DID NOT RESULT IN A RECOGNIZED CLAIM
5001403	DID NOT RESULT IN A RECOGNIZED CLAIM
5001405	DID NOT RESULT IN A RECOGNIZED CLAIM
5001406	DID NOT RESULT IN A RECOGNIZED CLAIM
5001407	DID NOT RESULT IN A RECOGNIZED CLAIM
5001408	DID NOT RESULT IN A RECOGNIZED CLAIM
5001409	DID NOT RESULT IN A RECOGNIZED CLAIM
5001410	DID NOT RESULT IN A RECOGNIZED CLAIM
5001411	DID NOT RESULT IN A RECOGNIZED CLAIM
5001412	DID NOT RESULT IN A RECOGNIZED CLAIM
5001413	DID NOT RESULT IN A RECOGNIZED CLAIM
5001415	DID NOT RESULT IN A RECOGNIZED CLAIM
5001416	DID NOT RESULT IN A RECOGNIZED CLAIM
5001417	DID NOT RESULT IN A RECOGNIZED CLAIM
5001418	DID NOT RESULT IN A RECOGNIZED CLAIM
5001419	DID NOT RESULT IN A RECOGNIZED CLAIM
5001420	DID NOT RESULT IN A RECOGNIZED CLAIM
5001421	DID NOT FIT THE DEFINITION OF THE CLASS
5001422	DID NOT RESULT IN A RECOGNIZED CLAIM
5001423	DID NOT RESULT IN A RECOGNIZED CLAIM
5001424	DID NOT RESULT IN A RECOGNIZED CLAIM
5001425	DID NOT RESULT IN A RECOGNIZED CLAIM
5001426	DID NOT RESULT IN A RECOGNIZED CLAIM
5001427	DID NOT RESULT IN A RECOGNIZED CLAIM
5001428	DID NOT RESULT IN A RECOGNIZED CLAIM
5001429	DID NOT RESULT IN A RECOGNIZED CLAIM
5001430	DID NOT RESULT IN A RECOGNIZED CLAIM
5001431	DID NOT RESULT IN A RECOGNIZED CLAIM
5001432	DID NOT RESULT IN A RECOGNIZED CLAIM
5001433	DID NOT RESULT IN A RECOGNIZED CLAIM
5001434	DID NOT RESULT IN A RECOGNIZED CLAIM
5001435	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001436	DID NOT RESULT IN A RECOGNIZED CLAIM
5001437	DID NOT RESULT IN A RECOGNIZED CLAIM
5001438	DID NOT RESULT IN A RECOGNIZED CLAIM
5001439	DID NOT RESULT IN A RECOGNIZED CLAIM
5001440	DID NOT RESULT IN A RECOGNIZED CLAIM
5001441	DID NOT FIT THE DEFINITION OF THE CLASS
5001442	DID NOT RESULT IN A RECOGNIZED CLAIM
5001443	DID NOT FIT THE DEFINITION OF THE CLASS
5001444	DID NOT RESULT IN A RECOGNIZED CLAIM
5001445	DID NOT RESULT IN A RECOGNIZED CLAIM
5001446	DID NOT RESULT IN A RECOGNIZED CLAIM
5001447	DID NOT RESULT IN A RECOGNIZED CLAIM
5001448	DID NOT RESULT IN A RECOGNIZED CLAIM
5001449	DID NOT FIT THE DEFINITION OF THE CLASS
5001451	DID NOT RESULT IN A RECOGNIZED CLAIM
5001452	DID NOT RESULT IN A RECOGNIZED CLAIM
5001453	DID NOT RESULT IN A RECOGNIZED CLAIM
5001454	DID NOT RESULT IN A RECOGNIZED CLAIM
5001455	DID NOT RESULT IN A RECOGNIZED CLAIM
5001456	DID NOT RESULT IN A RECOGNIZED CLAIM
5001457	DID NOT RESULT IN A RECOGNIZED CLAIM
5001458	DID NOT RESULT IN A RECOGNIZED CLAIM
5001459	DID NOT RESULT IN A RECOGNIZED CLAIM
5001460	DID NOT RESULT IN A RECOGNIZED CLAIM
5001461	DID NOT RESULT IN A RECOGNIZED CLAIM
5001462	DID NOT RESULT IN A RECOGNIZED CLAIM
5001463	DID NOT RESULT IN A RECOGNIZED CLAIM
5001464	DID NOT RESULT IN A RECOGNIZED CLAIM
5001465	DID NOT FIT THE DEFINITION OF THE CLASS
5001466	DID NOT RESULT IN A RECOGNIZED CLAIM
5001467	DID NOT RESULT IN A RECOGNIZED CLAIM
5001468	DID NOT RESULT IN A RECOGNIZED CLAIM
5001469	DID NOT RESULT IN A RECOGNIZED CLAIM
5001471	DID NOT RESULT IN A RECOGNIZED CLAIM
5001472	DID NOT RESULT IN A RECOGNIZED CLAIM
5001473	DID NOT RESULT IN A RECOGNIZED CLAIM
5001474	DID NOT RESULT IN A RECOGNIZED CLAIM
5001475	DID NOT RESULT IN A RECOGNIZED CLAIM
5001476	DID NOT RESULT IN A RECOGNIZED CLAIM
5001477	DID NOT RESULT IN A RECOGNIZED CLAIM
5001478	DID NOT RESULT IN A RECOGNIZED CLAIM
5001479	DID NOT RESULT IN A RECOGNIZED CLAIM
5001480	DID NOT RESULT IN A RECOGNIZED CLAIM
5001481	DID NOT RESULT IN A RECOGNIZED CLAIM
5001482	DID NOT RESULT IN A RECOGNIZED CLAIM
5001483	DID NOT RESULT IN A RECOGNIZED CLAIM
5001484	DID NOT RESULT IN A RECOGNIZED CLAIM
5001485	DID NOT RESULT IN A RECOGNIZED CLAIM
5001486	DID NOT FIT THE DEFINITION OF THE CLASS
5001487	DID NOT RESULT IN A RECOGNIZED CLAIM
5001488	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001489	DID NOT RESULT IN A RECOGNIZED CLAIM
5001490	DID NOT RESULT IN A RECOGNIZED CLAIM
5001491	DID NOT RESULT IN A RECOGNIZED CLAIM
5001492	DID NOT RESULT IN A RECOGNIZED CLAIM
5001493	DID NOT RESULT IN A RECOGNIZED CLAIM
5001494	DID NOT RESULT IN A RECOGNIZED CLAIM
5001495	DID NOT RESULT IN A RECOGNIZED CLAIM
5001496	DID NOT RESULT IN A RECOGNIZED CLAIM
5001497	DID NOT RESULT IN A RECOGNIZED CLAIM
5001498	DID NOT RESULT IN A RECOGNIZED CLAIM
5001499	DID NOT RESULT IN A RECOGNIZED CLAIM
5001500	DID NOT RESULT IN A RECOGNIZED CLAIM
5001501	DID NOT RESULT IN A RECOGNIZED CLAIM
5001502	DID NOT RESULT IN A RECOGNIZED CLAIM
5001503	DID NOT RESULT IN A RECOGNIZED CLAIM
5001504	DID NOT RESULT IN A RECOGNIZED CLAIM
5001505	DID NOT RESULT IN A RECOGNIZED CLAIM
5001506	DID NOT RESULT IN A RECOGNIZED CLAIM
5001507	DID NOT RESULT IN A RECOGNIZED CLAIM
5001508	DID NOT RESULT IN A RECOGNIZED CLAIM
5001509	DID NOT RESULT IN A RECOGNIZED CLAIM
5001511	DID NOT RESULT IN A RECOGNIZED CLAIM
5001513	DID NOT RESULT IN A RECOGNIZED CLAIM
5001514	DID NOT RESULT IN A RECOGNIZED CLAIM
5001515	DID NOT RESULT IN A RECOGNIZED CLAIM
5001516	DID NOT RESULT IN A RECOGNIZED CLAIM
5001517	DID NOT RESULT IN A RECOGNIZED CLAIM
5001518	DID NOT RESULT IN A RECOGNIZED CLAIM
5001519	DID NOT RESULT IN A RECOGNIZED CLAIM
5001520	DID NOT RESULT IN A RECOGNIZED CLAIM
5001521	DID NOT RESULT IN A RECOGNIZED CLAIM
5001522	DID NOT RESULT IN A RECOGNIZED CLAIM
5001523	DID NOT RESULT IN A RECOGNIZED CLAIM
5001524	DID NOT RESULT IN A RECOGNIZED CLAIM
5001525	DID NOT RESULT IN A RECOGNIZED CLAIM
5001526	DID NOT RESULT IN A RECOGNIZED CLAIM
5001527	DID NOT RESULT IN A RECOGNIZED CLAIM
5001528	DID NOT RESULT IN A RECOGNIZED CLAIM
5001529	DID NOT RESULT IN A RECOGNIZED CLAIM
5001530	DID NOT RESULT IN A RECOGNIZED CLAIM
5001531	DID NOT RESULT IN A RECOGNIZED CLAIM
5001532	DID NOT RESULT IN A RECOGNIZED CLAIM
5001533	DID NOT RESULT IN A RECOGNIZED CLAIM
5001534	DID NOT RESULT IN A RECOGNIZED CLAIM
5001535	DID NOT RESULT IN A RECOGNIZED CLAIM
5001536	DID NOT RESULT IN A RECOGNIZED CLAIM
5001537	DID NOT RESULT IN A RECOGNIZED CLAIM
5001539	DID NOT RESULT IN A RECOGNIZED CLAIM
5001540	DID NOT RESULT IN A RECOGNIZED CLAIM
5001541	DID NOT FIT THE DEFINITION OF THE CLASS
5001542	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001543	DID NOT RESULT IN A RECOGNIZED CLAIM
5001544	DID NOT RESULT IN A RECOGNIZED CLAIM
5001545	DID NOT RESULT IN A RECOGNIZED CLAIM
5001546	DID NOT RESULT IN A RECOGNIZED CLAIM
5001547	DID NOT RESULT IN A RECOGNIZED CLAIM
5001548	DID NOT RESULT IN A RECOGNIZED CLAIM
5001549	DID NOT RESULT IN A RECOGNIZED CLAIM
5001550	DID NOT RESULT IN A RECOGNIZED CLAIM
5001551	DID NOT RESULT IN A RECOGNIZED CLAIM
5001552	DID NOT RESULT IN A RECOGNIZED CLAIM
5001553	DID NOT RESULT IN A RECOGNIZED CLAIM
5001554	DID NOT RESULT IN A RECOGNIZED CLAIM
5001555	DID NOT RESULT IN A RECOGNIZED CLAIM
5001556	DID NOT RESULT IN A RECOGNIZED CLAIM
5001557	DID NOT RESULT IN A RECOGNIZED CLAIM
5001558	DID NOT RESULT IN A RECOGNIZED CLAIM
5001559	DID NOT RESULT IN A RECOGNIZED CLAIM
5001560	DID NOT RESULT IN A RECOGNIZED CLAIM
5001561	DID NOT RESULT IN A RECOGNIZED CLAIM
5001562	DID NOT RESULT IN A RECOGNIZED CLAIM
5001563	DID NOT RESULT IN A RECOGNIZED CLAIM
5001564	DID NOT RESULT IN A RECOGNIZED CLAIM
5001565	DID NOT RESULT IN A RECOGNIZED CLAIM
5001566	DID NOT RESULT IN A RECOGNIZED CLAIM
5001567	DID NOT FIT THE DEFINITION OF THE CLASS
5001568	DID NOT RESULT IN A RECOGNIZED CLAIM
5001569	DID NOT FIT THE DEFINITION OF THE CLASS
5001570	DID NOT FIT THE DEFINITION OF THE CLASS
5001572	DID NOT RESULT IN A RECOGNIZED CLAIM
5001573	DID NOT RESULT IN A RECOGNIZED CLAIM
5001574	DID NOT RESULT IN A RECOGNIZED CLAIM
5001575	DID NOT RESULT IN A RECOGNIZED CLAIM
5001576	DID NOT RESULT IN A RECOGNIZED CLAIM
5001578	DID NOT RESULT IN A RECOGNIZED CLAIM
5001579	DID NOT RESULT IN A RECOGNIZED CLAIM
5001580	DID NOT RESULT IN A RECOGNIZED CLAIM
5001581	DID NOT RESULT IN A RECOGNIZED CLAIM
5001582	DID NOT RESULT IN A RECOGNIZED CLAIM
5001583	DID NOT FIT THE DEFINITION OF THE CLASS
5001584	DID NOT RESULT IN A RECOGNIZED CLAIM
5001585	DID NOT RESULT IN A RECOGNIZED CLAIM
5001586	DID NOT RESULT IN A RECOGNIZED CLAIM
5001587	DID NOT RESULT IN A RECOGNIZED CLAIM
5001588	DID NOT RESULT IN A RECOGNIZED CLAIM
5001589	DID NOT RESULT IN A RECOGNIZED CLAIM
5001590	DID NOT RESULT IN A RECOGNIZED CLAIM
5001591	DID NOT RESULT IN A RECOGNIZED CLAIM
5001592	DID NOT RESULT IN A RECOGNIZED CLAIM
5001593	DID NOT RESULT IN A RECOGNIZED CLAIM
5001594	DID NOT RESULT IN A RECOGNIZED CLAIM
5001595	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001596	DID NOT RESULT IN A RECOGNIZED CLAIM
5001597	DID NOT RESULT IN A RECOGNIZED CLAIM
5001598	DID NOT RESULT IN A RECOGNIZED CLAIM
5001599	DEFICIENT CLAIM NEVER CURED
5001600	DID NOT RESULT IN A RECOGNIZED CLAIM
5001601	DID NOT RESULT IN A RECOGNIZED CLAIM
5001602	DID NOT RESULT IN A RECOGNIZED CLAIM
5001604	DID NOT RESULT IN A RECOGNIZED CLAIM
5001605	DEFICIENT CLAIM NEVER CURED
5001606	DID NOT RESULT IN A RECOGNIZED CLAIM
5001607	DEFICIENT CLAIM NEVER CURED
5001608	DID NOT RESULT IN A RECOGNIZED CLAIM
5001609	DID NOT RESULT IN A RECOGNIZED CLAIM
5001610	DID NOT RESULT IN A RECOGNIZED CLAIM
5001611	DID NOT RESULT IN A RECOGNIZED CLAIM
5001612	DID NOT RESULT IN A RECOGNIZED CLAIM
5001614	DID NOT FIT THE DEFINITION OF THE CLASS
5001615	DID NOT RESULT IN A RECOGNIZED CLAIM
5001616	DID NOT RESULT IN A RECOGNIZED CLAIM
5001617	DID NOT RESULT IN A RECOGNIZED CLAIM
5001619	DID NOT RESULT IN A RECOGNIZED CLAIM
5001620	DID NOT RESULT IN A RECOGNIZED CLAIM
5001621	DUPLICATE CLAIM
5001622	DID NOT RESULT IN A RECOGNIZED CLAIM
5001623	DID NOT RESULT IN A RECOGNIZED CLAIM
5001624	DID NOT RESULT IN A RECOGNIZED CLAIM
5001625	DID NOT RESULT IN A RECOGNIZED CLAIM
5001626	DID NOT RESULT IN A RECOGNIZED CLAIM
5001628	DID NOT RESULT IN A RECOGNIZED CLAIM
5001629	DID NOT RESULT IN A RECOGNIZED CLAIM
5001630	DID NOT FIT THE DEFINITION OF THE CLASS
5001632	DEFICIENT CLAIM NEVER CURED
5001633	DEFICIENT CLAIM NEVER CURED
5001634	DEFICIENT CLAIM NEVER CURED
5001635	DID NOT FIT THE DEFINITION OF THE CLASS
5001636	DEFICIENT CLAIM NEVER CURED
5001637	DEFICIENT CLAIM NEVER CURED
5001638	DID NOT FIT THE DEFINITION OF THE CLASS
5001639	DID NOT RESULT IN A RECOGNIZED CLAIM
5001641	DID NOT RESULT IN A RECOGNIZED CLAIM
5001645	DID NOT FIT THE DEFINITION OF THE CLASS
5001648	DID NOT FIT THE DEFINITION OF THE CLASS
5001649	DID NOT FIT THE DEFINITION OF THE CLASS
5001651	DID NOT RESULT IN A RECOGNIZED CLAIM
5001653	DID NOT RESULT IN A RECOGNIZED CLAIM
5001655	DID NOT RESULT IN A RECOGNIZED CLAIM
5001657	DID NOT RESULT IN A RECOGNIZED CLAIM
5001658	DID NOT RESULT IN A RECOGNIZED CLAIM
5001659	DID NOT FIT THE DEFINITION OF THE CLASS
5001660	DID NOT FIT THE DEFINITION OF THE CLASS
5001661	DID NOT FIT THE DEFINITION OF THE CLASS

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001664	DID NOT RESULT IN A RECOGNIZED CLAIM
5001666	DID NOT RESULT IN A RECOGNIZED CLAIM
5001667	DID NOT RESULT IN A RECOGNIZED CLAIM
5001668	DID NOT FIT THE DEFINITION OF THE CLASS
5001669	DEFICIENT CLAIM NEVER CURED
5001671	DID NOT RESULT IN A RECOGNIZED CLAIM
5001672	DID NOT FIT THE DEFINITION OF THE CLASS
5001673	DID NOT RESULT IN A RECOGNIZED CLAIM
5001675	DID NOT RESULT IN A RECOGNIZED CLAIM
5001676	DID NOT RESULT IN A RECOGNIZED CLAIM
5001677	DID NOT FIT THE DEFINITION OF THE CLASS
5001678	DID NOT RESULT IN A RECOGNIZED CLAIM
5001679	DID NOT RESULT IN A RECOGNIZED CLAIM
5001680	DID NOT FIT THE DEFINITION OF THE CLASS
5001682	DID NOT RESULT IN A RECOGNIZED CLAIM
5001683	DID NOT RESULT IN A RECOGNIZED CLAIM
5001684	DID NOT RESULT IN A RECOGNIZED CLAIM
5001685	DID NOT FIT THE DEFINITION OF THE CLASS
5001686	DID NOT RESULT IN A RECOGNIZED CLAIM
5001687	DID NOT RESULT IN A RECOGNIZED CLAIM
5001688	DID NOT RESULT IN A RECOGNIZED CLAIM
5001691	DID NOT RESULT IN A RECOGNIZED CLAIM
5001692	DID NOT RESULT IN A RECOGNIZED CLAIM
5001693	DID NOT FIT THE DEFINITION OF THE CLASS
5001694	DID NOT FIT THE DEFINITION OF THE CLASS
5001695	DID NOT RESULT IN A RECOGNIZED CLAIM
5001697	DID NOT RESULT IN A RECOGNIZED CLAIM
5001699	DID NOT RESULT IN A RECOGNIZED CLAIM
5001700	DID NOT RESULT IN A RECOGNIZED CLAIM
5001701	DID NOT RESULT IN A RECOGNIZED CLAIM
5001702	DID NOT RESULT IN A RECOGNIZED CLAIM
5001703	DID NOT RESULT IN A RECOGNIZED CLAIM
5001704	DID NOT RESULT IN A RECOGNIZED CLAIM
5001705	DID NOT RESULT IN A RECOGNIZED CLAIM
5001706	DID NOT RESULT IN A RECOGNIZED CLAIM
5001707	DEFICIENT CLAIM NEVER CURED
5001708	DEFICIENT CLAIM NEVER CURED
5001709	DID NOT RESULT IN A RECOGNIZED CLAIM
5001710	DID NOT RESULT IN A RECOGNIZED CLAIM
5001711	DID NOT FIT THE DEFINITION OF THE CLASS
5001714	DID NOT RESULT IN A RECOGNIZED CLAIM
5001715	DID NOT RESULT IN A RECOGNIZED CLAIM
5001716	DID NOT RESULT IN A RECOGNIZED CLAIM
5001717	DID NOT RESULT IN A RECOGNIZED CLAIM
5001718	DID NOT RESULT IN A RECOGNIZED CLAIM
5001719	DID NOT RESULT IN A RECOGNIZED CLAIM
5001720	DID NOT RESULT IN A RECOGNIZED CLAIM
5001721	DID NOT RESULT IN A RECOGNIZED CLAIM
5001722	DID NOT RESULT IN A RECOGNIZED CLAIM
5001723	DID NOT RESULT IN A RECOGNIZED CLAIM
5001724	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001726	DID NOT RESULT IN A RECOGNIZED CLAIM
5001727	DID NOT RESULT IN A RECOGNIZED CLAIM
5001728	DID NOT RESULT IN A RECOGNIZED CLAIM
5001729	DEFICIENT CLAIM NEVER CURED
5001730	DEFICIENT CLAIM NEVER CURED
5001734	DEFICIENT CLAIM NEVER CURED
5001735	DID NOT RESULT IN A RECOGNIZED CLAIM
5001737	DID NOT RESULT IN A RECOGNIZED CLAIM
5001740	DUPLICATE CLAIM
5001743	DEFICIENT CLAIM NEVER CURED
5001744	DID NOT RESULT IN A RECOGNIZED CLAIM
5001745	DID NOT RESULT IN A RECOGNIZED CLAIM
5001752	DID NOT RESULT IN A RECOGNIZED CLAIM
5001755	DID NOT RESULT IN A RECOGNIZED CLAIM
5001764	DID NOT RESULT IN A RECOGNIZED CLAIM
5001767	DEFICIENT CLAIM NEVER CURED
5001769	DEFICIENT CLAIM NEVER CURED
5001771	DID NOT RESULT IN A RECOGNIZED CLAIM
5001772	DID NOT RESULT IN A RECOGNIZED CLAIM
5001778	DUPLICATE CLAIM
5001779	DID NOT RESULT IN A RECOGNIZED CLAIM
5001781	DID NOT RESULT IN A RECOGNIZED CLAIM
5001782	DID NOT RESULT IN A RECOGNIZED CLAIM
5001784	DID NOT RESULT IN A RECOGNIZED CLAIM
5001785	DUPLICATE CLAIM
5001787	DID NOT RESULT IN A RECOGNIZED CLAIM
5001788	DID NOT RESULT IN A RECOGNIZED CLAIM
5001789	DID NOT RESULT IN A RECOGNIZED CLAIM
5001790	DID NOT RESULT IN A RECOGNIZED CLAIM
5001793	DID NOT RESULT IN A RECOGNIZED CLAIM
5001794	DID NOT RESULT IN A RECOGNIZED CLAIM
5001795	DEFICIENT CLAIM NEVER CURED
5001796	DEFICIENT CLAIM NEVER CURED
5001798	DID NOT RESULT IN A RECOGNIZED CLAIM
5001799	DID NOT RESULT IN A RECOGNIZED CLAIM
5001800	DID NOT RESULT IN A RECOGNIZED CLAIM
5001801	DID NOT RESULT IN A RECOGNIZED CLAIM
5001802	DID NOT RESULT IN A RECOGNIZED CLAIM
5001803	DID NOT RESULT IN A RECOGNIZED CLAIM
5001804	DID NOT RESULT IN A RECOGNIZED CLAIM
5001805	DID NOT RESULT IN A RECOGNIZED CLAIM
5001807	DID NOT RESULT IN A RECOGNIZED CLAIM
5001808	DID NOT RESULT IN A RECOGNIZED CLAIM
5001809	DID NOT RESULT IN A RECOGNIZED CLAIM
5001811	DID NOT RESULT IN A RECOGNIZED CLAIM
5001812	DID NOT RESULT IN A RECOGNIZED CLAIM
5001813	DID NOT RESULT IN A RECOGNIZED CLAIM
5001816	DID NOT RESULT IN A RECOGNIZED CLAIM
5001817	DID NOT RESULT IN A RECOGNIZED CLAIM
5001818	DID NOT RESULT IN A RECOGNIZED CLAIM
5001819	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001820	DID NOT RESULT IN A RECOGNIZED CLAIM
5001822	DID NOT RESULT IN A RECOGNIZED CLAIM
5001823	DID NOT RESULT IN A RECOGNIZED CLAIM
5001824	DEFICIENT CLAIM NEVER CURED
5001825	DID NOT RESULT IN A RECOGNIZED CLAIM
5001827	DID NOT FIT THE DEFINITION OF THE CLASS
5001828	DID NOT RESULT IN A RECOGNIZED CLAIM
5001829	DID NOT RESULT IN A RECOGNIZED CLAIM
5001830	DID NOT RESULT IN A RECOGNIZED CLAIM
5001831	DID NOT RESULT IN A RECOGNIZED CLAIM
5001833	DID NOT RESULT IN A RECOGNIZED CLAIM
5001834	DID NOT RESULT IN A RECOGNIZED CLAIM
5001835	DID NOT RESULT IN A RECOGNIZED CLAIM
5001836	DID NOT RESULT IN A RECOGNIZED CLAIM
5001837	DEFICIENT CLAIM NEVER CURED
5001838	DID NOT RESULT IN A RECOGNIZED CLAIM
5001839	DID NOT RESULT IN A RECOGNIZED CLAIM
5001840	DID NOT RESULT IN A RECOGNIZED CLAIM
5001841	DID NOT RESULT IN A RECOGNIZED CLAIM
5001842	DID NOT RESULT IN A RECOGNIZED CLAIM
5001843	DID NOT RESULT IN A RECOGNIZED CLAIM
5001844	DID NOT RESULT IN A RECOGNIZED CLAIM
5001845	DID NOT RESULT IN A RECOGNIZED CLAIM
5001846	DID NOT RESULT IN A RECOGNIZED CLAIM
5001847	DID NOT RESULT IN A RECOGNIZED CLAIM
5001849	DID NOT RESULT IN A RECOGNIZED CLAIM
5001850	DID NOT RESULT IN A RECOGNIZED CLAIM
5001855	DID NOT RESULT IN A RECOGNIZED CLAIM
5001856	DID NOT RESULT IN A RECOGNIZED CLAIM
5001857	DID NOT RESULT IN A RECOGNIZED CLAIM
5001858	DID NOT RESULT IN A RECOGNIZED CLAIM
5001859	DID NOT RESULT IN A RECOGNIZED CLAIM
5001860	DID NOT RESULT IN A RECOGNIZED CLAIM
5001861	DID NOT RESULT IN A RECOGNIZED CLAIM
5001864	DID NOT RESULT IN A RECOGNIZED CLAIM
5001865	DID NOT RESULT IN A RECOGNIZED CLAIM
5001866	DID NOT RESULT IN A RECOGNIZED CLAIM
5001867	DID NOT RESULT IN A RECOGNIZED CLAIM
5001868	DID NOT RESULT IN A RECOGNIZED CLAIM
5001869	DID NOT RESULT IN A RECOGNIZED CLAIM
5001870	DID NOT RESULT IN A RECOGNIZED CLAIM
5001871	DID NOT RESULT IN A RECOGNIZED CLAIM
5001872	DID NOT RESULT IN A RECOGNIZED CLAIM
5001873	DID NOT RESULT IN A RECOGNIZED CLAIM
5001874	DID NOT RESULT IN A RECOGNIZED CLAIM
5001875	DID NOT RESULT IN A RECOGNIZED CLAIM
5001876	DID NOT RESULT IN A RECOGNIZED CLAIM
5001877	DID NOT RESULT IN A RECOGNIZED CLAIM
5001878	DID NOT RESULT IN A RECOGNIZED CLAIM
5001879	DID NOT RESULT IN A RECOGNIZED CLAIM
5001880	DID NOT RESULT IN A RECOGNIZED CLAIM

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Claim #	Reason Deemed Ineligible
5001881	DID NOT RESULT IN A RECOGNIZED CLAIM
5001882	DID NOT RESULT IN A RECOGNIZED CLAIM
5001883	DID NOT RESULT IN A RECOGNIZED CLAIM
5001884	DID NOT RESULT IN A RECOGNIZED CLAIM
5001885	DID NOT RESULT IN A RECOGNIZED CLAIM
5001886	DID NOT RESULT IN A RECOGNIZED CLAIM
5001887	DID NOT RESULT IN A RECOGNIZED CLAIM
5001889	DID NOT RESULT IN A RECOGNIZED CLAIM
5001890	DID NOT RESULT IN A RECOGNIZED CLAIM
5001891	DID NOT RESULT IN A RECOGNIZED CLAIM
5001892	DID NOT RESULT IN A RECOGNIZED CLAIM
5001893	DID NOT RESULT IN A RECOGNIZED CLAIM
5001894	DID NOT RESULT IN A RECOGNIZED CLAIM
5001895	DID NOT RESULT IN A RECOGNIZED CLAIM
5001896	DID NOT RESULT IN A RECOGNIZED CLAIM
5001897	DID NOT RESULT IN A RECOGNIZED CLAIM
5001898	DID NOT RESULT IN A RECOGNIZED CLAIM
5001899	DID NOT RESULT IN A RECOGNIZED CLAIM
5001900	DID NOT RESULT IN A RECOGNIZED CLAIM
5001904	DEFICIENT CLAIM NEVER CURED
5001905	DEFICIENT CLAIM NEVER CURED
5001906	DEFICIENT CLAIM NEVER CURED
5001907	DEFICIENT CLAIM NEVER CURED
5001908	DEFICIENT CLAIM NEVER CURED
5001910	DEFICIENT CLAIM NEVER CURED
5001911	DID NOT RESULT IN A RECOGNIZED CLAIM
5001913	DID NOT RESULT IN A RECOGNIZED CLAIM
5001914	DID NOT RESULT IN A RECOGNIZED CLAIM
5001915	DID NOT RESULT IN A RECOGNIZED CLAIM
5001916	DID NOT RESULT IN A RECOGNIZED CLAIM
5001917	DID NOT RESULT IN A RECOGNIZED CLAIM
5001918	DID NOT RESULT IN A RECOGNIZED CLAIM
5001919	DID NOT RESULT IN A RECOGNIZED CLAIM
5001920	DID NOT RESULT IN A RECOGNIZED CLAIM
5001921	DID NOT RESULT IN A RECOGNIZED CLAIM
5001922	DID NOT RESULT IN A RECOGNIZED CLAIM
5001923	DID NOT RESULT IN A RECOGNIZED CLAIM
5001924	DID NOT RESULT IN A RECOGNIZED CLAIM
5001925	DID NOT RESULT IN A RECOGNIZED CLAIM
5001926	DID NOT RESULT IN A RECOGNIZED CLAIM
5001927	DID NOT RESULT IN A RECOGNIZED CLAIM
5001928	DID NOT RESULT IN A RECOGNIZED CLAIM
5001929	DID NOT RESULT IN A RECOGNIZED CLAIM
5001930	DID NOT RESULT IN A RECOGNIZED CLAIM
5001931	DID NOT RESULT IN A RECOGNIZED CLAIM
5001932	DID NOT RESULT IN A RECOGNIZED CLAIM
5001933	DID NOT RESULT IN A RECOGNIZED CLAIM
5001934	DID NOT RESULT IN A RECOGNIZED CLAIM
5001935	DID NOT RESULT IN A RECOGNIZED CLAIM
5001936	DID NOT RESULT IN A RECOGNIZED CLAIM
5001937	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5001938	DID NOT RESULT IN A RECOGNIZED CLAIM
5001939	DID NOT RESULT IN A RECOGNIZED CLAIM
5001940	DEFICIENT CLAIM NEVER CURED
5001941	DID NOT RESULT IN A RECOGNIZED CLAIM
5001942	DID NOT RESULT IN A RECOGNIZED CLAIM
5001943	DID NOT RESULT IN A RECOGNIZED CLAIM
5001944	DID NOT RESULT IN A RECOGNIZED CLAIM
5001945	DID NOT RESULT IN A RECOGNIZED CLAIM
5001946	DID NOT RESULT IN A RECOGNIZED CLAIM
5001947	DID NOT RESULT IN A RECOGNIZED CLAIM
5001948	DID NOT RESULT IN A RECOGNIZED CLAIM
5001949	DEFICIENT CLAIM NEVER CURED
5001950	DID NOT RESULT IN A RECOGNIZED CLAIM
5001951	DID NOT RESULT IN A RECOGNIZED CLAIM
5001952	DID NOT RESULT IN A RECOGNIZED CLAIM
5001953	DID NOT RESULT IN A RECOGNIZED CLAIM
5001954	DID NOT RESULT IN A RECOGNIZED CLAIM
5001955	DID NOT RESULT IN A RECOGNIZED CLAIM
5001956	DID NOT RESULT IN A RECOGNIZED CLAIM
5001957	DID NOT RESULT IN A RECOGNIZED CLAIM
5001959	DID NOT RESULT IN A RECOGNIZED CLAIM
5001960	DID NOT RESULT IN A RECOGNIZED CLAIM
5001961	DID NOT RESULT IN A RECOGNIZED CLAIM
5001962	DID NOT RESULT IN A RECOGNIZED CLAIM
5001963	DID NOT RESULT IN A RECOGNIZED CLAIM
5001964	DID NOT RESULT IN A RECOGNIZED CLAIM
5001965	DID NOT RESULT IN A RECOGNIZED CLAIM
5001966	DID NOT RESULT IN A RECOGNIZED CLAIM
5001967	DID NOT RESULT IN A RECOGNIZED CLAIM
5001968	DID NOT RESULT IN A RECOGNIZED CLAIM
5001969	DID NOT RESULT IN A RECOGNIZED CLAIM
5001970	DID NOT RESULT IN A RECOGNIZED CLAIM
5001971	DID NOT RESULT IN A RECOGNIZED CLAIM
5001972	DID NOT RESULT IN A RECOGNIZED CLAIM
5001973	DID NOT RESULT IN A RECOGNIZED CLAIM
5001974	DID NOT RESULT IN A RECOGNIZED CLAIM
5001975	DID NOT RESULT IN A RECOGNIZED CLAIM
5001977	DID NOT RESULT IN A RECOGNIZED CLAIM
5001978	DID NOT FIT THE DEFINITION OF THE CLASS
5001980	DID NOT FIT THE DEFINITION OF THE CLASS
5001981	DID NOT RESULT IN A RECOGNIZED CLAIM
5001982	DID NOT RESULT IN A RECOGNIZED CLAIM
5001983	DID NOT RESULT IN A RECOGNIZED CLAIM
5001984	DID NOT RESULT IN A RECOGNIZED CLAIM
5001985	DID NOT RESULT IN A RECOGNIZED CLAIM
5001986	DID NOT RESULT IN A RECOGNIZED CLAIM
5001987	DID NOT RESULT IN A RECOGNIZED CLAIM
5001988	DEFICIENT CLAIM NEVER CURED
5001989	DUPLICATE CLAIM
5001990	DID NOT RESULT IN A RECOGNIZED CLAIM
5001991	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

Claim #	Reason Deemed Ineligible
5001992	DID NOT FIT THE DEFINITION OF THE CLASS
5001993	DID NOT RESULT IN A RECOGNIZED CLAIM
5001994	DID NOT FIT THE DEFINITION OF THE CLASS
5001995	DID NOT FIT THE DEFINITION OF THE CLASS
5001996	DID NOT RESULT IN A RECOGNIZED CLAIM
5001997	DID NOT RESULT IN A RECOGNIZED CLAIM
5001998	DID NOT RESULT IN A RECOGNIZED CLAIM
5001999	DID NOT RESULT IN A RECOGNIZED CLAIM
5002000	DID NOT RESULT IN A RECOGNIZED CLAIM
5002002	DID NOT FIT THE DEFINITION OF THE CLASS
5002003	DID NOT RESULT IN A RECOGNIZED CLAIM
5002004	DID NOT RESULT IN A RECOGNIZED CLAIM
5002008	DID NOT RESULT IN A RECOGNIZED CLAIM
5002010	DID NOT RESULT IN A RECOGNIZED CLAIM
5002012	DID NOT RESULT IN A RECOGNIZED CLAIM
5002016	DID NOT RESULT IN A RECOGNIZED CLAIM
5002018	DID NOT RESULT IN A RECOGNIZED CLAIM
5002024	DID NOT RESULT IN A RECOGNIZED CLAIM
5002028	DID NOT RESULT IN A RECOGNIZED CLAIM
5002030	DID NOT RESULT IN A RECOGNIZED CLAIM
5002040	DID NOT RESULT IN A RECOGNIZED CLAIM
5002049	DID NOT FIT THE DEFINITION OF THE CLASS
5002050	DID NOT FIT THE DEFINITION OF THE CLASS
5002051	DID NOT RESULT IN A RECOGNIZED CLAIM
5002052	DEFICIENT CLAIM NEVER CURED
5002053	DID NOT RESULT IN A RECOGNIZED CLAIM
5002054	DID NOT RESULT IN A RECOGNIZED CLAIM
5002055	DEFICIENT CLAIM NEVER CURED
5002056	DID NOT RESULT IN A RECOGNIZED CLAIM
5002058	DEFICIENT CLAIM NEVER CURED
5002059	DID NOT RESULT IN A RECOGNIZED CLAIM
5002060	DID NOT RESULT IN A RECOGNIZED CLAIM
5002062	DID NOT RESULT IN A RECOGNIZED CLAIM
5002063	DEFICIENT CLAIM NEVER CURED
5002065	DEFICIENT CLAIM NEVER CURED
5002066	DEFICIENT CLAIM NEVER CURED
5002067	DEFICIENT CLAIM NEVER CURED
5002068	DEFICIENT CLAIM NEVER CURED
5002069	DEFICIENT CLAIM NEVER CURED
5002070	DEFICIENT CLAIM NEVER CURED
5002071	DEFICIENT CLAIM NEVER CURED
5002072	DEFICIENT CLAIM NEVER CURED
5002073	DEFICIENT CLAIM NEVER CURED
5002074	DID NOT FIT THE DEFINITION OF THE CLASS
5002075	DID NOT FIT THE DEFINITION OF THE CLASS
5002076	DEFICIENT CLAIM NEVER CURED
5002077	DEFICIENT CLAIM NEVER CURED
5002078	DEFICIENT DCLAIM NEVER CURED
5002079	DEFICIENT CLAIM NEVER CURED
5002080	DEFICIENT CLAIM NEVER CURED
5002081	DID NOT FIT THE DEFINITION OF THE CLASS

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Claim #	Reason Deemed Ineligible
5002082	DID NOT FIT THE DEFINITION OF THE CLASS
5002083	DEFICIENT CLAIM NEVER CURED
5002084	DEFICIENT CLAIM NEVER CURED
5002085	DEFICIENT CLAIM NEVER CURED
5002086	DEFICIENT CLAIM NEVER CURED
5002087	DEFICIENT CLAIM NEVER CURED
5002088	DEFICIENT CLAIM NEVER CURED
5002089	DEFICIENT CLAIM NEVER CURED
5002090	DEFICIENT CLAIM NEVER CURED
5002091	DEFICIENT CLAIM NEVER CURED
5002092	DEFICIENT CLAIM NEVER CURED
5002093	DEFICIENT CLAIM NEVER CURED
5002094	DEFICIENT CLAIM NEVER CURED
5002095	DID NOT FIT THE DEFINITION OF THE CLASS
5002096	DID NOT FIT THE DEFINITION OF THE CLASS
5002097	DEFICIENT CLAIM NEVER CURED
5002098	DEFICIENT CLAIM NEVER CURED
5002099	DEFICIENT CLAIM NEVER CURED
5002100	DID NOT FIT THE DEFINITION OF THE CLASS
5002101	DID NOT FIT THE DEFINITION OF THE CLASS
5002102	DEFICIENT CLAIM NEVER CURED
5002103	DEFICIENT CLAIM NEVER CURED
5002104	DEFICIENT CLAIM NEVER CURED
5002105	DEFICIENT CLAIM NEVER CURED
5002106	DEFICIENT CLAIM NEVER CURED
5002107	DEFICIENT CLAIM NEVER CURED
5002108	DID NOT FIT THE DEFINITION OF THE CLASS
5002109	DID NOT FIT THE DEFINITION OF THE CLASS
5002110	DEFICIENT CLAIM NEVER CURED
5002111	DEFICIENT CLAIM NEVER CURED
5002112	DEFICIENT CLAIM NEVER CURED
5002113	DEFICIENT CLAIM NEVER CURED
5002114	DEFICIENT CLAIM NEVER CURED
5002115	DEFICIENT CLAIM NEVER CURED
5002116	DID NOT FIT THE DEFINITION OF THE CLASS
5002117	DEFICIENT CLAIM NEVER CURED
5002118	DEFICIENT CLAIM NEVER CURED
5002119	DEFICIENT CLAIM NEVER CURED
5002120	DEFICIENT CLAIM NEVER CURED
5002121	DEFICIENT CLAIM NEVER CURED
5002122	DID NOT FIT THE DEFINITION OF THE CLASS
5002123	DEFICIENT CLAIM NEVER CURED
5002124	DEFICIENT CLAIM NEVER CURED
5002125	DEFICIENT CLAIM NEVER CURED
5002126	DID NOT FIT THE DEFINITION OF THE CLASS
5002127	DID NOT RESULT IN A RECOGNIZED CLAIM
5002128	DID NOT RESULT IN A RECOGNIZED CLAIM
5002129	DID NOT RESULT IN A RECOGNIZED CLAIM
5002130	DID NOT RESULT IN A RECOGNIZED CLAIM
5002131	DID NOT RESULT IN A RECOGNIZED CLAIM
5002132	DID NOT RESULT IN A RECOGNIZED CLAIM

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Claim #	Reason Deemed Ineligible
5002133	DID NOT RESULT IN A RECOGNIZED CLAIM
5002134	DID NOT RESULT IN A RECOGNIZED CLAIM
5002135	DID NOT RESULT IN A RECOGNIZED CLAIM
5002136	DID NOT RESULT IN A RECOGNIZED CLAIM
5002138	DID NOT RESULT IN A RECOGNIZED CLAIM
5002139	DID NOT RESULT IN A RECOGNIZED CLAIM
5002140	DID NOT RESULT IN A RECOGNIZED CLAIM
5002142	DID NOT RESULT IN A RECOGNIZED CLAIM
5002143	DID NOT RESULT IN A RECOGNIZED CLAIM
5002144	DID NOT RESULT IN A RECOGNIZED CLAIM
5002145	DID NOT RESULT IN A RECOGNIZED CLAIM
5002146	DID NOT RESULT IN A RECOGNIZED CLAIM
5002147	DID NOT RESULT IN A RECOGNIZED CLAIM
5002148	DID NOT RESULT IN A RECOGNIZED CLAIM
5002150	DID NOT RESULT IN A RECOGNIZED CLAIM
5002151	DID NOT RESULT IN A RECOGNIZED CLAIM
5002152	DID NOT RESULT IN A RECOGNIZED CLAIM
5002153	DID NOT RESULT IN A RECOGNIZED CLAIM
5002154	DEFICIENT CLAIM NEVER CURED
5002156	DID NOT RESULT IN A RECOGNIZED CLAIM
5002157	DID NOT RESULT IN A RECOGNIZED CLAIM
5002158	DID NOT RESULT IN A RECOGNIZED CLAIM
5002159	DID NOT RESULT IN A RECOGNIZED CLAIM
5002160	DID NOT RESULT IN A RECOGNIZED CLAIM
5002161	DID NOT RESULT IN A RECOGNIZED CLAIM
5002162	DID NOT RESULT IN A RECOGNIZED CLAIM
5002163	DID NOT RESULT IN A RECOGNIZED CLAIM
5002164	DID NOT RESULT IN A RECOGNIZED CLAIM
5002165	DID NOT RESULT IN A RECOGNIZED CLAIM
5002166	DID NOT RESULT IN A RECOGNIZED CLAIM
5002167	DID NOT RESULT IN A RECOGNIZED CLAIM
5002168	DID NOT RESULT IN A RECOGNIZED CLAIM
5002169	DID NOT RESULT IN A RECOGNIZED CLAIM
5002170	DID NOT RESULT IN A RECOGNIZED CLAIM
5002171	DEFICIENT CLAIM NEVER CURED
5002172	DID NOT RESULT IN A RECOGNIZED CLAIM
5002173	DEFICIENT CLAIM NEVER CURED
5002174	DID NOT RESULT IN A RECOGNIZED CLAIM
5002175	DID NOT RESULT IN A RECOGNIZED CLAIM
5002176	DID NOT FIT THE DEFINITION OF THE CLASS
5002177	DID NOT RESULT IN A RECOGNIZED CLAIM
5002178	DID NOT RESULT IN A RECOGNIZED CLAIM
5002179	DID NOT RESULT IN A RECOGNIZED CLAIM
5002180	DID NOT RESULT IN A RECOGNIZED CLAIM
5002181	DID NOT RESULT IN A RECOGNIZED CLAIM
5002182	DID NOT RESULT IN A RECOGNIZED CLAIM
5002183	DID NOT RESULT IN A RECOGNIZED CLAIM
5002184	DID NOT RESULT IN A RECOGNIZED CLAIM
5002185	DID NOT RESULT IN A RECOGNIZED CLAIM
5002186	DID NOT RESULT IN A RECOGNIZED CLAIM
5002187	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002188	DID NOT RESULT IN A RECOGNIZED CLAIM
5002189	DID NOT RESULT IN A RECOGNIZED CLAIM
5002190	DID NOT RESULT IN A RECOGNIZED CLAIM
5002191	DID NOT RESULT IN A RECOGNIZED CLAIM
5002192	DID NOT RESULT IN A RECOGNIZED CLAIM
5002193	DID NOT RESULT IN A RECOGNIZED CLAIM
5002194	DID NOT RESULT IN A RECOGNIZED CLAIM
5002195	DID NOT RESULT IN A RECOGNIZED CLAIM
5002196	DID NOT RESULT IN A RECOGNIZED CLAIM
5002197	DID NOT RESULT IN A RECOGNIZED CLAIM
5002198	DID NOT RESULT IN A RECOGNIZED CLAIM
5002199	DID NOT RESULT IN A RECOGNIZED CLAIM
5002200	DID NOT RESULT IN A RECOGNIZED CLAIM
5002202	DID NOT RESULT IN A RECOGNIZED CLAIM
5002203	DID NOT RESULT IN A RECOGNIZED CLAIM
5002204	DID NOT RESULT IN A RECOGNIZED CLAIM
5002205	DID NOT RESULT IN A RECOGNIZED CLAIM
5002206	DID NOT RESULT IN A RECOGNIZED CLAIM
5002207	DID NOT RESULT IN A RECOGNIZED CLAIM
5002208	DID NOT RESULT IN A RECOGNIZED CLAIM
5002209	DID NOT RESULT IN A RECOGNIZED CLAIM
5002210	DID NOT RESULT IN A RECOGNIZED CLAIM
5002211	DID NOT RESULT IN A RECOGNIZED CLAIM
5002212	DID NOT RESULT IN A RECOGNIZED CLAIM
5002213	DID NOT RESULT IN A RECOGNIZED CLAIM
5002214	DID NOT RESULT IN A RECOGNIZED CLAIM
5002215	DID NOT RESULT IN A RECOGNIZED CLAIM
5002216	DID NOT RESULT IN A RECOGNIZED CLAIM
5002217	DID NOT RESULT IN A RECOGNIZED CLAIM
5002218	DID NOT RESULT IN A RECOGNIZED CLAIM
5002219	DID NOT RESULT IN A RECOGNIZED CLAIM
5002220	DID NOT RESULT IN A RECOGNIZED CLAIM
5002221	DID NOT RESULT IN A RECOGNIZED CLAIM
5002222	DID NOT RESULT IN A RECOGNIZED CLAIM
5002223	DID NOT RESULT IN A RECOGNIZED CLAIM
5002225	DID NOT RESULT IN A RECOGNIZED CLAIM
5002226	DEFICIENT CLAIM NEVER CURED
5002227	DID NOT RESULT IN A RECOGNIZED CLAIM
5002228	DID NOT RESULT IN A RECOGNIZED CLAIM
5002229	DID NOT RESULT IN A RECOGNIZED CLAIM
5002230	DID NOT RESULT IN A RECOGNIZED CLAIM
5002231	DID NOT RESULT IN A RECOGNIZED CLAIM
5002232	DID NOT FIT THE DEFINITION OF THE CLASS
5002233	DID NOT RESULT IN A RECOGNIZED CLAIM
5002234	DID NOT RESULT IN A RECOGNIZED CLAIM
5002235	DID NOT RESULT IN A RECOGNIZED CLAIM
5002236	DID NOT RESULT IN A RECOGNIZED CLAIM
5002237	DID NOT RESULT IN A RECOGNIZED CLAIM
5002238	DID NOT RESULT IN A RECOGNIZED CLAIM
5002239	DID NOT RESULT IN A RECOGNIZED CLAIM
5002240	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002241	DID NOT RESULT IN A RECOGNIZED CLAIM
5002242	DID NOT RESULT IN A RECOGNIZED CLAIM
5002243	DID NOT RESULT IN A RECOGNIZED CLAIM
5002244	DID NOT RESULT IN A RECOGNIZED CLAIM
5002245	DID NOT RESULT IN A RECOGNIZED CLAIM
5002246	DID NOT RESULT IN A RECOGNIZED CLAIM
5002247	DID NOT RESULT IN A RECOGNIZED CLAIM
5002248	DID NOT RESULT IN A RECOGNIZED CLAIM
5002249	DID NOT RESULT IN A RECOGNIZED CLAIM
5002250	DID NOT RESULT IN A RECOGNIZED CLAIM
5002251	DID NOT RESULT IN A RECOGNIZED CLAIM
5002252	DID NOT RESULT IN A RECOGNIZED CLAIM
5002253	DID NOT RESULT IN A RECOGNIZED CLAIM
5002254	DID NOT RESULT IN A RECOGNIZED CLAIM
5002255	DID NOT RESULT IN A RECOGNIZED CLAIM
5002256	DID NOT RESULT IN A RECOGNIZED CLAIM
5002258	DID NOT RESULT IN A RECOGNIZED CLAIM
5002259	DID NOT RESULT IN A RECOGNIZED CLAIM
5002260	DID NOT FIT THE DEFINITION OF THE CLASS
5002261	DID NOT FIT THE DEFINITION OF THE CLASS
5002262	DID NOT RESULT IN A RECOGNIZED CLAIM
5002264	DID NOT RESULT IN A RECOGNIZED CLAIM
5002265	DID NOT RESULT IN A RECOGNIZED CLAIM
5002266	DID NOT RESULT IN A RECOGNIZED CLAIM
5002267	DID NOT RESULT IN A RECOGNIZED CLAIM
5002268	DID NOT RESULT IN A RECOGNIZED CLAIM
5002269	DID NOT RESULT IN A RECOGNIZED CLAIM
5002270	DID NOT RESULT IN A RECOGNIZED CLAIM
5002271	DID NOT FIT THE DEFINITION OF THE CLASS
5002273	DID NOT RESULT IN A RECOGNIZED CLAIM
5002274	DID NOT FIT THE DEFINITION OF THE CLASS
5002275	DID NOT RESULT IN A RECOGNIZED CLAIM
5002276	DID NOT RESULT IN A RECOGNIZED CLAIM
5002277	DID NOT RESULT IN A RECOGNIZED CLAIM
5002278	DID NOT RESULT IN A RECOGNIZED CLAIM
5002280	DID NOT RESULT IN A RECOGNIZED CLAIM
5002281	DID NOT RESULT IN A RECOGNIZED CLAIM
5002282	DID NOT RESULT IN A RECOGNIZED CLAIM
5002283	DID NOT RESULT IN A RECOGNIZED CLAIM
5002284	DID NOT RESULT IN A RECOGNIZED CLAIM
5002285	DID NOT RESULT IN A RECOGNIZED CLAIM
5002286	DID NOT RESULT IN A RECOGNIZED CLAIM
5002287	DID NOT RESULT IN A RECOGNIZED CLAIM
5002288	DID NOT RESULT IN A RECOGNIZED CLAIM
5002289	DID NOT RESULT IN A RECOGNIZED CLAIM
5002290	DEFICIENT CLAIM NEVER CURED
5002291	DID NOT RESULT IN A RECOGNIZED CLAIM
5002292	DID NOT RESULT IN A RECOGNIZED CLAIM
5002293	DID NOT RESULT IN A RECOGNIZED CLAIM
5002294	DID NOT RESULT IN A RECOGNIZED CLAIM
5002295	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002296	DID NOT RESULT IN A RECOGNIZED CLAIM
5002297	DID NOT RESULT IN A RECOGNIZED CLAIM
5002298	DID NOT RESULT IN A RECOGNIZED CLAIM
5002299	DID NOT RESULT IN A RECOGNIZED CLAIM
5002300	DID NOT RESULT IN A RECOGNIZED CLAIM
5002301	DID NOT RESULT IN A RECOGNIZED CLAIM
5002303	DID NOT RESULT IN A RECOGNIZED CLAIM
5002304	DID NOT RESULT IN A RECOGNIZED CLAIM
5002305	DID NOT RESULT IN A RECOGNIZED CLAIM
5002306	DID NOT RESULT IN A RECOGNIZED CLAIM
5002307	DID NOT RESULT IN A RECOGNIZED CLAIM
5002308	DID NOT RESULT IN A RECOGNIZED CLAIM
5002309	DID NOT FIT THE DEFINITION OF THE CLASS
5002310	DID NOT RESULT IN A RECOGNIZED CLAIM
5002311	DEFICIENT CLAIM NEVER CURED
5002312	DID NOT RESULT IN A RECOGNIZED CLAIM
5002313	DID NOT RESULT IN A RECOGNIZED CLAIM
5002314	DID NOT RESULT IN A RECOGNIZED CLAIM
5002315	DID NOT RESULT IN A RECOGNIZED CLAIM
5002316	DID NOT RESULT IN A RECOGNIZED CLAIM
5002317	DID NOT RESULT IN A RECOGNIZED CLAIM
5002318	DEFICIENT CLAIM NEVER CURED
5002319	DID NOT RESULT IN A RECOGNIZED CLAIM
5002320	DID NOT RESULT IN A RECOGNIZED CLAIM
5002322	DID NOT RESULT IN A RECOGNIZED CLAIM
5002323	DID NOT RESULT IN A RECOGNIZED CLAIM
5002324	DID NOT RESULT IN A RECOGNIZED CLAIM
5002325	DID NOT RESULT IN A RECOGNIZED CLAIM
5002326	DID NOT RESULT IN A RECOGNIZED CLAIM
5002327	DID NOT RESULT IN A RECOGNIZED CLAIM
5002328	DID NOT RESULT IN A RECOGNIZED CLAIM
5002329	DID NOT RESULT IN A RECOGNIZED CLAIM
5002330	DID NOT RESULT IN A RECOGNIZED CLAIM
5002331	DID NOT RESULT IN A RECOGNIZED CLAIM
5002332	DID NOT RESULT IN A RECOGNIZED CLAIM
5002333	DID NOT RESULT IN A RECOGNIZED CLAIM
5002334	DID NOT RESULT IN A RECOGNIZED CLAIM
5002335	DID NOT RESULT IN A RECOGNIZED CLAIM
5002336	DEFICIENT CLAIM NEVER CURED
5002337	DID NOT RESULT IN A RECOGNIZED CLAIM
5002338	DID NOT RESULT IN A RECOGNIZED CLAIM
5002339	DID NOT RESULT IN A RECOGNIZED CLAIM
5002340	DID NOT RESULT IN A RECOGNIZED CLAIM
5002341	DID NOT RESULT IN A RECOGNIZED CLAIM
5002342	DEFICIENT CLAIM NEVER CURED
5002343	DID NOT RESULT IN A RECOGNIZED CLAIM
5002344	DID NOT RESULT IN A RECOGNIZED CLAIM
5002345	DID NOT RESULT IN A RECOGNIZED CLAIM
5002346	DID NOT RESULT IN A RECOGNIZED CLAIM
5002347	DID NOT RESULT IN A RECOGNIZED CLAIM
5002348	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002349	DID NOT RESULT IN A RECOGNIZED CLAIM
5002350	DID NOT RESULT IN A RECOGNIZED CLAIM
5002351	DID NOT RESULT IN A RECOGNIZED CLAIM
5002352	DID NOT RESULT IN A RECOGNIZED CLAIM
5002353	DID NOT RESULT IN A RECOGNIZED CLAIM
5002354	DID NOT FIT THE DEFINITION OF THE CLASS
5002355	DID NOT RESULT IN A RECOGNIZED CLAIM
5002356	DID NOT RESULT IN A RECOGNIZED CLAIM
5002357	DID NOT RESULT IN A RECOGNIZED CLAIM
5002359	DID NOT RESULT IN A RECOGNIZED CLAIM
5002360	DID NOT RESULT IN A RECOGNIZED CLAIM
5002361	DID NOT RESULT IN A RECOGNIZED CLAIM
5002362	DID NOT RESULT IN A RECOGNIZED CLAIM
5002363	DID NOT RESULT IN A RECOGNIZED CLAIM
5002364	DID NOT RESULT IN A RECOGNIZED CLAIM
5002365	DID NOT RESULT IN A RECOGNIZED CLAIM
5002366	DID NOT RESULT IN A RECOGNIZED CLAIM
5002367	DID NOT RESULT IN A RECOGNIZED CLAIM
5002368	DID NOT RESULT IN A RECOGNIZED CLAIM
5002370	DID NOT RESULT IN A RECOGNIZED CLAIM
5002371	DID NOT RESULT IN A RECOGNIZED CLAIM
5002372	DID NOT RESULT IN A RECOGNIZED CLAIM
5002373	DID NOT RESULT IN A RECOGNIZED CLAIM
5002374	DID NOT RESULT IN A RECOGNIZED CLAIM
5002375	DID NOT RESULT IN A RECOGNIZED CLAIM
5002376	DID NOT RESULT IN A RECOGNIZED CLAIM
5002377	DID NOT RESULT IN A RECOGNIZED CLAIM
5002378	DID NOT RESULT IN A RECOGNIZED CLAIM
5002379	DID NOT RESULT IN A RECOGNIZED CLAIM
5002380	DID NOT RESULT IN A RECOGNIZED CLAIM
5002382	DID NOT RESULT IN A RECOGNIZED CLAIM
5002383	DID NOT RESULT IN A RECOGNIZED CLAIM
5002384	DEFICIENT CLAIM NEVER CURED
5002385	DID NOT RESULT IN A RECOGNIZED CLAIM
5002387	DID NOT FIT THE DEFINITION OF THE CLASS
5002389	DID NOT FIT THE DEFINITION OF THE CLASS
5002390	DID NOT FIT THE DEFINITION OF THE CLASS
5002391	DID NOT RESULT IN A RECOGNIZED CLAIM
5002392	DID NOT RESULT IN A RECOGNIZED CLAIM
5002394	DID NOT RESULT IN A RECOGNIZED CLAIM
5002395	DID NOT RESULT IN A RECOGNIZED CLAIM
5002399	DID NOT RESULT IN A RECOGNIZED CLAIM
5002400	DID NOT RESULT IN A RECOGNIZED CLAIM
5002401	DID NOT RESULT IN A RECOGNIZED CLAIM
5002402	DID NOT RESULT IN A RECOGNIZED CLAIM
5002403	DID NOT RESULT IN A RECOGNIZED CLAIM
5002404	DID NOT RESULT IN A RECOGNIZED CLAIM
5002405	DID NOT RESULT IN A RECOGNIZED CLAIM
5002406	DID NOT RESULT IN A RECOGNIZED CLAIM
5002407	DID NOT RESULT IN A RECOGNIZED CLAIM
5002408	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002409	DID NOT RESULT IN A RECOGNIZED CLAIM
5002410	DID NOT RESULT IN A RECOGNIZED CLAIM
5002411	DID NOT RESULT IN A RECOGNIZED CLAIM
5002412	DID NOT RESULT IN A RECOGNIZED CLAIM
5002413	DID NOT RESULT IN A RECOGNIZED CLAIM
5002414	DID NOT RESULT IN A RECOGNIZED CLAIM
5002415	DID NOT RESULT IN A RECOGNIZED CLAIM
5002416	DID NOT RESULT IN A RECOGNIZED CLAIM
5002418	DID NOT RESULT IN A RECOGNIZED CLAIM
5002419	DID NOT RESULT IN A RECOGNIZED CLAIM
5002420	DID NOT RESULT IN A RECOGNIZED CLAIM
5002421	DID NOT RESULT IN A RECOGNIZED CLAIM
5002422	DID NOT RESULT IN A RECOGNIZED CLAIM
5002423	DID NOT RESULT IN A RECOGNIZED CLAIM
5002424	DID NOT RESULT IN A RECOGNIZED CLAIM
5002425	DID NOT RESULT IN A RECOGNIZED CLAIM
5002426	DID NOT RESULT IN A RECOGNIZED CLAIM
5002428	DID NOT RESULT IN A RECOGNIZED CLAIM
5002429	DID NOT RESULT IN A RECOGNIZED CLAIM
5002430	DID NOT RESULT IN A RECOGNIZED CLAIM
5002431	DID NOT RESULT IN A RECOGNIZED CLAIM
5002432	DID NOT RESULT IN A RECOGNIZED CLAIM
5002433	DID NOT RESULT IN A RECOGNIZED CLAIM
5002434	DID NOT RESULT IN A RECOGNIZED CLAIM
5002435	DID NOT RESULT IN A RECOGNIZED CLAIM
5002436	DID NOT RESULT IN A RECOGNIZED CLAIM
5002437	DID NOT RESULT IN A RECOGNIZED CLAIM
5002438	DID NOT RESULT IN A RECOGNIZED CLAIM
5002439	DID NOT RESULT IN A RECOGNIZED CLAIM
5002440	DID NOT RESULT IN A RECOGNIZED CLAIM
5002441	DID NOT RESULT IN A RECOGNIZED CLAIM
5002442	DID NOT RESULT IN A RECOGNIZED CLAIM
5002443	DID NOT RESULT IN A RECOGNIZED CLAIM
5002444	DID NOT RESULT IN A RECOGNIZED CLAIM
5002445	DID NOT RESULT IN A RECOGNIZED CLAIM
5002446	DID NOT RESULT IN A RECOGNIZED CLAIM
5002448	DID NOT RESULT IN A RECOGNIZED CLAIM
5002449	DID NOT RESULT IN A RECOGNIZED CLAIM
5002450	DID NOT RESULT IN A RECOGNIZED CLAIM
5002451	DID NOT RESULT IN A RECOGNIZED CLAIM
5002452	DID NOT RESULT IN A RECOGNIZED CLAIM
5002453	DID NOT RESULT IN A RECOGNIZED CLAIM
5002454	DID NOT RESULT IN A RECOGNIZED CLAIM
5002455	DID NOT RESULT IN A RECOGNIZED CLAIM
5002456	DID NOT RESULT IN A RECOGNIZED CLAIM
5002457	DID NOT RESULT IN A RECOGNIZED CLAIM
5002458	DID NOT RESULT IN A RECOGNIZED CLAIM
5002459	DID NOT RESULT IN A RECOGNIZED CLAIM
5002460	DID NOT RESULT IN A RECOGNIZED CLAIM
5002461	DID NOT RESULT IN A RECOGNIZED CLAIM
5002462	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002463	DID NOT RESULT IN A RECOGNIZED CLAIM
5002464	DID NOT RESULT IN A RECOGNIZED CLAIM
5002465	DID NOT RESULT IN A RECOGNIZED CLAIM
5002466	DID NOT RESULT IN A RECOGNIZED CLAIM
5002467	DID NOT RESULT IN A RECOGNIZED CLAIM
5002468	DID NOT RESULT IN A RECOGNIZED CLAIM
5002469	DID NOT RESULT IN A RECOGNIZED CLAIM
5002470	DID NOT RESULT IN A RECOGNIZED CLAIM
5002471	DID NOT RESULT IN A RECOGNIZED CLAIM
5002472	DID NOT RESULT IN A RECOGNIZED CLAIM
5002473	DID NOT RESULT IN A RECOGNIZED CLAIM
5002475	DID NOT RESULT IN A RECOGNIZED CLAIM
5002476	DID NOT RESULT IN A RECOGNIZED CLAIM
5002477	DID NOT RESULT IN A RECOGNIZED CLAIM
5002478	DID NOT RESULT IN A RECOGNIZED CLAIM
5002479	DID NOT RESULT IN A RECOGNIZED CLAIM
5002481	DID NOT RESULT IN A RECOGNIZED CLAIM
5002482	DID NOT RESULT IN A RECOGNIZED CLAIM
5002483	DID NOT RESULT IN A RECOGNIZED CLAIM
5002484	DID NOT RESULT IN A RECOGNIZED CLAIM
5002485	DID NOT RESULT IN A RECOGNIZED CLAIM
5002486	DID NOT RESULT IN A RECOGNIZED CLAIM
5002487	DID NOT RESULT IN A RECOGNIZED CLAIM
5002488	DID NOT RESULT IN A RECOGNIZED CLAIM
5002489	DID NOT RESULT IN A RECOGNIZED CLAIM
5002490	DID NOT RESULT IN A RECOGNIZED CLAIM
5002491	DID NOT FIT THE DEFINITION OF THE CLASS
5002492	DID NOT FIT THE DEFINITION OF THE CLASS
5002494	DID NOT RESULT IN A RECOGNIZED CLAIM
5002495	DID NOT RESULT IN A RECOGNIZED CLAIM
5002496	DID NOT RESULT IN A RECOGNIZED CLAIM
5002497	DID NOT RESULT IN A RECOGNIZED CLAIM
5002498	DID NOT RESULT IN A RECOGNIZED CLAIM
5002499	DID NOT RESULT IN A RECOGNIZED CLAIM
5002500	DID NOT RESULT IN A RECOGNIZED CLAIM
5002502	DID NOT RESULT IN A RECOGNIZED CLAIM
5002503	DID NOT RESULT IN A RECOGNIZED CLAIM
5002504	DID NOT RESULT IN A RECOGNIZED CLAIM
5002505	DID NOT RESULT IN A RECOGNIZED CLAIM
5002506	DID NOT RESULT IN A RECOGNIZED CLAIM
5002507	DID NOT RESULT IN A RECOGNIZED CLAIM
5002508	DID NOT RESULT IN A RECOGNIZED CLAIM
5002509	DID NOT RESULT IN A RECOGNIZED CLAIM
5002510	DID NOT RESULT IN A RECOGNIZED CLAIM
5002511	DID NOT RESULT IN A RECOGNIZED CLAIM
5002512	DID NOT RESULT IN A RECOGNIZED CLAIM
5002513	DEFICIENT CLAIM NEVER CURED
5002514	DID NOT RESULT IN A RECOGNIZED CLAIM
5002515	DID NOT FIT THE DEFINITION OF THE CLASS
5002516	DID NOT RESULT IN A RECOGNIZED CLAIM
5002518	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002519	DID NOT RESULT IN A RECOGNIZED CLAIM
5002520	DID NOT RESULT IN A RECOGNIZED CLAIM
5002521	DID NOT RESULT IN A RECOGNIZED CLAIM
5002523	DID NOT RESULT IN A RECOGNIZED CLAIM
5002524	DID NOT RESULT IN A RECOGNIZED CLAIM
5002525	DID NOT FIT THE DEFINITION OF THE CLASS
5002527	DID NOT RESULT IN A RECOGNIZED CLAIM
5002528	DID NOT RESULT IN A RECOGNIZED CLAIM
5002529	DID NOT RESULT IN A RECOGNIZED CLAIM
5002530	DID NOT RESULT IN A RECOGNIZED CLAIM
5002531	DID NOT RESULT IN A RECOGNIZED CLAIM
5002532	DID NOT RESULT IN A RECOGNIZED CLAIM
5002533	DID NOT RESULT IN A RECOGNIZED CLAIM
5002534	DEFICIENT CLAIM NEVER CURED
5002535	DID NOT RESULT IN A RECOGNIZED CLAIM
5002536	DID NOT RESULT IN A RECOGNIZED CLAIM
5002537	DID NOT FIT THE DEFINITION OF THE CLASS
5002540	DID NOT RESULT IN A RECOGNIZED CLAIM
5002541	DID NOT RESULT IN A RECOGNIZED CLAIM
5002543	DID NOT RESULT IN A RECOGNIZED CLAIM
5002544	DID NOT RESULT IN A RECOGNIZED CLAIM
5002545	DID NOT RESULT IN A RECOGNIZED CLAIM
5002546	DID NOT RESULT IN A RECOGNIZED CLAIM
5002547	DID NOT RESULT IN A RECOGNIZED CLAIM
5002548	DID NOT RESULT IN A RECOGNIZED CLAIM
5002549	DID NOT RESULT IN A RECOGNIZED CLAIM
5002550	DID NOT RESULT IN A RECOGNIZED CLAIM
5002551	DID NOT RESULT IN A RECOGNIZED CLAIM
5002552	DEFICIENT CLAIM NEVER CURED
5002553	DID NOT RESULT IN A RECOGNIZED CLAIM
5002554	DID NOT RESULT IN A RECOGNIZED CLAIM
5002555	DUPLICATE CLAIM
5002558	DID NOT RESULT IN A RECOGNIZED CLAIM
5002559	DID NOT RESULT IN A RECOGNIZED CLAIM
5002560	DID NOT RESULT IN A RECOGNIZED CLAIM
5002561	DID NOT RESULT IN A RECOGNIZED CLAIM
5002563	DID NOT RESULT IN A RECOGNIZED CLAIM
5002570	DID NOT RESULT IN A RECOGNIZED CLAIM
5002571	DID NOT RESULT IN A RECOGNIZED CLAIM
5002572	DID NOT RESULT IN A RECOGNIZED CLAIM
5002573	DID NOT RESULT IN A RECOGNIZED CLAIM
5002574	DID NOT RESULT IN A RECOGNIZED CLAIM
5002575	DID NOT RESULT IN A RECOGNIZED CLAIM
5002577	DID NOT RESULT IN A RECOGNIZED CLAIM
5002578	DEFICIENT CLAIM NEVER CURED
5002579	DID NOT RESULT IN A RECOGNIZED CLAIM
5002581	DID NOT FIT THE DEFINITION OF THE CLASS
5002583	DID NOT FIT THE DEFINITION OF THE CLASS
5002584	DID NOT FIT THE DEFINITION OF THE CLASS
5002585	DID NOT RESULT IN A RECOGNIZED CLAIM
5002586	DEFICIENT CLAIM NEVER CURED

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002587	DID NOT FIT THE DEFINITION OF THE CLASS
5002588	DID NOT FIT THE DEFINITION OF THE CLASS
5002593	DID NOT RESULT IN A RECOGNIZED CLAIM
5002597	DID NOT FIT THE DEFINITION OF THE CLASS
5002604	DID NOT RESULT IN A RECOGNIZED CLAIM
5002605	DID NOT RESULT IN A RECOGNIZED CLAIM
5002606	DID NOT FIT THE DEFINITION OF THE CLASS
5002607	DID NOT RESULT IN A RECOGNIZED CLAIM
5002608	DID NOT RESULT IN A RECOGNIZED CLAIM
5002609	DID NOT RESULT IN A RECOGNIZED CLAIM
5002611	DID NOT RESULT IN A RECOGNIZED CLAIM
5002612	DID NOT RESULT IN A RECOGNIZED CLAIM
5002613	DID NOT FIT THE DEFINITION OF THE CLASS
5002614	DID NOT RESULT IN A RECOGNIZED CLAIM
5002616	DID NOT RESULT IN A RECOGNIZED CLAIM
5002617	DID NOT RESULT IN A RECOGNIZED CLAIM
5002618	DID NOT RESULT IN A RECOGNIZED CLAIM
5002621	DID NOT RESULT IN A RECOGNIZED CLAIM
5002624	DID NOT RESULT IN A RECOGNIZED CLAIM
5002625	DID NOT RESULT IN A RECOGNIZED CLAIM
5002626	DID NOT RESULT IN A RECOGNIZED CLAIM
5002627	DID NOT RESULT IN A RECOGNIZED CLAIM
5002628	DID NOT RESULT IN A RECOGNIZED CLAIM
5002629	DID NOT RESULT IN A RECOGNIZED CLAIM
5002630	DEFICIENT CLAIM NEVER CURED
5002632	DID NOT RESULT IN A RECOGNIZED CLAIM
5002633	DID NOT RESULT IN A RECOGNIZED CLAIM
5002634	DID NOT RESULT IN A RECOGNIZED CLAIM
5002635	DID NOT RESULT IN A RECOGNIZED CLAIM
5002636	DID NOT RESULT IN A RECOGNIZED CLAIM
5002637	DID NOT RESULT IN A RECOGNIZED CLAIM
5002638	DID NOT RESULT IN A RECOGNIZED CLAIM
5002640	DID NOT RESULT IN A RECOGNIZED CLAIM
5002641	DID NOT RESULT IN A RECOGNIZED CLAIM
5002642	DID NOT RESULT IN A RECOGNIZED CLAIM
5002643	DID NOT RESULT IN A RECOGNIZED CLAIM
5002644	DID NOT RESULT IN A RECOGNIZED CLAIM
5002646	DID NOT RESULT IN A RECOGNIZED CLAIM
5002647	DEFICIENT CLAIM NEVER CURED
5002648	DID NOT RESULT IN A RECOGNIZED CLAIM
5002650	DID NOT RESULT IN A RECOGNIZED CLAIM
5002651	DID NOT RESULT IN A RECOGNIZED CLAIM
5002652	DID NOT RESULT IN A RECOGNIZED CLAIM
5002653	DID NOT RESULT IN A RECOGNIZED CLAIM
5002654	DID NOT RESULT IN A RECOGNIZED CLAIM
5002655	DID NOT RESULT IN A RECOGNIZED CLAIM
5002656	DID NOT RESULT IN A RECOGNIZED CLAIM
5002657	DID NOT RESULT IN A RECOGNIZED CLAIM
5002658	DID NOT RESULT IN A RECOGNIZED CLAIM
5002659	DID NOT RESULT IN A RECOGNIZED CLAIM
5002660	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002661	DID NOT RESULT IN A RECOGNIZED CLAIM
5002662	DID NOT RESULT IN A RECOGNIZED CLAIM
5002663	DID NOT RESULT IN A RECOGNIZED CLAIM
5002664	DID NOT RESULT IN A RECOGNIZED CLAIM
5002665	DID NOT RESULT IN A RECOGNIZED CLAIM
5002667	DID NOT RESULT IN A RECOGNIZED CLAIM
5002668	DID NOT RESULT IN A RECOGNIZED CLAIM
5002669	DID NOT RESULT IN A RECOGNIZED CLAIM
5002672	DID NOT RESULT IN A RECOGNIZED CLAIM
5002673	DEFICIENT CLAIM NEVER CURED
5002674	DEFICIENT CLAIM NEVER CURED
5002675	DID NOT RESULT IN A RECOGNIZED CLAIM
5002676	DID NOT RESULT IN A RECOGNIZED CLAIM
5002677	DID NOT RESULT IN A RECOGNIZED CLAIM
5002678	DID NOT RESULT IN A RECOGNIZED CLAIM
5002679	DID NOT RESULT IN A RECOGNIZED CLAIM
5002680	DID NOT RESULT IN A RECOGNIZED CLAIM
5002681	DID NOT RESULT IN A RECOGNIZED CLAIM
5002682	DID NOT RESULT IN A RECOGNIZED CLAIM
5002683	DID NOT RESULT IN A RECOGNIZED CLAIM
5002684	DID NOT RESULT IN A RECOGNIZED CLAIM
5002685	DID NOT RESULT IN A RECOGNIZED CLAIM
5002686	DID NOT RESULT IN A RECOGNIZED CLAIM
5002687	DID NOT RESULT IN A RECOGNIZED CLAIM
5002688	DID NOT RESULT IN A RECOGNIZED CLAIM
5002690	DID NOT FIT THE DEFINITION OF THE CLASS
5002692	DEFICIENT CLAIM NEVER CURED
5002693	DEFICIENT CLAIM NEVER CURED
5002694	DID NOT RESULT IN A RECOGNIZED CLAIM
5002695	DID NOT RESULT IN A RECOGNIZED CLAIM
5002696	DID NOT RESULT IN A RECOGNIZED CLAIM
5002697	DID NOT RESULT IN A RECOGNIZED CLAIM
5002698	DID NOT RESULT IN A RECOGNIZED CLAIM
5002699	DID NOT RESULT IN A RECOGNIZED CLAIM
5002700	DID NOT RESULT IN A RECOGNIZED CLAIM
5002702	DID NOT RESULT IN A RECOGNIZED CLAIM
5002704	DID NOT RESULT IN A RECOGNIZED CLAIM
5002705	DID NOT RESULT IN A RECOGNIZED CLAIM
5002706	DID NOT RESULT IN A RECOGNIZED CLAIM
5002707	DID NOT RESULT IN A RECOGNIZED CLAIM
5002708	DEFICIENT CLAIM NEVER CURED
5002709	DID NOT RESULT IN A RECOGNIZED CLAIM
5002710	DID NOT RESULT IN A RECOGNIZED CLAIM
5002711	DID NOT RESULT IN A RECOGNIZED CLAIM
5002712	DID NOT FIT THE DEFINITION OF THE CLASS
5002713	DID NOT RESULT IN A RECOGNIZED CLAIM
5002714	DID NOT RESULT IN A RECOGNIZED CLAIM
5002715	DID NOT RESULT IN A RECOGNIZED CLAIM
5002716	DID NOT RESULT IN A RECOGNIZED CLAIM
5002717	DID NOT RESULT IN A RECOGNIZED CLAIM
5002718	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
5002719	DID NOT RESULT IN A RECOGNIZED CLAIM
5002721	DID NOT RESULT IN A RECOGNIZED CLAIM
5002722	DID NOT RESULT IN A RECOGNIZED CLAIM
5002723	DID NOT RESULT IN A RECOGNIZED CLAIM
5002725	DID NOT RESULT IN A RECOGNIZED CLAIM
5002726	DID NOT RESULT IN A RECOGNIZED CLAIM
5002728	DID NOT RESULT IN A RECOGNIZED CLAIM
5002730	DID NOT RESULT IN A RECOGNIZED CLAIM
5002731	DID NOT RESULT IN A RECOGNIZED CLAIM
5002732	DID NOT RESULT IN A RECOGNIZED CLAIM
5002733	DID NOT RESULT IN A RECOGNIZED CLAIM
5002734	DID NOT RESULT IN A RECOGNIZED CLAIM
5002736	DID NOT RESULT IN A RECOGNIZED CLAIM
5002738	DID NOT RESULT IN A RECOGNIZED CLAIM
5002739	DID NOT RESULT IN A RECOGNIZED CLAIM
5002740	DID NOT RESULT IN A RECOGNIZED CLAIM
5002741	DID NOT RESULT IN A RECOGNIZED CLAIM
5002742	DID NOT RESULT IN A RECOGNIZED CLAIM
5002743	DID NOT RESULT IN A RECOGNIZED CLAIM
5002744	DID NOT RESULT IN A RECOGNIZED CLAIM
5002745	DID NOT RESULT IN A RECOGNIZED CLAIM
5002746	DID NOT RESULT IN A RECOGNIZED CLAIM
5002747	DID NOT RESULT IN A RECOGNIZED CLAIM
5002748	DID NOT RESULT IN A RECOGNIZED CLAIM
5002749	DID NOT RESULT IN A RECOGNIZED CLAIM
5002750	DID NOT RESULT IN A RECOGNIZED CLAIM
5002751	DID NOT RESULT IN A RECOGNIZED CLAIM
5002752	DID NOT RESULT IN A RECOGNIZED CLAIM
5002753	DID NOT RESULT IN A RECOGNIZED CLAIM
5002754	DID NOT RESULT IN A RECOGNIZED CLAIM
5002755	DID NOT RESULT IN A RECOGNIZED CLAIM
5002756	DID NOT RESULT IN A RECOGNIZED CLAIM
5002758	DID NOT RESULT IN A RECOGNIZED CLAIM
5002759	DID NOT RESULT IN A RECOGNIZED CLAIM
5002760	DID NOT RESULT IN A RECOGNIZED CLAIM
5002762	DEFICIENT CLAIM NEVER CURED
5002763	DID NOT RESULT IN A RECOGNIZED CLAIM
5002764	DID NOT RESULT IN A RECOGNIZED CLAIM
5002765	DID NOT RESULT IN A RECOGNIZED CLAIM
5002766	DID NOT RESULT IN A RECOGNIZED CLAIM
5002767	DID NOT RESULT IN A RECOGNIZED CLAIM
10000022	DID NOT RESULT IN A RECOGNIZED CLAIM
10000023	DID NOT RESULT IN A RECOGNIZED CLAIM
10000024	DID NOT RESULT IN A RECOGNIZED CLAIM
10000025	DID NOT RESULT IN A RECOGNIZED CLAIM
10000026	DID NOT RESULT IN A RECOGNIZED CLAIM
10000029	DID NOT RESULT IN A RECOGNIZED CLAIM
10000030	DID NOT RESULT IN A RECOGNIZED CLAIM
10000031	DID NOT RESULT IN A RECOGNIZED CLAIM
10000032	DID NOT RESULT IN A RECOGNIZED CLAIM
10000033	DID NOT RESULT IN A RECOGNIZED CLAIM

Rejected or Ineligible Claimants

BRASKEM_SECURITIES_LITIGATION

Claim #	Reason Deemed Ineligible
10000035	DID NOT RESULT IN A RECOGNIZED CLAIM
10000036	DID NOT RESULT IN A RECOGNIZED CLAIM
10000037	DID NOT RESULT IN A RECOGNIZED CLAIM
10000038	DID NOT RESULT IN A RECOGNIZED CLAIM
10000039	DID NOT RESULT IN A RECOGNIZED CLAIM
10000040	DID NOT RESULT IN A RECOGNIZED CLAIM
10000041	DID NOT RESULT IN A RECOGNIZED CLAIM
10000042	DID NOT RESULT IN A RECOGNIZED CLAIM
10000043	DID NOT RESULT IN A RECOGNIZED CLAIM
10000044	DID NOT RESULT IN A RECOGNIZED CLAIM
10000045	DID NOT RESULT IN A RECOGNIZED CLAIM
10000046	DID NOT RESULT IN A RECOGNIZED CLAIM
10000047	DID NOT RESULT IN A RECOGNIZED CLAIM
10000048	DID NOT RESULT IN A RECOGNIZED CLAIM
10000049	DID NOT RESULT IN A RECOGNIZED CLAIM
10000050	DID NOT RESULT IN A RECOGNIZED CLAIM
10000051	DID NOT RESULT IN A RECOGNIZED CLAIM
10000052	DID NOT RESULT IN A RECOGNIZED CLAIM
10000053	DID NOT RESULT IN A RECOGNIZED CLAIM
10000054	DID NOT RESULT IN A RECOGNIZED CLAIM
10000055	DID NOT RESULT IN A RECOGNIZED CLAIM
10000056	DID NOT RESULT IN A RECOGNIZED CLAIM
10000057	DID NOT RESULT IN A RECOGNIZED CLAIM
10000058	DID NOT RESULT IN A RECOGNIZED CLAIM
10000059	DID NOT RESULT IN A RECOGNIZED CLAIM
10000060	DID NOT RESULT IN A RECOGNIZED CLAIM
10000061	DID NOT RESULT IN A RECOGNIZED CLAIM
10000062	DID NOT RESULT IN A RECOGNIZED CLAIM
10000063	DID NOT RESULT IN A RECOGNIZED CLAIM
10000064	DID NOT RESULT IN A RECOGNIZED CLAIM
10000065	DID NOT RESULT IN A RECOGNIZED CLAIM
10000066	DID NOT RESULT IN A RECOGNIZED CLAIM
10000067	DID NOT RESULT IN A RECOGNIZED CLAIM
10000068	DID NOT RESULT IN A RECOGNIZED CLAIM
10000069	DEFICIENT CLAIM NEVER CURED

Claim Count: **3,044**